No. 14-452

Title:	Kansas, Petitioner
	v.
	Sidney J. Gleason
Docketed:	October 20, 2014
Lower Ct:	Supreme Court of Kansas
Case Nos.:	(97, 296)
Decision Date:	July 18, 2014

Questions Presented

---Date---	------Proceedings and Orders----------
Oct 16 2014	Petition for a writ of certiorari filed. (Response due November 19, 2014)
Dec 2 2014	Order extending time to file response to petition to and including December 19, 2014.
Dec 19 2014	Brief of respondent Sidney J. Gleason in opposition filed.
Dec 19 2014	Motion for leave to proceed in forma pauperis filed by respondent Sidney J. Gleason.
Dec 30 2014	Reply of petitioner Kansas filed.
Jan 7 2015	DISTRIBUTED for Conference of January 23, 2015.
Feb 9 2015	DISTRIBUTED for Conference of February 20, 2015.
Feb 23 2015	DISTRIBUTED for Conference of February 27, 2015.
Mar 2 2015	DISTRIBUTED for Conference of March 6, 2015.
Mar 9 2015	DISTRIBUTED for Conference of March 20, 2015.
Mar 23 2015	DISTRIBUTED for Conference of March 27, 2015.
Mar 30 2015	Motion for leave to proceed in forma pauperis filed by respondent GRANTED.
Mar 30 2015	Petition GRANTED.
Apr 13 2015	Consent to the filing of amicus curiae briefs in support of either party or of neither party recieved from counsel for the petitioner.
Apr 21 2015	The time to file the joint appendix and petitioner's brief on the merits is extended to and including June 8, 2015.
Apr 21 2015	The time to file respondent's brief on the merits is extended to and including August 3, 2015.
Jun 8 2015	Joint appendix filed. (Statement of costs filed)
Jun 8 2015	Brief of petitioner Kansas filed.
Jun 11 2015	Consent to the filing of amicus curiae briefs, in support of either party or of neither party, received from counsel for the respondent.
Jun 12 2015	Brief amici curiae of Criminal Justice Legal Foundation, et al. VIDED (See 14-449 & 14-450) filed.
Jun 19 2015	Motion for scheduling of argument and for divided argument filed by respondents in Nos. 14-449, 14-450 & 14-452. VIDED.
Jun 29 2015	Motion for scheduling of argument and for divided argument filed by respondents in Nos. 14-449, 14-450 & 14-452 GRANTED. VIDED

Jun 29 2015	Upon consideration of the joint motion of respondents for scheduling of argument and for divided argument, and of the motion of the Solicitor General for leave to participate in oral argument as amicus curiae and for divided argument in Nos. 14- 449 and 14-450, the following allocation of oral argument time is adopted. A total of one hour is allocated for oral argument in No. 14-452, and on Question 1 in Nos. 14-449 and 14-450, to be divided as follows: 30 minutes for petitioner, 20 minutes for respondents Jonathan D. Carr and Sidney J. Gleason, and 10 minutes for respondent Reginald D. Carr. A total of one hour is allocated for oral argument on Question 2 in Nos. 14-449 and 14-450, to be divided as follows: 20 minutes for petitioner, 10 minutes for the Solicitor General, 20 minutes for respondent Reginald D. Carr, and 10 minutes for respondent Jonathan D. Carr. VIDED
Jul 29 2015	SET FOR ARGUMENT on Wednesday, October 7, 2015.
Aug 3 2015	Brief of respondent Sidney J. Gleason filed.
Aug 7 2015	CIRCULATED.
Aug 12 2015	Record requested from the Supreme Court of Kansas.
Sep 2 2015	Reply of petitioner Kansas (on mitigation instruction question arguments of respondent Gleason, and respondents in 14-449 & 14-450) filed. (Distributed)
Sep 17 2015	Record received from the Supreme Court of Kansas. (1 Box). part of the record is electronic.
Oct 1 2015	Letter from counsel for petitioner filed. (Distributed)
Oct 7 2015	Argued (Burden Question). For petitioner: Derek L. Schmidt, Attorney General, Topeka, Kan. For respondent in 14-452 & 14-449: Jeffrey T. Green, Washington, D. C. For respondent in 14-450: Neal K. Katyal, Washington, D. C. VIDED

14-452

No. _____

Supreme Court, U.S.
FILED
OCT 16 2014
OFFICE OF THE CLERK

In the Supreme Court of the United States

STATE OF KANSAS,

Petitioner,

v.

SIDNEY J. GLEASON,

Respondent.

On Petition for Writ of Certiorari to the Supreme Court of Kansas

PETITION FOR WRIT OF CERTIORARI

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CAPITAL CASE

QUESTION PRESENTED

1. Whether the Eighth Amendment requires that a capital-sentencing jury be *affirmatively instructed* that mitigating circumstances "need not be proven beyond a reasonable doubt," as the Kansas Supreme Court held in this case, or instead whether the Eighth Amendment is satisfied by instructions that, in context, make clear that each juror must individually assess and weigh any mitigating circumstances?

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PETITION FOR WRIT OF CERTIORARI

The Attorney General of the State of Kansas respectfully petitions for a Writ of Certiorari to review the judgment of the Kansas Supreme Court.

OPINION BELOW

The decision of the Kansas Supreme Court is reported, *State v. Gleason*, 329 P.3d 1102 (Kan. 2014), and is reproduced as Appendix A to this petition.

JURISDICTION

The Kansas Supreme Court decided this case July 18, 2014. This Court has jurisdiction under 28 U.S.C. § 1257(a).

CONSTITUTIONAL AND STATUTORY PROVISIONS INVOLVED

The Eighth Amendment to the United States Constitution provides in relevant part that "[e]xcessive bail shall not be required, nor excessive fines imposed, nor cruel and unusual punishments inflicted." U.S. Const. amend. VIII.

The Fourteenth Amendment to the United States Constitution provides, in pertinent part, " nor shall any State deprive any person of life, liberty, or property without due process of law ." U.S. Const. amend. XIV.

STATEMENT OF THE CASE

A. The Facts and Trial

The defendant, Sidney Gleason, was convicted in Kansas state court of capital murder for the double-

murder of Darren Wornkey and Miki Martinez, and was sentenced to death by a jury. The following summary of the facts is excerpted from the Kansas Supreme Court decision:

> On February 12, 2004, Gleason, Damien Thompson, Ricky Galindo, Brittany Fulton, and Mikiala "Miki" Martinez robbed Paul Elliott at knifepoint at his home in Great Bend, Kansas. Sometime thereafter, Gleason and Thompson learned police had interviewed Fulton and Martinez about the robbery. Nine days after the robbery, Gleason and Thompson drove from Lyons to Great Bend where Gleason shot and killed Martinez' boyfriend, Darren Wornkey, wounding Martinez in the process. Thompson and Gleason then kidnapped Martinez and took her to a rural location where Thompson strangled, shot, and killed her. Gleason and Thompson left Martinez' body near the road and returned to Lyons. Later that evening, Gleason and Thompson returned to the scene of Martinez' murder, placed Martinez' body near a tree further from the road, and covered her body with small branches.
>
> On February 22, 2004, Kansas Bureau of Investigation (KBI) Special Agent Cory Latham arrested Gleason and Thompson for the Elliott robbery. Five days later, the State jointly charged Gleason and Thompson with capital murder for killing Wornkey and Martinez.

* * *

> Thompson subsequently agreed to plead guilty to the first-degree murder of Martinez, to disclose the location of Martinez' body, and to testify truthfully in any criminal proceedings against Gleason. In return, the State agreed not to seek a hard 50 sentence against Thompson and to dismiss the remaining charges against him.
>
> In an interview with Agent Latham, Thompson confessed to his role in the Elliott robbery, confessed to killing Martinez, identified Gleason as Wornkey's killer, and explained Gleason's roles in the robbery and in Martinez' kidnapping and murder. Thompson also led Latham and other officers to the location where he and Gleason hid Martinez' body.
>
> * * *

App. 12-13.

Gleason went to trial and a jury convicted him of capital murder. In the capital sentencing proceeding, the jury was instructed on both aggravating and mitigating circumstances. For aggravating circumstances, the jury was told that:

> Aggravating circumstances are those which increase the guilt or enormity of the crime or add to its injurious consequences, but which are above and beyond the elements of the crime itself.
>
> The State of Kansas contends that the following aggravating circumstances are shown from the evidence:

1. That Sidney Gleason was previously convicted of a felony in which Sidney Gleason inflicted great bodily harm or disfigurement on another; and

2. That Sidney Gleason knowingly or purposely killed or created a great risk of death to more than one person; and

3. That Sidney Gleason committed the crime in order to avoid or prevent a lawful arrest or prosecution; and

4. That the victim was killed while engaging in, or because of the victim's performance or prospective performance of, the victim's duties as a witness in a criminal proceeding.

In your determination of sentence, you may consider only those aggravating circumstances set forth in this instruction.

App. 130-31.

For mitigating circumstances, the jury was instructed as follows:

Mitigating circumstances are those which in fairness may be considered as extenuating or reducing the degree of moral culpability or blame or which justify a sentence of less than death, even though they do not justify or excuse the offense.

The appropriateness of exercising mercy can itself be a mitigating factor in determining whether the State has proved beyond a

reasonable doubt that the death penalty should be imposed.

The determination of what are mitigating circumstances is for you as jurors to decide under the facts and circumstances of the case. Mitigating circumstances are to be determined by each individual juror when deciding whether the State has proved beyond a reasonable doubt that the death penalty should be imposed. The same mitigating circumstances do not need to be found by all members of the jury in order to be considered by an individual juror in arriving at his or her sentencing decision.

Sidney Gleason contends that mitigating circumstances include, but are not limited to, the following:

1. The capacity of Sidney Gleason to appreciate the criminality of his conduct or to conform his conduct to the requirements of law was substantially impaired.

2. The age of Sidney Gleason at the time of the crime.

3. A term of imprisonment is sufficient to defend and protect the people's safety from Sidney Gleason.

4. Crimes related to this case include significant participation and planning on the part of Damian Thompson.

5. Damian Thompson has received a life sentence that will make him eligible for parole in less than 23 years.

6. Sidney Gleason's mother, Irene Gleason, was sent to prison when he was a young boy.

7. All three of Irene Gleason's sons are in custody.

8. When living with Betty Cornelius, Sidney Gleason was an obedient child and an excellent student.

9. His mother, brothers, and Aunt Betty love Sidney Gleason.

You may further consider as a mitigating circumstance any other aspect of the defendant's character, background or record, and any other aspect of the offense which was presented in either the guilt or penalty phase which you find may serve as a basis for imposing a sentence less than death. Each of you must consider every mitigating circumstance found to exist.

App. 131-33.

With respect to the burden of proof, the jury was instructed:

The State has the burden to prove beyond a reasonable doubt that there are one or more aggravating circumstances and that they are not

outweighed by any mitigating circumstances found to exist.

App. 133.

And:

> If you find unanimously beyond a reasonable doubt that one or more aggravating circumstances exist and that they are not outweighed by any mitigating circumstances found to exist, then you shall impose a sentence of death. If you sentence Sidney Gleason to death, you must designate upon the appropriate verdict form with particularity the aggravating circumstances which you unanimously found beyond a reasonable doubt.
>
> However, if one or more jurors is not persuaded beyond a reasonable doubt on the burden of proof in the paragraph above, then you should sign the appropriate alternative verdict form indicating the jury is unable to reach a unanimous verdict sentencing Sidney Gleason to death. In that event, Sidney Gleason will not be sentenced to death but will be sentenced by the court as otherwise provided by law.

App. 133-34.

Ultimately, the jury found that the State had proven the existence of all four aggravating circumstances beyond a reasonable doubt: (1) Gleason had a prior felony conviction in which he inflicted great bodily harm on another; (2) Gleason knowingly killed or created a great risk of death to more than one person; (3) Gleason committed the crime to avoid or

prevent his lawful arrest or prosecution; and (4) Gleason killed Martinez because she was a prospective witness against him. The jury further found that these aggravating circumstances were not outweighed by any mitigating circumstances and unanimously voted to sentence Gleason to death. App. 29-31.

B. The Kansas Supreme Court's Decision

The Kansas Supreme Court affirmed Gleason's capital murder conviction, but reversed his death sentence, App. 12, finding constitutional error in the jury instructions regarding mitigating circumstances. App. 93-103. Specifically, the Kansas Supreme Court held that the trial court's failure "to affirmatively inform the jury that mitigating circumstances need not be proved beyond a reasonable doubt" violated the Eighth Amendment to the United States Constitution. App. 103.

Kansas does not impose any burden of proof on capital defendants to establish the existence of mitigating circumstances. App. 100-01 (citing K.S.A. 21-4624(e) and *Kansas v. Marsh*, 548 U.S. 163, 173 (2006)). Accordingly, the jury instructions in Gleason's case did not articulate any burden of proof with respect to mitigating circumstances. Instead, as set forth above, the jury was instructed, "Mitigating circumstances are to be determined by each individual juror when deciding whether the State has proved beyond a reasonable doubt that the death penalty should be imposed." App. 98.

Further, as part of the same instruction, the jury was told that mitigating circumstances need not be

found unanimously, that the "appropriateness of exercising mercy can itself be a mitigating factor," and that in addition to the mitigating circumstances asserted by the defendant, the jurors could "further consider as a mitigating circumstance any other aspect of the defendant's character, background or record, and any other aspect of the offense which was presented in either the guilt or penalty phase which you find may serve as a basis for imposing a sentence less than death." App 98-99.

Other instructions informed the jury that the State bore the burden of proving beyond a reasonable doubt both the existence of aggravating circumstances and that the aggravating circumstances were not outweighed by the mitigating circumstances. App. 133.

The Kansas Supreme Court concluded that the absence of an affirmative instruction informing the jury that mitigating circumstances need not be proven beyond a reasonable doubt, coupled with the instructions that the State bore the burden to prove its case beyond a reasonable doubt, might have somehow confused the jurors and led them to believe they could not consider mitigating circumstances unless such were proven beyond a reasonable doubt. App. 101-03. The lack of an affirmative instruction on the burden of proof for mitigating circumstances, the court held, violated the Eighth Amendment:

> [T]he instructions repeatedly emphasized the State's burden to prove the existence of aggravating circumstances beyond a reasonable doubt and to prove beyond a reasonable doubt that the death penalty should be imposed. Conversely, the instructions never informed or

> explained to the jury that no particular burden of proof applied to mitigating circumstances.
>
> Thus, ... Gleason's jury was left to speculate as to the correct burden of proof for mitigating circumstances, and reasonable jurors might have believed they could not consider mitigating circumstances not proven beyond a reasonable doubt. Thus, jurors may have been prevented from giving meaningful effect or a reasoned moral response to Gleason's mitigating evidence, implicating Gleason's right to individualized sentencing under the Eighth Amendment.

App. 102-03.

The Kansas Supreme Court recognized – and seemingly ignored – that this Court "has explained that its Eighth Amendment jurisprudence on capital sentencing should not be interpreted as creating any constitutional requirements as to how or whether a capital jury should be instructed on the burden of proof for mitigating circumstances." App. 100 (citing *Walton v. Arizona*, 497 U.S. 639, 649-51 (1990)). The court acknowledged this Court's precedent that "[s]o long as a State's method of allocating the burdens of proof does not lessen the State's burden to prove ... aggravating circumstances, a defendant's constitutional rights are not violated by placing on him the burden of proving mitigating circumstances sufficiently substantial to call for leniency." App. 100 (quoting *Walton*, 497 U.S. at 650). Nevertheless, the Kansas Supreme Court purported to distinguish *Walton*, App. 100-101, and held that the trial court's failure to affirmatively instruct the jury that mitigating circumstances need

not be proven beyond a reasonable doubt violated the Eighth Amendment.

C. The Dissenting Opinion

Two justices dissented, asserting that "[t]he majority's conclusion defies the United States Supreme Court's established Eighth Amendment jurisprudence and lacks any persuasive analysis articulating why the circumstances in this case justify a departure from that precedent." App. 120-21 (Biles, J. dissenting, joined by Moritz, J.). The majority paid "only passing lip service" to the test set forth in *Boyde v. California*, 494 U.S. 370, 380 (1990), before concluding, on "rank speculation," that an Eighth Amendment violation occurred in this case. App. 121. The dissent observed:

> The majority's conclusion appears to be that *a per se violation* of the Eighth Amendment occurs if a jury instruction correctly states that the State bears the burden of proving aggravating circumstances beyond a reasonable doubt but fails to affirmatively state that mitigation evidence need not be proved beyond a reasonable doubt.

App. 121 (emphasis added).

The dissent further observed that "the majority acknowledges this conclusion is inconsistent with *Walton* [*v. Arizona*, 497 U.S. 639 (1990)], which the majority admits 'should not be interpreted as creating any constitutional requirements as to how *or whether a capital jury should be instructed on the burden of proof for mitigating circumstances.*'" App. 122 (*citing Walton*, 497 U.S. at 649-51 (emphasis added)). The dissent found the majority's attempt to distinguish

Walton entirely unpersuasive and concluded that "the Eighth Amendment does not compel our directive ... that any mitigating circumstance instruction must inform the jury that mitigating circumstances need not be proved beyond a reasonable doubt." App. 123.

The dissent then pointed out that, even assuming the Eighth Amendment requires an explicit burden of proof instruction regarding mitigating circumstances, "the majority fails to adequately address the next Eighth Amendment inquiry required by *Boyde* by explaining how there is a reasonable likelihood the jury applied the instruction in a way that prevented consideration of constitutionally relevant evidence." App. 124. Unlike the majority, the dissent parsed the instructions actually given regarding mitigating circumstances, noting that those instructions clearly informed the jury as follows:

> You may further consider as a mitigating circumstance any other aspect of the defendant's character, background or record, and any other aspect of the offense which was presented in either the guilt or penalty phase which you find may serve as a basis for imposing a sentence less than death. Each of you must consider every mitigating circumstance found to exist.
>
> [t]he appropriateness of exercising mercy can itself be a mitigating factor in determining whether the State has proved beyond a reasonable doubt that the death penalty should be imposed.

App. 125. The dissent saw "nothing in the instructions from which to conclude the jury was bewildered by them, or that there is a reasonable likelihood the jurors applied them in a way that prevented their full consideration of Gleason's mitigating factors evidence." App. 125-26.

In addition, the "parties' closing arguments further dispel the notion that there is a reasonable likelihood the jury would have applied the instruction in a way that prevented consideration of constitutionally relevant evidence." App. 126. "In its closing argument, the State repeatedly told the jury it would be each juror's 'individual choice' to decide whether mitigating factors exist based upon 'any evidence' to support a particular factor"; the State "never suggested mitigation had to be proved beyond a reasonable doubt or even under the lower preponderance of the evidence standard." App. 126. Defense counsel likewise emphasized that mitigating circumstances were up to each individual juror to determine, and that any single juror alone could find mercy or some other mitigating circumstance weighed in favor of a life sentence rather than death. App. 126-27.

"The instructions, evidence, and arguments of counsel all pointed the jury to do what it was supposed to do in the penalty phase – consider all of the mitigating factors supported by the evidence, as well as mercy for the defendant, and then render a decision on whether the death penalty should be imposed ...." App. 127. The dissent concluded "that the majority's rationale for reversing the sentence fails to conform to Eighth Amendment jurisprudence." App. 128.

REASONS FOR GRANTING THE WRIT

The Kansas Supreme Court's holding that the Eighth Amendment requires a capital sentencing jury to be affirmatively instructed that mitigating circumstances need not be proven beyond a reasonable doubt warrants this Court's review for several reasons:

(1) the Kansas Supreme Court's decision conflicts with the decisions of state courts of last resort and calls into question the law of other states;

(2) the Kansas Supreme Court misinterpreted this Court's precedents to reach a conclusion that the Court has never endorsed nor even suggested;

(3) the decision below contributes to the creation of a "crazy quilt" of federal constitutional death penalty jurisprudence;

(4) the Kansas Supreme Court's decision, if not reversed, will invalidate the sentences imposed on two-thirds of those currently under sentence of death in Kansas, and may draw into question capital convictions in other States where the same or similar jury instructions were used.

I. The Kansas Supreme Court's Eighth Amendment holding conflicts with the decisions of other state courts of last resort and the current law of several states, and possibly the law and procedures governing federal and U.S. military capital cases.

In contrast to the Kansas Supreme Court's decision here, several states have rejected the proposition that the Eighth Amendment requires affirmative instructions to capital sentencing juries that mitigating circumstances need not be proven beyond a reasonable doubt. These jurisdictions include at least California, Delaware, Indiana, Louisiana, and Texas. On the other hand, some states affirmatively instruct capital juries on a burden of proof with regard to mitigating circumstances, although such instructions do not necessarily take the form mandated by the Kansas Supreme Court in this case, and it is not clear that any of these jurisdictions give such instructions as anything other than a matter of state or federal statute or practice. There also appear to be a number of jurisdictions that simply do not address the burden of proof (or lack thereof) for mitigating circumstances in any reported decision, by statute, or through their pattern jury instructions.

No decision of which Kansas is aware, other than the one for which Kansas is seeking review here, mandates such instructions as an Eighth Amendment requirement.

A. The Kansas Supreme Court's decision clearly conflicts with the decisions of courts in several states.

The Kansas Supreme Court is by no means the first court to address the question presented in this petition. Rather, a number of state supreme courts have done so over time and soundly rejected the Eighth Amendment argument the Kansas Supreme Court embraced here.

California, for example, has held "a trial court is not required to instruct the jury that mitigating evidence need not be proved beyond a reasonable doubt." *People v. Souza*, 277 P.3d 118 (Cal. 2012) (quoting *People v. Avila*, 208 P.3d 634, 670 (Cal. 2009)); *see also People v. Welch*, 976 P.2d 754, 797 (Cal. 1999). Indeed, in *Welch*, the California Supreme Court rejected the very rationale the Kansas Supreme Court relied on in this case – that because the instructions repeatedly emphasized the State's burden of proof beyond a reasonable doubt, the absence of any instruction on the defendant's burden of proof regarding mitigating circumstances left the jury to speculate and jurors might have concluded "they could not consider mitigating circumstances not proven beyond a reasonable doubt." *Gleason*, Slip op. at 85. The *Welch* court arrived at the exact opposite conclusion, holding "because the trial court instructed specifically that the reasonable doubt standard applied (partially erroneously) to aggravating factors, and mentioned nothing about mitigating factors, the reasonable juror would infer that no such reasonable doubt standard applied to mitigating factors." 976 P.2d at 797.

Likewise, in *Dawson v. State*, 637 A.2d 57, 64-65 (Del. 1994), the Delaware Supreme Court held that jury instructions that included a beyond a reasonable doubt standard for aggravating circumstances but were silent with respect to the burden for mitigating circumstances were not ambiguous and did not preclude the jury from considering any mitigating circumstances. Like the California Supreme Court in *Welch*, the Delaware Supreme Court rejected the argument that, "in the absence of express guidance concerning the proper burden of proof to establish the existence of mitigating circumstances," one should "assume that the jury applied the same 'beyond a reasonable doubt' standard of proof that it was instructed to use in determining whether the State had established the existence of statutory aggravating circumstances," 637 A.2d at 64-65; *see also Lawrie v. State*, 643 A.2d 1336, 1342 (Del. 1994).

The Indiana Supreme Court rendered a similar decision in *Matheney v. State*, 688 N.E.2d 883, 902 (Ind. 1997). Indiana places on capital defendants the burden of proving mitigating circumstances by a preponderance of the evidence, but in *Matheny*, the trial court failed to give any instruction on that standard. 688 N.E.2d at 902. The Indiana Supreme Court found no error, observing that "the absence of an instruction so stating, without more, does not necessarily suggest to jurors that mitigating circumstances need be proven beyond a reasonable doubt." 688 N.E.2d at 902. Just as in Kansas, in Indiana "[a]ll instructions to a jury on reasonable doubt place that burden on the State." 688 N.E.2d at 902. In contrast to the Kansas Supreme Court, the Indiana Supreme Court concluded, "[t]here is no inference in

any portion of a trial that defendant's evidence comes under that scrutiny." 688 N.E.2d at 902. The court rejected the proposition that a jury might mistakenly assume that a beyond a reasonable doubt standard applies to mitigating circumstances in that context "[w]ithout something specific in the given instructions which would clearly lead a jury to such a misunderstanding." 688 N.E.2d at 902.

Louisiana also has rejected the Kansas Supreme Court's position. Like Kansas, Louisiana's capital sentencing procedure "does not establish any presumptions or burdens of proof with respect to mitigating circumstances." *State v. Jones*, 474 So.2d 919, 932 (La. 1985). In *Jones*, the defendant argued that because "the judge emphasized the reasonable doubt standard ... in the sentencing phase with respect to aggravating circumstances, but was silent as to the standard of proof for mitigating circumstances," the jury was misled into believing the beyond a reasonable doubt standard applied to mitigating circumstances. 474 So.2d at 932. The Louisiana Supreme Court disagreed, finding no error in the instructions. 474 So.2d at 932.

Finally, in *Green v. State*, 934 S.W.2d 92 (Tex.Crim.App. 1996), the Texas Court of Criminal appeals faced a similar question. Under Texas' capital sentencing scheme, the jury is instructed on three special issues – the first two being whether the defendant would be a continuing threat and whether he killed intentionally, and the third allowing the jury "to assess a life sentence if it found that there were sufficient mitigating circumstances to do so." 934 S.W.2d at 107. As in Kansas, "[t]here is no burden of

proof on the mitigation special issue." 934 S.W.2d at 107. And, similar to Kansas, the jury is instructed that the State bears the burden of proving the first two issues beyond a reasonable doubt, but is not instructed on any burden of proof on the mitigation issue. 934 S.W.2d at 107-08.

The defendant in *Green* argued that the articulation of the beyond a reasonable doubt standard for the first two issues, coupled with the absence of any burden of proof instruction on the mitigation issue, confused the jury and denied him a fair trial. 934 S.W.2d at108. The Texas Court of Criminal Appeals disagreed and found no error. 934 S.W.2d at 108 ("In view of the fact that a burden of proof was never assigned to the mitigation special issue, and that the trial court correctly instructed the jury as to the first two special issues [the State's burden to prove them beyond a reasonable doubt], we cannot conclude that appellant was deprived of a fair trial.").

B. Some jurisdictions require a burden of proof instruction regarding mitigating circumstances, but not a uniform instruction and not as a requirement of the Eighth Amendment.

Some states do give jury instructions regarding a burden of proof for mitigating circumstances, although these jurisdictions vary in at least two ways. Some give an instruction like the one the Kansas Supreme Court held is constitutionally required, *i.e.*, an affirmative directive that mitigating circumstances need not be proven beyond a reasonable doubt. Others, however, give an instruction that mitigating circumstances must be proven by a preponderance of the evidence, but no

instruction about *not* having to prove them beyond a reasonable doubt. In any event, it appears that the burden of proof instructions in these jurisdictions are given as a matter of state law or practice, or in the case of the federal government, pursuant to a federal statutory command. No jurisdiction, to Kansas' knowledge, had held that the Eighth Amendment requires such instructions, until the Kansas Supreme Court's decision in this case.

1. Juries instructed that proof beyond a reasonable doubt is not required

Some states do instruct the jury that mitigating factors do not have to be proven beyond a reasonable doubt. For example, Florida's standard jury instructions include the language, "A mitigating circumstance need not be proved beyond a reasonable doubt by the defendant. A mitigating circumstance need only be proved by the greater weight of the evidence ..." Fla. Std. Jury Instr. (Crim.) § 921.141(6). Case law reiterates this, but without explaining a basis for the instruction. *See Campbell v. State*, 571 So.2d 415, 419-20 (Fla. 1990).

Likewise, Mississippi and North Carolina provide similar instructions. The primary purpose of these instructions, however, appears to be simply to clarify the "preponderance of the evidence" standard that these states place on defendants to establish mitigating circumstances – a burden, notably, that is not present under Kansas law. *See, e.g., Knox v. State*, 901 So.2d 1257, 1270 (Miss. 2005) ("... [the defendant] does not have to prove the existence of mitigating instructions beyond a reasonable doubt. Rather, you must find a mitigating circumstance to exist if you find it to exist to

a preponderance of the evidence."); *State v. Holden*, 488 S.E.2d 514, 532 (N.C. 1997) ("The existence of any mitigating circumstance must be established by a preponderance of the evidence. That is, the evidence taken as a whole must satisfy you not beyond a reasonable doubt, but simply satisfy you that any mitigating circumstance exists.").

Arkansas, Oklahoma, South Carolina, and South Dakota, like Kansas, place no particular burden of proof on mitigating circumstances. *Thessing v. State*, 230 S.W.3d 526, 542-43 (Ark. 2006) (observing relevant statute does not discuss burden of proof; speaks in terms of mitigating circumstances "found to exist."); *Postelle v. State*, 267 P.3d 114, 144 n.29 (Okla.Crim.App. 2011) (reciting instruction that does not assign a burden of proof, but notes mitigating circumstances do not have to be proved beyond a reasonable doubt); *State v. Hicks*, 499 S.E.2d 209, 217-18 (S.C. 1998) (same); *State v. Rhines*, 548 N.W.2d 415, 437 (S.D. 1996) (noting law "does not impose any standard of proof regarding mitigation."). Nevertheless, these states affirmatively instruct capital sentencing juries that mitigating circumstances need not be proven beyond a reasonable doubt. *Thessing*, 230 S.W.3d at 542-43; *Postelle*, 267 P.3d at 144 n.29; *Hicks*, 499 S.E.2d at 217-18. But, again, none of them appears to do so because there is any case law in their jurisdiction holding that the Eighth Amendment requires such an instruction.

2. Juries instructed only on proof by a preponderance standard

Some states impose a preponderance of the evidence standard on mitigating evidence and instruct juries to that extent and no more. *See e.g.* Ariz. Revised Jury Instructions-Crim. Capital Case 2.6 ("The defendant bears the burden of proving the existence of any mitigating circumstance that the defendant offers by a preponderance of the evidence."); *State v. Addison*, 87 A.3d 1, 173-75 (N.H. 2013) (discussing preponderance of the evidence standard in jury instructions); *Eaton v. State*, 192 P.3d 36, 115 (Wyo. 2008) (noting sentencing form stated mitigating circumstances had to be proved by a preponderance of the evidence); *Com. v. Williams*, 732 A.2d 1167, 1187 (Pa. 1999) (reciting instructions: "... A mitigating circumstance ... must be proven by the Defendant by a preponderance of the evidence, that is by a greater weight of the evidence.").

This is also the rule in non-military, federal death penalty cases. *See* 18 U.S.C. § 3593(c) ("The burden of establishing the existence of any mitigating factor is on the defendant, and is not satisfied unless the evidence of such a factor is established by a preponderance of the information."); Tenth Circuit Pattern Crim. Jury Instr. 3.10 *Mitigating Factors* ("the defense is under no obligation to establish the existence of any mitigating factors * * * The defendant need only prove mitigating factors by a preponderance of the evidence."); Eighth Circuit Model Crim. Jury Instr. 12.02 *Burden of Proof* ("It is the defendant's burden to establish any mitigating factors, by the [preponderance][greater weight] of the evidence.").

These jurisdictions do not appear to affirmatively instruct that mitigating circumstances need not be proven beyond a reasonable doubt.

3. Juries explicitly instructed that there is no burden of proof.

At least a few states—including Ohio, Tennessee and Washington—appear to instruct the jury only that the defendant has no burden of proof with regard to mitigating circumstances. *See, e.g., Ohio Jury Instructions: Chapter CR 503.011 Aggravated murder; death penalty – sentencing phase, Section 1 and Section 4* ("The defendant does not have any burden of proof."); *id.* at *Comment on Section 4* ("In *State v. Lawrence* (1989), 44 Ohio St.3d 24, 27, the Ohio Supreme Court found that a jury instruction that closely tracks R.C. 2929.03(D)(1) and that does not place the burden of proving the existence of a mitigating factor by a preponderance of the evidence on the defendant would adequately guide a jury in its deliberations during the sentencing phase of a capital trial. Further, such an instruction would ensure that Ohio jurors clearly understand that they are to consider all mitigating evidence in reaching their sentencing recommendation."); *7 Tenn. Prac. Pattern Jury Instr. T.P.I. – Crim. 7.04(a)* ("*** The burden of proof is upon the state to prove any statutory aggravating circumstance or circumstances beyond a reasonable doubt." *** "Mitigating Circumstances" [after listing mitigating circumstances on which the jury could be instructed, providing that] "The defendant does not have the burden of proving a mitigating circumstance."); *11 Wash. Prac., Pattern Jury Instr. Crim. WPIC 31.05 Burden of Proof—Presumption of*

Leniency—Reasonable Doubt (Capital Cases) ("the State has the burden of proving to you beyond a reasonable doubt that there are not sufficient mitigating circumstances to merit leniency. If the State meets this burden the death penalty will be imposed. The defendant does not have to prove the existence of any mitigating circumstances or the sufficiency of any mitigating circumstances.").

C. Some jurisdictions do not appear to address the burden of proof for mitigation at all.

A number of jurisdictions do not appear to have either pattern instructions or available decisions that address whether any burden of proof instruction is to be given regarding mitigating circumstances. A number of states, like Kansas, impose a burden of production but do not impose any particular burden of proof on mitigating circumstances. *See e.g. State v. Johnson,* 284 S.W.3d 561, 587 n.19 (Mo. 2009) (setting forth jury instruction similar to Kansas instructions); *Jiminez v. State,* 918 P.2d 687, 696 (Nev. 1996) (observing instructions put "no constraint on the right of individual jurors to find mitigators, such as a requirement of unanimity or proof by a preponderance of the evidence or any other standard."); *Mickens v. Com.*, 478 S.E.2d 302, 305 (Va. 1996) (rejecting argument that Virginia's death penalty is unconstitutional because jury instructions do not specify burden of proof for mitigating evidence); *State v. Smith*, 863 P.2d 1000, 1011 (Mont. 1993) (noting burden is on the defendant "to establish mitigating circumstances justifying a sentence less severe than the death penalty."); *State v. Hoffman*, 851 P.2d 934,

943 (Idaho 1993) ("[A] defendant has the burden of coming forward with mitigating circumstances.").

No decisions, statutes or pattern instructions in these states indicate that any affirmative instruction must be given. Accordingly, these states do not affirmatively instruct that mitigating circumstances need not be proven beyond a reasonable doubt, nor do they declare that such circumstances must be proven by a preponderance of the evidence.

Notably, the United States Military capital sentencing procedures also make no provision for any instruction on the burden of proof regarding mitigating circumstances. Rule of Court Martial 1004 is the relevant rule, and it does not put a burden of proof on defendants regarding mitigating circumstances, unlike the federal statute (18 U.S.C. § 3593) for federal capital cases generally. *See, e.g.*, RCM 1004(b)(3) *Evidence in extenuation and mitigation*. ("The accused shall be given broad latitude to present evidence in extenuation and mitigation."); *id.* at (b)(4)(C) *Necessary findings*. ("All members concur that any extenuating or mitigating circumstances are substantially outweighed by any aggravating circumstances ...."); *id.* at (b)(6) *Instructions*. (* * * The military judge shall instruct the members that they must consider all evidence in extenuation in mitigation before they may adjudge death."); *cf. id.* at (c) *Aggravating factors*. ("Death may be adjudged only if the members find, beyond a reasonable doubt, one or more of the following aggravating factors . ")

For these jurisdictions, the Kansas Supreme Court's holding calls into question their existing capital sentences – sentences imposed without an affirmative

instruction that mitigating circumstances need not be proven beyond a reasonable doubt. The Kansas Supreme Court decision certainly can be used now as authority to attack the constitutionality of the existing procedures of these jurisdictions on Eighth Amendment grounds.

Thus, granting review of the question presented would allow the Court both to resolve the explicit split of authority created by the Kansas Supreme Court's decision and bring clarity to the constitutionality of the existing procedures utilized in a number of death penalty jurisdictions that currently do not give burden of proof instructions regarding mitigating circumstances. Moreover, as is obvious from the above description of the legal landscape here, only this Court can ensure uniform application of the Eighth Amendment in capital cases across the country.

II. The Kansas Supreme Court misinterpreted this Court's precedent to reach a conclusion the Court has never endorsed nor suggested.

The Court has never held or suggested that the Eighth Amendment requires states to assign a burden of proof for mitigating factors. *Blue v. Thaler*, 665 F.3d 647, 668 (5th Cir. 2011) ("No Supreme Court or Circuit precedent constitutionally requires that Texas's mitigation special issue be assigned a burden of proof."). Certainly, the Court has never held or suggested that the Eighth Amendment requires capital sentencing juries to be instructed explicitly and affirmatively that mitigating circumstances need *not* be proven beyond a reasonable doubt. The Court's

decisions, instead, strongly suggest that no such instruction is constitutionally required.

The Court has held that it is constitutionally permissible to place a burden upon a criminal defendant in death penalty proceedings to prove the existence of mitigating circumstances. *Walton v. Arizona*, 497 U.S. 639, 650-51 (1990), *overruled on other grounds by Ring v. Arizona*, 536 U.S. 584 (2002). In *Walton*, the Court said that "[s]o long as a State's method of allocating the burdens of proof does not lessen the State's burden to prove every element of the offense charged, or in this case to prove the existence of aggravating circumstances," there is no constitutional bar to placing upon a defendant the burden of proving mitigating circumstances sufficiently substantial to call for leniency. 497 U.S. at 650.

It is true that the Arizona law at issue in *Walton* placed the burden on capital defendants to prove mitigating factors by a preponderance of the evidence, but nothing in *Walton* remotely indicated or suggested that the Constitution requires that the jury be affirmatively instructed that a defendant need not prove such circumstances beyond a reasonable doubt. In fact, the Court has never held that the Constitution requires states to adopt, or bars them from adopting, any specific standard of proof with respect to mitigating circumstances. The Constitution only requires that capital sentencing juries be allowed to consider mitigating evidence, broadly defined. *Blystone v. Pennsylvania*, 494 U.S. 299, 304-05 (1990). Otherwise, "the States are free to determine the manner in which a jury may consider mitigating evidence." *Kansas v. Marsh*, 548 U.S. 163, 171 (2006)

(citing *Walton*, 497 U.S. at 652; *Boyde v. California*, 494 U.S. 370, 374 (1990)).

Thus, there is neither a constitutional requirement that states adopt a particular burden of proof, nor a proscription against a particular burden. Even if Kansas imposed on capital defendants a burden of proving the existence of mitigating circumstances beyond a reasonable doubt, nothing in this Court's precedent would suggest a constitutional violation. The jury would still be able to consider all relevant mitigating evidence. The Kansas Supreme Court's holding simply does not comport with this Court's precedent. *Blystone*, 494 U.S. at 307 ("The requirement of individualized sentencing in capital cases is satisfied by allowing the jury to consider all relevant mitigating evidence."); *Graham v. Collins*, 506 U.S. 461, 490 (1993) (Thomas, J., concurring) ("Our early mitigating cases may thus be read as doing little more than safeguarding the adversary process in sentencing proceedings by conferring on the defendant an affirmative right to place his relevant evidence before the sentencer.")

Importantly, the Kansas Supreme Court's analysis of the effect of the jury instructions given here is flawed. The instructions do not impose any burden of proof on the defendant to prove mitigating circumstances; they certainly do not impose a beyond a reasonable doubt standard. Nonetheless, the Kansas Supreme Court found constitutional error in the fact that the instructions do not *affirmatively state* that mitigating factors "*need not be found beyond a reasonable doubt*." App. 99-103. Essentially, the court held that "a per se violation of the Eighth Amendment

occurs if a jury instruction correctly states that the State bears the burden of proving aggravating circumstances beyond a reasonable doubt but fails to affirmatively state that mitigating evidence need not be proved beyond a reasonable doubt." App. 121 (Biles, J., dissenting). That holding is not supported by the Court's decisions. App. 120-28 (Biles, J., dissenting).

The Kansas Supreme Court's reasoning is even more questionable in light of *Kansas v. Marsh*. In finding that Kansas' death penalty law satisfied constitutional requirements, the Court in *Marsh* considered, among other things, jury instructions very close to those at issue here. *Compare* App. 131-33 (jury instructions given here) *with Marsh*, 548 U.S. at 176 (quoting jury instructions given there). The *Marsh* Court prefaced its recitation of the jury instructions by observing that "a Kansas jury is permitted to consider *any* evidence relating to *any* mitigating circumstance in determining the appropriate sentence for a capital defendant, so long as that evidence is relevant." 548 U.S. at 176. It further noted that "[t]he mercy jury instruction alone forecloses the possibility of *Furman*-type error as it 'eliminate[s] the risk that a death sentence will be imposed in spite of facts calling for a lesser penalty." 548 U.S. at 176 n.3.

Although the question presented here was not before the Court in *Marsh*, the Court there observed that, under Kansas' law, a defendant "appropriately bears the burden of proffering mitigating circumstances – a burden of production," but never bears a burden of proof. 548 U.S. at 178; *see also* 548 U.S. at 173 ("the Kansas statute ... places no additional evidentiary burden on the capital defendant.") Had

there been an Eighth Amendment error in the jury instructions in *Marsh* – strikingly similar to the instructions here – it seems beyond implausible that the Court would have cited those very instructions as part of the justification for why Kansas' weighing procedures satisfied constitutional requirements.

III. The Kansas Supreme Court's decision undermines the uniform interpretation and application of the Eighth Amendment in capital cases.

Given that the Kansas Supreme Court's decision here creates a split of authority among the States, and runs counter to this Court's Eighth Amendment precedent, certiorari is warranted because the decision below undermines the uniform interpretation and application of the federal Constitution. *See Kansas v. Marsh,* 548 U.S. at 185 (Scalia, J., concurring) ("Turning a blind eye to federal constitutional error ..., allowing it to permeate in varying fashion each State Supreme Court's jurisprudence, would change the uniform 'law of the land' into a crazy quilt."). One of the primary bases for this Court's constitutional authority to review state-court decisions "is to ensure the integrity and uniformity of federal law." *Id.* at 183. If left undisturbed, the Kansas Supreme Court's decision in this case will undercut the integrity and uniformity of capital punishment jurisprudence because the decision directly conflicts with the decisions of several other state courts and may draw into question the procedures utilized in a number of jurisdictions.

IV. If allowed to stand, the decision below will have a significant adverse impact on Kansas' efforts to enforce its capital murder law.

A final reason why certiorari is warranted in this case is that the Kansas Supreme Court's decision has a severe impact on Kansas' efforts to enforce its capital murder law. The Kansas capital law is narrowly tailored and sparingly applied – currently, only nine offenders are under sentence of death in Kansas. If allowed to stand, the decision below will invalidate the death sentences juries have imposed on at least six defendants currently on death row in Kansas – two-thirds of all such offenders.[1]

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[1] These six include (1) the defendant in *Kansas v. Cheever*, a case now on remand to the Kansas Supreme Court from this Court's unanimous reversal in December 2013, (2) the respondent in this case, and (3) two other defendants whose death sentences the Kansas Supreme Court reversed one week after this case. Indeed, the Kansas Supreme Court's decisions in the latter two cases are the subject of Petitions for a Writ of Certiorari Kansas has filed simultaneously with this Petition in cases entitled *Kansas v. Reginald Carr* and *Kansas v. Jonathan Carr*. The Petitions in the *Carr* cases include the question presented in this Petition, as well as two additional constitutional questions.

CONCLUSION

The State of Kansas respectfully requests that the Petition for Writ of Certiorari be granted or, in the alternative, that the Court grant review and summarily reverse the erroneous decision of the Kansas Supreme Court.

Respectfully submitted,

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October 2014

APPENDIX

APPENDIX

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APPENDIX A

IN THE SUPREME COURT OF THE STATE OF KANSAS

No. 97,296

[Filed on July 18, 2014]

STATE OF KANSAS, *Appellee*,	)
v.	)
SIDNEY J. GLEASON, *Appellant*.	)

SYLLABUS BY THE COURT

1.

A defendant may be convicted of intentional, premeditated murder under a theory of aiding and abetting as long as the State proves the defendant shared the principal actor's premeditated intent to murder the victim, knowingly associated with the unlawful venture, and participated in such a way as to indicate he or she was facilitating the success of the venture.

2.

The State may rely on the theory of aiding and abetting to support one or more of the intentional,

premeditated murders necessary to support a capital murder charge under K.S.A. 21-3439(a)(6) for the killing of multiple victims.

3.

An appellate court reviews guilt-phase jury instruction errors raised for the first time on appeal for clear error, even in capital cases.

4.

In considering whether a jury instruction is clearly erroneous, an appellate court first determines whether the instruction was erroneous. If error is found, the appellate court then reviews the entire record de novo to determine whether reversal is required. Reversal is required only if the appellate court is firmly convinced the jury would have reached a different verdict absent the instruction error.

5.

PIK Crim. 3d 54.05 accurately expresses the law on aiding and abetting set forth in K.S.A. 21-3205(1).

6.

When a district court refuses to give a requested jury instruction, an appellate court applies an unlimited review to determine whether the instruction would have been legally appropriate. If so, the appellate court next considers whether the evidence, when viewed in the light most favorable to the requesting party, was sufficient to support the instruction. Finally, if the district court erroneously refused to give the instruction, the appellate court determines whether the error was harmless, utilizing

the test and degree of certainty set forth in *State v. Ward*, 292 Kan. 541, 256 P.3d 801 (2011), *cert. denied* 132 S. Ct. 1594 (2012).

7.

K.S.A. 22-3414(3) states that a trial court must give a lesser included offense instruction when there is some evidence which would reasonably justify a conviction of some lesser included crime as defined in K.S.A. 21-3107(2).

8.

As stated in K.S.A. 2013 Supp. 21-5402(d), the statutory provisions defining lesser included offenses do not apply to the offense of felony murder, and felony murder is not a lesser included offense of capital murder.

9.

Retroactive application of K.S.A. 2013 Supp. 21-5402(d)'s amendments excluding felony murder as a lesser included offense of capital murder in a capital case does not violate a capital defendant's due process rights as interpreted in *Beck v. Alabama*, 447 U.S. 625, 100 S. Ct. 2382, 65 L. Ed. 2d 392 (1980).

10.

Retroactive application of K.S.A. 2013 Supp. 21-5402(d)'s amendments excluding felony murder as a lesser included offense of capital murder in a capital case does not violate the constitutional prohibition against ex post facto laws.

11.

To protect a defendant's constitutional confrontation rights, testimonial hearsay is inadmissible unless the declarant is unavailable and the defendant had a prior opportunity to cross-examine the declarant.

12.

Under K.S.A. 60-459(g), witness unavailability includes, but is not limited to, situations where the witness is (1) exempted on the ground of privilege from testifying concerning the matter to which his or her statement is relevant, (2) disqualified from testifying to the matter, (3) unable to be present or to testify at the hearing because of death or then existing physical or mental illness, (4) absent beyond the jurisdiction of the court to compel appearance by its process, or (5) absent from the place of hearing because the proponent of his or her statement does not know and with diligence has been unable to ascertain his or her whereabouts.

13.

A witness' refusal to testify may constitute grounds for declaring the witness unavailable.

14.

Under the federal constitutional standard as applied to the states, the test of unavailability, for the purposes of the exception to the confrontation requirement, is whether the prosecutorial authorities have made a good-faith effort to obtain the witness' presence at trial or to obtain the live testimony of a witness who appears but refuses to testify.

15.

Whether a prosecutor has made sufficient effort to secure the testimony of an unavailable witness is a question of reasonableness.

16.

Crawford v. Washington, 541 U.S. 36, 124 S. Ct. 1354, 158 L. Ed. 2d 177 (2004), requires an opportunity for cross-examination before hearsay can be admitted but provides no guidance for how much cross-examination is required to afford the defendant an adequate opportunity to confront the witnesses against him or her.

17.

When considering a claim of prosecutorial misconduct, an appellate court must determine whether the prosecutor's statements exceeded the wide latitude of language and manner afforded a prosecutor in making closing arguments. If the statements were improper, the appellate court must then determine whether the statements prejudiced the jury against the defendant and denied the defendant a fair trial.

18.

It is improper for a prosecutor to misstate the law or to offer his or her personal opinion regarding the credibility of a witness.

19.

A trial court commits error by giving an *Allen*-type jury instruction that includes the phrase "another trial would be a burden on both sides."

20.

A trial court almost always abuses its discretion when it allows a defendant, witness, or nonwitness to be brought before a jury in jail clothing without articulating the justification for doing so and without considering an admonition or instruction to the jury prohibiting its consideration of the person's clothing or apparent incarceration.

21.

K.S.A. 22-3420(3) requires any question from the jury concerning the law or evidence pertaining to the case to be answered in open court in the defendant's presence unless the defendant is voluntarily absent.

22.

A trial court's failure to comply with the statutory procedure set out in K.S.A. 22-3420(3) constitutes both a violation of a criminal defendant's statutory right to be present under K.S.A. 22-3405(1) and the constitutional right to be present under the Sixth Amendment to the United States Constitution.

23.

Under the federal constitutional harmless error standard, reversal is required unless an appellate court can conclude beyond a reasonable doubt that the error did not affect the outcome of the trial in light of the entire record, *i.e.*, that there is no reasonable possibility the error contributed to the verdict.

24.

In analyzing whether a trial court's failure to follow the statutory procedure in K.S.A. 22-3420(3) was harmless error, an appellate court considers: (1) the strength of the prosecution's case; (2) whether the defendant lodged an objection; (3) whether the communication concerned some critical aspect of the trial or was instead an innocuous and insignificant matter, as well as the manner in which the communication was conveyed to the jury; and (4) the ability of a posttrial remedy to mitigate the constitutional error.

25.

In a cumulative error analysis, an appellate court aggregates all errors and, even though those errors would individually be considered harmless, analyzes whether their cumulative effect on the outcome of the trial is such that collectively they cannot be determined to be harmless.

26.

In assessing whether cumulative errors are harmless, an appellate court examines the record as a whole and considers how the trial court dealt with the errors as they arose, including the efficacy, or lack of efficacy, of any remedial efforts; the nature and number of errors committed and their interrelationship, if any; and the strength of the evidence.

27.

When two convictions arise out of a double homicide, one for capital murder as defined in K.S.A. 21-3439(a)(6) and one for the first-degree, premeditated murder of one of the capital murder victims, the convictions are multiplicitous and one conviction must be reversed.

28.

A sentencing court lacks authority to order any term of postrelease supervision when a defendant receives an off-grid indeterminate life sentence.

29.

In Kansas, the death penalty may be imposed only if the jury unanimously finds beyond a reasonable doubt that (1) the aggravating circumstances alleged by the State exist and (2) the existence of such aggravating circumstances is not outweighed by any mitigating circumstances found to exist.

30.

In a capital murder trial, the use of the same factor as both a narrowing qualification for the death penalty at the guilt phase and an aggravating factor at the penalty phase is constitutionally permissible and conforms to legislative intent.

31.

In the penalty phase of a capital murder trial, aggravating circumstances are unconstitutionally duplicative only when one circumstance necessarily subsumes the other.

32.

Under the facts of this case, the aggravating circumstances that the defendant (1) committed the crime in order to avoid or prevent a lawful arrest or prosecution and (2) killed one victim because she was a prospective witness against the defendant are not unconstitutionally duplicative.

33.

In the penalty phase of a capital murder trial, the standard of review on appeal as to the sufficiency of the evidence regarding an aggravating circumstance is whether, after review of all the evidence, viewed in the light most favorable to the prosecution, the appellate court is convinced that a rational factfinder could have found the existence of the aggravating circumstance beyond a reasonable doubt.

34.

In considering a claim that a jury instruction in the penalty phase of a capital murder trial prevented the jury from giving proper consideration to mitigating evidence, the standard of review is whether there is a reasonable likelihood that the jury applied the challenged instruction in a manner that prevented the consideration of constitutionally relevant evidence.

35.

Under the Eighth Amendment to the United States Constitution, a state capital sentencing system must: (1) rationally narrow the class of death-penalty-eligible defendants and (2) permit a jury to render a reasoned, individualized sentencing determination based on a

death-penalty-eligible defendant's record, personal characteristics, and the circumstances of his or her crime.

36.

The use of mitigation evidence is a product of the requirement of individualized sentencing, and to satisfy that requirement the sentencing judge or jury in a capital murder trial must be allowed to consider all relevant mitigating evidence.

37.

Barriers to a capital sentencer's consideration of relevant mitigating evidence are impermissible regardless of whether the barrier is imposed by statute, judicial interpretation of a statute, jury instructions and verdict forms, or prosecutorial argument.

38.

In a capital murder penalty phase proceeding, any jury instruction dealing with the consideration of mitigating circumstances should state: (1) Mitigating circumstances need to be proved only to the satisfaction of the individual juror in the juror's sentencing decision and not beyond a reasonable doubt, and (2) mitigating circumstances do not need to be found by all members of the jury in order to be considered in an individual juror's sentencing decision.

39.

Because K.S.A. 21-4624 expressly burdens the State with proving the existence of aggravating circumstances beyond a reasonable doubt but places no evidentiary burden regarding the existence of

mitigating circumstances on the defendant beyond the burden of production, capital juries in Kansas must be informed that mitigating circumstances need not be proven beyond a reasonable doubt.

Appeal from Barton District Court; HANNELORE KITTS, judge. Opinion filed July 18, 2014. Affirmed in part, vacated in part, and remanded.

Sarah Ellen Johnson, of Capital Appellate Defender Office, argued the cause, and *Rebecca E. Woodman*, of the same office, was with her on the briefs for appellant.

Kristafer R. Ailslieger, deputy solicitor general, argued the cause, and *Natalie Chalmers*, assistant solicitor general, and *Derek Schmidt*, attorney general, were with him on the briefs for appellee.

The opinion of the court was delivered by

Per Curiam: Sidney Gleason was convicted by a jury of capital murder for the "intentional and premeditated killing of more than one person as a part of the same act or transaction or in two or more acts or transactions connected together or constituting parts of a common scheme or course of conduct." K.S.A. 21-3439(a)(6). In a separate penalty phase, the same jury sentenced Gleason to death for the capital offense. The jury also convicted Gleason of separate charges of first-degree premeditated murder, aggravated kidnapping, aggravated robbery, and criminal possession of a firearm. On these convictions, the district court sentenced Gleason to a consecutive controlling prison sentence of life with no possibility of parole for 50 years.

We reject Gleason's challenges to the district court's jurisdiction over the capital murder charge and the sufficiency of the evidence to support his capital conviction and, finding no reversible guilt-phase errors, we affirm Gleason's convictions of capital murder, aggravated kidnapping, aggravated robbery, and criminal possession of a firearm. But we vacate Gleason's conviction of first-degree premeditated murder, and his corresponding hard 50 sentence, because that conviction is multiplicitous with his capital murder conviction.

Further, we reject Gleason's claims that the aggravating circumstances supporting imposition of his death sentence were either legally invalid or not supported by sufficient evidence. But because the district court failed to properly instruct the jury on its duty to consider mitigating circumstances, we vacate Gleason's death sentence and remand for resentencing. Given our decision to vacate the death sentence, we decline to address Gleason's statutory and constitutional challenges to the death penalty.

FACTUAL AND PROCEDURAL BACKGROUND

On February 12, 2004, Gleason, Damien Thompson, Ricky Galindo, Brittany Fulton, and Mikiala "Miki" Martinez robbed Paul Elliott at knifepoint at his home in Great Bend. Sometime thereafter, Gleason and Thompson learned police had interviewed Fulton and Martinez about the robbery. Nine days after the robbery, Gleason and Thompson drove from Lyons to Great Bend where Gleason shot and killed Martinez' boyfriend, Darren Wornkey, wounding Martinez in the process. Thompson and Gleason then kidnapped Martinez and took her to a rural location where

Thompson strangled, shot, and killed her. Gleason and Thompson left Martinez' body near the road and returned to Lyons. Later that evening, Gleason and Thompson returned to the scene of Martinez' murder, placed Martinez' body near a tree further from the road, and covered her body with small branches.

On February 22, 2004, Kansas Bureau of Investigation (KBI) Special Agent Cory Latham arrested Gleason and Thompson for the Elliott robbery. Five days later, the State jointly charged Gleason and Thompson with capital murder for killing Wornkey and Martinez. The State also charged both men with the first-degree premeditated murder and aggravated kidnapping of Martinez. Later, the State amended the complaint to charge both men with the attempted first-degree murder and aggravated robbery of Paul Elliott.

Thompson subsequently agreed to plead guilty to the first-degree murder of Martinez, to disclose the location of Martinez' body, and to testify truthfully in any criminal proceedings against Gleason. In return, the State agreed not to seek a hard 50 sentence against Thompson and to dismiss the remaining charges against him.

In an interview with Agent Latham, Thompson confessed to his role in the Elliott robbery, confessed to killing Martinez, identified Gleason as Wornkey's killer, and explained Gleason's roles in the robbery and in Martinez' kidnapping and murder. Thompson also led Latham and other officers to the location where he and Gleason hid Martinez' body.

At Thompson's plea hearing, he pled guilty to and was convicted of the first-degree premeditated murder of Martinez. The district court then dismissed the remaining charges against Thompson and agreed to the State's request to delay Thompson's sentencing until after Thompson testified at Gleason's preliminary hearing. After Thompson's conviction, the State amended Count 2 of the complaint against Gleason to charge Gleason with the first-degree premeditated murder of Wornkey, rather than Martinez.

At Gleason's preliminary hearing, three witnesses testified—Thompson, Fulton, and Galindo. Thereafter, the district court granted the State's request to add a charge of criminal possession of a firearm, bound Gleason over on all charges, formally arraigned him, and entered not guilty pleas on Gleason's behalf. The State gave oral and written notice of its intent to seek the death penalty. The court later granted Gleason's motion to dismiss the attempted first-degree murder charge arising from the attack on Elliott.

The day after Gleason's preliminary hearing, the district court sentenced Thompson in accordance with his plea agreement to a term of life imprisonment with no possibility of parole for 25 years.

Jury Trial—Guilt Phase

The State's first witness, Agent Latham, provided a comprehensive overview of the robbery/double homicide investigation, including extensive testimony about the substance of Thompson's confession. Defense counsel made no hearsay or confrontation objections to Latham's testimony about Thompson's statements. Several other witnesses also testified without objection

about statements Thompson made during and after the commission of the crimes and after his arrest.

Thompson, who the State called as its last witness, answered a few preliminary questions but ultimately refused to testify. Over Gleason's objections, the district court declared Thompson an unavailable witness and granted the State's request to admit Thompson's preliminary hearing testimony. The district court overruled Gleason's motion for mistrial based on Thompson's refusal to testify.

Testimony of Damien Thompson

After Thompson refused to testify, his preliminary hearing testimony—both direct and cross-examination—was read into the record by the prosecutor, who read his own questions, and Agent Latham, who read Thompson's responses. Through the introduction of Thompson's preliminary hearing testimony, the State established the following facts.

In February 2004, Thompson lived in Lyons and sold narcotics with his cousin, Gleason. On February 12, 2004, Thompson went to Paul Elliott's house with Gleason, Fulton, Martinez, and Galindo to "pick[] up some money." According to Thompson, the group planned that Fulton and Martinez would get money from Elliott in exchange for sex and Gleason and Thompson would collect the money. Thompson stayed in Fulton's car while the others went inside. Martinez and Fulton returned to the car first, while Galindo and Gleason remained inside Elliott's house. Galindo and Gleason later returned to the car with a box of cigarettes and between $10 and $35. Thompson did not ask for details regarding what occurred inside Elliott's

house during the robbery. However, he knew "it went bad" because the "old man [Elliott] got cut up."

The group returned to Fulton's house and discussed the robbery. Based on information the group received from Fulton before the robbery, Thompson believed Elliott would give them $500. When they said they did not get that money, Thompson forced Fulton and Martinez to strip down to their underwear, believing they had taken Elliott's money and hidden it from the rest of the group. Even after the two women disrobed and Thompson found no hidden money, he did not trust them.

The group remained at Fulton's house for a short time after the robbery and eventually went to Galindo's house where they all stayed the night. Thompson, Gleason, and Galindo were all concerned Martinez and Fulton might talk to the police about the robbery, but Thompson denied having any group discussions about these concerns at Galindo's house. Thompson also denied having any discussions that evening about harming Fulton or Martinez and denied ever having a conversation with Galindo about killing Martinez.

Sometime after the Elliott robbery, Thompson learned that either Fulton or Martinez had spoken with the police. Thompson confronted Fulton, demanding she "pick between her and [Martinez], [as to] which one [of the two should die]." Although Thompson was alone when he confronted Fulton, and Gleason did not tell him to do so, Thompson told Gleason about the confrontation after the fact.

Around 11 or 11:30 p.m. on February 20, 2004, Thompson, armed with a 9-millimeter pistol, and

Gleason, carrying a .22 caliber revolver, drove from Lyons to Great Bend to make a dope run and "bring some intimidation" to Fulton or Martinez. When the two men arrived in Great Bend shortly after midnight, a marked police vehicle immediately began following their vehicle. Thompson drove to Martinez' house and parked across the street, and the police vehicle drove past Thompson's car.

Meanwhile, Martinez and Wornkey drove up in Wornkey's Jeep and parked in front of Martinez' house. Before Wornkey and Martinez could get out of the Jeep, Gleason got out of Thompson's car and approached the Jeep, carrying the .22 caliber revolver in his hand. Thompson fixed his eyes on his rearview mirror as he watched the police car drive away. He then heard three or four gunshots and looked toward the Jeep. Thompson could see Gleason standing next to the driver's side where Wornkey was seated, but he did not see if anything transpired between Wornkey and Gleason before the shooting.

After the shooting, Martinez got out of the Jeep and ran to the middle of the street, screaming, "'Why, why, why.'" According to Thompson, Gleason told Martinez to calm down and get into Thompson's car, but neither Thompson nor Gleason used physical force or verbal threats to force her into the car. Instead, Thompson claimed Martinez got into the car "of her own accord." Thompson was "dumbfounded" at this point because he did not know Gleason was going to shoot Wornkey. After Gleason got into the car, Thompson drove out of Great Bend, taking back roads.

During the drive, Martinez "hysterically ask[ed] 'why, why, why'" and at some point told Thompson and

Gleason she had been shot in the leg. Thompson instructed her to "put something around her leg to tighten up."

Both Thompson and Gleason knew Martinez and Wornkey had a history of domestic violence, and it occurred to Thompson that Martinez could claim she shot Wornkey in self-defense. So Thompson "brung up the idea to give [Martinez] the pistol," and Gleason handed the loaded gun to Martinez. But Thompson quickly realized the flaws in his plan and retrieved the gun from Martinez.

After Thompson retrieved the gun, he and Gleason did not discuss what to do with Martinez. Thompson drove for about 15 minutes before parking the car and ordering Gleason and Martinez to get out. As Gleason, Martinez, and Thompson stood near the passenger side of Thompson's car, Thompson pointed his 9-millimeter pistol at Martinez' chest and pulled the trigger, but his pistol jammed. Martinez dove into the backseat of the car to escape, but Thompson pulled her out. Thompson then exchanged guns with Gleason and hit Martinez in the head with Gleason's .22 caliber revolver, causing Martinez to fall to the ground.

Thompson handed the revolver back to Gleason, placed his hands around Martinez' neck, and strangled her for about 5 minutes while Gleason held both guns. As Martinez struggled, scratching Thompson on the neck, Gleason stood by "watching," but he did not try to stop Thompson. However, as Thompson strangled Martinez, Gleason said, "When you get done, let me go next." Thompson responded that he "wasn't no mother fucking pervert." Thompson assumed from Gleason's comment that Gleason thought Thompson was raping

Martinez because Martinez made noises as Thompson strangled her, it was dark outside, and Gleason had poor eyesight.

When he finished strangling Martinez, Thompson grabbed the 9-millimeter pistol from Gleason and shot Martinez in the chest. Thompson then told Gleason they were "even," meaning he and Thompson had each shot and killed one person. According to Thompson, it was entirely his idea to pull over and kill Martinez.

On cross-examination, Thompson testified it was "possible" that Gleason, due to his poor eyesight, had not seen Thompson try to shoot Martinez the first time when the gun misfired. Thompson testified he thought he had killed Martinez by strangling her and tried to explain why he also shot Martinez:

> "Q. [Defense counsel:] Why did you shoot her then? If you thought you had already killed her, then why did you get the gun and shoot her?
>
> "A. [Thompson:] Why did I get the gun?
>
> "Q. Right.
>
> "A. When I seen [Gleason] approaching her with the gun, I just took the gun away from him and then I shot her, and why I did that, I don't know.
>
> "Q. When you say [Gleason] approached her with the gun, he was walking towards her holding the gun; is that right?
>
> "A. That's right.

"Q. You have no idea whether he intended to shoot her, do you?

"A. Well, yeah, his arm was extended outward with the gun in hand.

"Q. Did he fire any shots?

"A. No, because I stopped the gun.

"Q. Did he pull the trigger?

"A. No.

"Q. But you got the gun and, in fact, did fire shots into what is, in your opinion, [Martinez'] dead body?

"A. That's right."

Thompson testified he and Gleason left Martinez' body on the ground and drove back to Gleason's mother's house in Lyons. They arrived home around 2 or 3 a.m. and, at some point, placed their shoes and clothing in trash bags. Later that day, Thompson hid the trash bags in a salvage yard, purchased a scrub brush, and tried to clean Martinez' blood out of the backseat of his car.

That evening, Thompson decided to return to where they had left Martinez' body, and Gleason willingly accompanied him. Both men wore gloves and placed trash bags over their shoes so as not to leave any evidence. Thompson and Gleason moved Martinez' body to a location farther from the road, placed her body behind a tree, disposed of the gloves and the trash bags they had worn over their shoes by "[t]hrowing them out [in] different areas," and returned to Lyons.

On cross-examination, Thompson admitted that, as convicted felons, neither he nor Gleason could legally possess guns. Defense counsel also elicited testimony from Thompson about the terms of his plea agreement, emphasizing Thompson's agreement to plead guilty to first-degree murder in exchange for the State's agreement not to seek the death penalty against him.

Testimony of Other Witnesses and Physical Evidence

Other evidence at trial largely corroborated Thompson's testimony. The State's first witness, Agent Latham, testified at length about Thompson's postarrest statements and confession, which were substantially consistent with Thompson's testimony as recounted above.

Ricky Galindo testified he participated in the Elliott robbery with Gleason, Thompson, Fulton, and Martinez. According to Galindo, before the robbery Fulton and Martinez told the others that Elliott kept between $500 and $700 in his home. Galindo testified all five members of the group walked up to Elliott's house from Fulton's car, while Thompson stayed outside to act as a lookout.

Galindo testified he and Gleason armed themselves with knives and went inside Elliott's home, intending to rob him. They held Elliott down, and when he refused to give them any money, Gleason stabbed him in the neck. Galindo then continued to restrain Elliott while Gleason looked through the house for cash. Galindo and Gleason eventually returned to Fulton's car with less than $20, a cell phone, Elliott's checkbook, and a few cigarettes.

Brittany Fulton testified she and Martinez had been to Elliott's home before the robbery and had informed the others that Elliott kept large amounts of cash in his home. Fulton testified she drove Gleason, Thompson, Galindo, and Martinez to Elliott's home in her car. Fulton and Martinez went inside, intending that one of them would distract Elliott while the other took his money. That plan did not work, so Gleason and Galindo went inside to get the money. Like Thompson, Fulton testified Thompson did not go inside but instead waited in Fulton's car.

Galindo and Fulton both testified the group returned to Fulton's house after the robbery and began arguing because Galindo, Gleason, and Thompson suspected Fulton and Martinez of taking Elliott's money before the men went into Elliott's house. Fulton testified Thompson ordered her and Martinez to disrobe to confirm they were not hiding any money. Galindo testified that in Gleason's presence "Thompson told [Martinez, Fulton], and me that we better not say nothing, he knew where we lived, and stuff like that." Fulton testified the group later went to Galindo's house, where they discussed not talking to the police. According to Fulton, the next morning when the group saw a news report about the Elliott robbery, Gleason and Thompson both stated that "if somebody talked to the cops, somebody would disappear."

A couple of days later, the group got back together. By then everyone in the group knew the police had interviewed Martinez and Fulton. Fulton testified that when the group asked Martinez what she told police, Martinez kept changing her story, causing the others to accuse her of lying. Martinez got upset and

threatened to tell the police everything. According to Fulton, Thompson was not present at that time, but Gleason responded to Martinez' threat by jumping up, yelling, and saying, "[I]f somebody tells the cops something, people are going to disappear." Fulton testified she did not perceive this as a direct threat, but she later testified she was concerned for Martinez' and her own safety because Gleason, Thompson, and Galindo all had threatened them.

Galindo testified that sometime after the robbery he, Gleason, and Thompson discussed killing Martinez and also killing Wornkey if he got in the way. According to Galindo, during that conversation Gleason said "let's get rid of her" and ran his finger across his throat in a throat-slicing gesture. Galindo understood Gleason's comment and gesture to indicate he intended to kill Martinez. Later, without Gleason, Galindo and Thompson discussed killing Martinez. Galindo said he and Thompson planned to go to Martinez' house, choke Martinez, and shoot Wornkey. Galindo testified he had used cocaine the day he and Thompson planned to kill Martinez and Thompson refused to carry out any plans with Galindo until Galindo was sober. Galindo testified he did not want any part in killing Martinez or Wornkey but he was afraid to tell Gleason or Thompson that because he might become a target himself. Galindo testified he tried to avoid Gleason and Thompson after these conversations and, based on Galindo's fear of Gleason and Thompson, Galindo and his girlfriend even moved to a different address.

Fulton testified Thompson angrily confronted her sometime after the robbery, believing that either she or Martinez had talked to police. According to Fulton,

Thompson said "things are going to happen" and that when he found out which woman had talked, "that's going to be the end of it." Fulton testified she was afraid of Thompson and she understood that Thompson "was going to do something."

Great Bend Police Officer Heather Smith testified she drove by Martinez' house shortly after midnight on February 21, 2004. As she approached the house, which was on Lakin Street between Holland and Hubbard, Smith saw Wornkey's Jeep parked in front of the house and noticed the Jeep's headlights were on. Smith testified she was familiar with Martinez and Wornkey, Martinez' house, and Wornkey's Jeep because she had "taken calls" at Martinez' house and had "talked to them" on prior occasions.

Smith also noticed a four-door passenger car approaching the stop sign at the intersection of Lakin and Hubbard. Smith drove through that intersection and past Wornkey's Jeep, which was parked on the left side of Lakin. Smith testified she did not see anyone inside the Jeep or near Martinez' house. Smith pulled over to the right side of Lakin, directly in front of Martinez' house, and allowed the car to pass her so she could log the tag number. Smith testified she routinely logged tag numbers of vehicles while she was on patrol. Smith could not see the car's driver, nor could she identify the car's color, although she testified it might have been green or blue. Smith later ran the car's tag number and learned it was registered to Thompson. According to Smith, Thompson's car continued driving westbound on Lakin.

After she wrote down Thompson's tag number, Smith drove around the block in order to take another

look at the Jeep. The headlights had turned off, but Smith still did not see anyone in or around the Jeep. Smith drove past the Jeep, made a U-turn, and pulled behind the Jeep before logging Wornkey's tag number. As Smith drove away from Martinez' house, she did not hear any gunshots.

Irma Rodriguez, Martinez' neighbor, testified that sometime after midnight on February 21, 2004, she got up to go to the bathroom and heard a noise "like something was hitting like metal on the trampoline that was beside the house." Rodriguez also heard someone screaming, recognized Martinez' voice, and went to her kitchen to look out the window. Rodriguez watched as two men pushed Martinez into a gray car before the car quickly drove away. Although Rodriguez was not wearing her glasses and could only see silhouettes, she recognized Martinez by her voice. After witnessing this event, Rodriguez went back to sleep.

Thompson's ex-girlfriend, J'Anna Edwards, testified Thompson and Gleason left Lyons around 11:30 p.m. on February 20, 2004, and returned home about 2:30 the next morning. Later that morning, Edwards noticed several scratches on Thompson's neck and arm. Edwards asked Thompson, and later Gleason, about the scratches. Both men instructed her not to ask about the injuries. The State admitted into evidence several booking photographs taken on February 22, 2004, showing scratches on Thompson's arm, throat, and neck.

KBI Agent Steve Bundy testified he recovered trash bags containing Gleason's and Thompson's shoes and clothing from the salvage yard where Thompson said he had hidden the bags. Based on information from

Thompson, investigators also recovered both murder weapons—the .22 caliber revolver and the 9-millimeter semiautomatic pistol. Thompson had given both guns to his younger brother, and the guns passed through several hands before officers recovered them. Bundy submitted the 9-millimeter pistol to the KBI for testing, but the State presented no fingerprint evidence.

KBI forensic scientist James Newman testified he found a Dollar General receipt in the backseat of Thompson's car, found and tested at least three areas of blood from the backseat of Thompson's car, and discovered a scrub brush in Thompson's trunk. Newman tested several pieces of evidence against a known blood sample from Martinez and testified Martinez' blood matched blood found in several locations: (1) the pavement and grass where Wornkey was shot; (2) on the backseat of Thompson's car; (3) in a bullet hole in the ground at the Martinez crime scene; and (4) on Gleason's and Thompson's shoes. Additionally, a partial DNA profile taken from the scrub brush found in Thompson's trunk was consistent with Martinez' blood, but the profile was insufficient to make a positive match.

Terri Canterbury, manager of the Lyons Dollar General store, verified that the receipt found in Thompson's car originated from the Lyons store and verified the purchase of two scrub brushes on the afternoon of February 21, 2004. Canterbury testified she recalled speaking to a KBI agent about the receipt and identifying the clerk who rang up the sale.

KBI firearm and tool mark examiner Amy Coody identified a bullet fragment recovered from Wornkey's

body as a .22 caliber bullet. Agent Latham testified that because revolvers do not eject spent shell casings, the absence of any spent shell casings at the Wornkey crime scene was consistent with Thompson's statement that Gleason used a .22 caliber revolver to shoot Wornkey.

Latham also testified that officers discovered a live round of 9-millimeter ammunition at the Martinez crime scene, which was consistent with Thompson's statement that his pistol jammed when he first tried to shoot Martinez. Latham explained that normally when a jammed pistol is cleared, a live round is ejected. Further, Coody identified a bullet recovered from a hole in the ground at the Martinez crime scene as having class characteristics similar to bullets she test-fired from Thompson's 9-millimeter pistol. And Latham explained that the recovery of the bullet from a hole in the ground corroborated Thompson's statement that he shot Martinez in the chest as she was lying on her back on the ground.

Martinez' autopsy revealed a close-range gunshot wound to her chest, distant-range gunshot wounds to her right thigh and right calf, and a possible gunshot wound just above her right elbow. Wornkey's autopsy revealed intermediate-range gunshot wounds to his head and left shoulder and two distant-range gunshot wounds to his upper right arm. Mary Dudley, the district coroner, performed autopsies on Martinez and Wornkey and testified the wound to Martinez' right leg could have been caused by the same bullet that passed through Wornkey's right arm.

Defense Case-in-Chief

Gleason presented testimony from several witnesses in his case-in-chief. Optometrist David Cooper testified he examined Gleason in April 2005, more than 1 year after the double homicide. Cooper diagnosed Gleason as nearsighted in both eyes and testified Gleason had trouble seeing farther than 13 inches in front of him. On cross-examination, Cooper admitted he did not know the condition of Gleason's eyes in February 2004, but he assumed his condition would have been the same. Cooper also testified that based on Gleason's April 2005 diagnosis, Gleason likely could have seen the form of a person standing at least 4 feet away but could not have detected that person's eye color or discerned words on that person's clothing.

Sylvia Krause, a clerk from the Lyons Dollar General store, testified she spoke with a KBI agent in February 2004 regarding two men who purchased scrub brushes. According to Krause, one man was black and the other was "a taller skinny white man." Krause testified Gleason looked "vaguely familiar" but she could not state whether she saw Gleason in the store on the day the scrub brushes were purchased.

KBI Special Agent Delbert Hawel testified he interviewed Canterbury and Krause several days after the double homicide. Canterbury told Hawel she worked on February 21, 2004, and recalled being approached by a white male who asked about scrub brushes. Krause told Hawel she remembered two men, one black and one white with light-colored hair, asking Canterbury about scrub brushes and purchasing scrub brushes at Krause's register. Hawel testified he showed Krause a series of eight photographs, including photos

of Gleason and Thompson, and Krause identified Thompson as the black male who purchased the scrub brushes.

Finally, Great Bend Police Officer William Widiger testified he interviewed Martinez' neighbor, Irma Rodriguez, with an interpreter's assistance. Rodriguez told Widiger she saw Wornkey and Martinez arguing on the evening of the double homicide and saw Wornkey bang a bat on a nearby trampoline. Rodriguez also saw Wornkey's Jeep at Martinez' house around midnight and could see Wornkey and Martinez sitting in the Jeep. Next, she saw a gray passenger car drive up, saw two men forcibly remove Martinez from the Jeep and put her in the car, and saw the two men go back to the Jeep and put their hands in the driver's window. The two men then got into the car and drove off.

The jury deliberated 2 hours and 12 minutes before finding Gleason guilty of capital murder under K.S.A. 21-3439(a)(6) for the murders of Wornkey and Martinez, premeditated first-degree murder for the killing of Wornkey, aggravated kidnapping of Martinez, aggravated robbery of Elliott, and criminal possession of a firearm.

Penalty Phase and Sentencing

In order to meet its burden to demonstrate the death penalty was warranted because aggravating circumstances were not outweighed by mitigating circumstances, the State alleged four aggravating circumstances: (1) Gleason had a prior felony conviction in which he inflicted great bodily harm, disfigurement, dismemberment, or death on another, (2) Gleason

knowingly or purposely killed or created a great risk of death to more than one person, (3) Gleason committed the crime in order to avoid or prevent his lawful arrest or prosecution, and (4) Martinez was killed because she was a prospective witness against Gleason. See K.S.A. 21-4625 (listing aggravating circumstances).

The State presented evidence of Gleason's prior felony conviction for attempted voluntary manslaughter. Agent Latham testified that less than 1 month before the double homicide that is the subject of this case, Gleason was released on parole from his sentence for that conviction. Further, Latham testified that at the time of Gleason's trial in this case, the victim of Gleason's prior crime still had a bullet in his chest, scars from three gunshot wounds, and a surgical scar from the removal of a bullet from his hip.

Latham also testified about the connection between the double homicide and the Elliott robbery. Latham explained that police interviewed Martinez and Fulton about the Elliott robbery and Gleason knew about the interviews. Through his investigation, Latham learned Gleason had threatened others who participated in the robbery and suggested that anyone who talked to police would "disappear." According to Latham, Martinez was a prospective witness against Gleason because of her involvement in the Elliott robbery.

Gleason asserted numerous mitigating circumstances including but not limited to: (1) He had an impaired capacity to appreciate the criminality of his conduct or to conform his conduct to the requirements of law; (2) he was relatively young (age 24) at the time of the crime; (3) the public would be adequately protected from him by a term of

imprisonment; (4) he committed the underlying crimes with Thompson, who significantly participated and planned the crimes; (5) Thompson received a life sentence and would be eligible for parole in less than 23 years; (6) Gleason was deprived of contact with his mother in his youth due to her incarceration; (7) he and both of his siblings were currently in jail or prison; (8) he was an obedient child and an excellent student when he lived with his great aunt; and (9) he is loved by his family. See K.S.A. 21-4626 (providing nonexclusive list of mitigating circumstances).

In support of mitigation, Gleason presented testimony from his mother, great aunt, two brothers, and his childhood pastor. Highly summarized, Gleason's mother testified that while she was in prison on drug charges, Gleason lived with his great aunt from the time he was 4 or 5 years old until he was 12 or 13 years old. Gleason's witnesses testified that during this time period Gleason was well-behaved and a good student. Gleason and his brothers, both of whom were incarcerated at the time of Gleason's trial, essentially "ran wild" in their early teens after being reunited with their mother upon her release from prison. Gleason's great aunt testified that Gleason was artistic and identified two exhibits as artwork Gleason created in his early 20's.

Ultimately, the jury found the existence of all four aggravating circumstances alleged by the State beyond a reasonable doubt, determined the aggravating circumstances were not outweighed by any mitigating circumstances, and unanimously agreed to sentence Gleason to death.

At sentencing, the district court accepted the jury's guilt-phase and penalty-phase verdicts and imposed a sentence of death for the capital murder conviction. The court also imposed a consecutive controlling sentence of life without the possibility of parole for 50 years for the first-degree murder conviction, which included concurrent prison sentences of 586 months for the aggravated kidnapping conviction, 59 months for the aggravated robbery conviction, and 8 months for the firearm conviction. Finally, the court imposed periods of lifetime postrelease supervision for each noncaptial conviction.

Because Gleason was sentenced to death, this court's jurisdiction arises under K.S.A. 21-4627(a).

CHALLENGES TO THE CAPITAL MURDER CONVICTION

We first consider Gleason's challenges relating solely to his capital murder conviction. He claims (1) the district court lacked subject matter jurisdiction over the capital charge, (2) the State failed to prove every element of capital murder, (3) the district court failed to adequately instruct the jury on the law of aiding and abetting, and (4) the district court erred in refusing his request for an instruction on felony murder as a lesser included offense of capital murder. We reject each of these challenges.

The district court had subject matter jurisdiction over the capital murder charge.

Gleason claims the district court lacked subject matter jurisdiction over the capital murder charge because his actions in personally killing Wornkey and aiding and abetting Thompson's killing of Martinez do not constitute capital murder as defined in K.S.A. 21-

3439(a)(6). This issue raises questions of jurisdiction and statutory interpretation, both of which are questions of law subject to de novo review. *State v. Sales*, 290 Kan. 130, 134, 224 P.3d 546 (2010).

District courts have subject matter jurisdiction to hear all felony and other criminal cases arising under Kansas statutes. K.S.A. 22-2601. Capital murder is a felony and, as charged in this case, is the "intentional and premeditated killing of more than one person as a part of the same act or transaction or in two or more acts or transactions connected together or constituting parts of a common scheme or course of conduct." K.S.A. 21-3439(a)(6). Because it was undisputed that Thompson personally killed Martinez, the State relied on a theory of aiding and abetting as codified in K.S.A. 21-3205 to support its claim that Gleason was responsible for the intentional, premeditated killing of Martinez—the second murder necessary to support the capital murder charge against Gleason.

Gleason acknowledges that under the aiding and abetting statute "[a] person is criminally responsible for a crime committed by another if such person intentionally aids, abets, advises, hires, counsels or procures the other to commit the crime." K.S.A. 21-3205(1). But he focuses on the phrase "to commit *the crime*," arguing that "the crime" at issue here is capital murder based on killing more than one person and "[n]either 21-3439(a)(6) nor 21-3205(1) contain language which would allow the State to hybridize two killings into one unit of prosecution for capital murder under 21-3439(a)(6) against an individual who neither actually killed more than one person nor aided and abetted another's act of killing more than one

person." Put more simply, Gleason argues that when the aiding and abetting statute is read in conjunction with the capital murder statute, it is clear that the capital murder statute applies only when the defendant *either* personally kills two people *or* aids and abets the killing of two people. Gleason argues his actions in killing Wornkey and aiding and abetting Martinez' murder does not constitute capital murder as defined in K.S.A. 21-3439(a)(6).

But contrary to Gleason's argument, nothing in the plain language of K.S.A. 21-3439 or K.S.A. 21-3205(1), or in our prior caselaw, suggests a person must *either* personally kill more than one victim *or* aid and abet the killing of more than one victim to be charged with capital murder under K.S.A. 21-3439(a)(6). Further, "the crime" at issue for purposes of aiding and abetting liability in this case is not capital murder; rather, it is "the crime" of intentional, first-degree premeditated murder committed against Martinez by Thompson.

A defendant can be convicted of intentional, premeditated murder under a theory of aiding and abetting as long as the State proves the defendant shared the principal actor's premeditated intent to murder the victim, knowingly associated with the unlawful venture, and participated in such a way as to indicate he or she was facilitating the success of the venture. *State v. Overstreet*, 288 Kan. 1, 11, 200 P.3d 427 (2009); *State v. Baker*, 287 Kan. 345, 366, 197 P.3d 421 (2008); *State v. Engelhardt*, 280 Kan. 113, 132, 119 P.3d 1148 (2005); see also *State v. Scott*, 286 Kan. 54, 121, 183 P.3d 801 (2008) ("Even if a capital murder is predicated on a theory of aiding and abetting, we require that the defendant must intentionally aid or

abet with the intent to promote or assist in the commission of the crime.").

Accordingly, the State may rely on the theory of aiding and abetting to support one or more of the intentional, premeditated murders necessary to support a capital murder charge under K.S.A. 21-3439(a)(6) for the killing of multiple victims. The district court, therefore, had subject matter jurisdiction over the capital murder charge.

The State proved every element of capital murder.

Next, Gleason challenges the sufficiency of the evidence to support his capital murder conviction. To satisfy a criminal defendant's right to due process under the Fourteenth Amendment to the United States Constitution, the State is required to prove "every fact necessary to constitute the crime" charged beyond a reasonable doubt. *In re Winship*, 397 U.S. 358, 364, 90 S. Ct. 1068, 25 L. Ed. 2d 368 (1970); see also *State v. Switzer*, 244 Kan. 449, 450, 769 P.2d 645 (1989).

> "When examining the sufficiency of the evidence in a criminal case, the standard of review is whether, after reviewing all the evidence in the light most favorable to the prosecution, the appellate court is convinced that a rational factfinder could have found the defendant guilty beyond a reasonable doubt. [Citations omitted.] The appellate court does not reweigh the evidence, assess the credibility of the witnesses, or resolve conflicting evidence. [Citation omitted.]" *State v. Raskie*, 293 Kan. 906, 919-20, 269 P.3d 1268 (2012).

Here, the State was required to prove beyond a reasonable doubt that (1) Gleason killed Wornkey and Martinez, (2) the killings were intentional and premeditated, and (3) the killings were part of the same act or transaction or two or more connected transactions. See K.S.A. 21-3439(a)(6); *Scott*, 286 Kan. at 63 (discussing elements of capital murder). Gleason claims only that the State failed to prove the third element.

This element "requires that the multiple killings be related to one another in some way, that they occur 'as a part of the same act or transaction,' or 'in two or more acts connected together or constituting parts of a common scheme or course of conduct.'" *State v. Harris*, 284 Kan. 560, 572, 162 P.3d 28 (2007) (quoting K.S.A. 21-3439[a][6]). Although Gleason's argument as to this issue is murky, he seems to suggest that there is no evidence the murders are related because there is no evidence he and Thompson talked about killing Wornkey or that Thompson knew Gleason intended to kill Wornkey, and no evidence he and Thompson talked about killing Martinez on the way to Great Bend or that Gleason knew Thompson intended to shoot Martinez.

But this argument ignores our standard of review, which requires us to view the evidence in the light most favorable to the State. Here, the State presented evidence that Gleason and Thompson armed themselves and drove to Great Bend to "bring some intimidation" to Martinez based on their mutual belief Martinez had talked to police about the Elliott robbery—a robbery in which Thompson and Gleason participated. Thompson parked across the street from

Martinez' house; and when Martinez and Wornkey arrived home, Thompson watched as Gleason approached Wornkey's Jeep and shot and killed Wornkey, wounding Martinez. Gleason and Thompson then kidnapped Martinez and took her to a rural location where Thompson strangled, shot, and killed her. Viewing this evidence in the light most favorable to the State, we are convinced a rational jury could have found beyond a reasonable doubt that the murders of Martinez and Wornkey were sufficiently related to support the capital murder charge.

The district court adequately instructed the jury on the law of aiding and abetting.

Next, Gleason argues the aiding and abetting instruction, when considered in conjunction with the elements instructions on the capital murder charge and lesser included crimes, failed "to adequately convey to the jury that, in order to convict [him] as an accomplice to the crime of capital murder, it must find beyond a reasonable doubt that [he] *himself* had a specific, premeditated intent to kill" Martinez.

Standard of Review

Because Gleason objected to this jury instruction on different grounds at trial, we will consider this asserted error as raised for the first time on appeal. See *State v. Ellmaker*, 289 Kan. 1132, 1138-39, 221 P.3d 1105 (2009), *cert. denied* 560 U.S. 966 (2010). We review instruction errors raised for the first time on appeal for clear error, even in capital cases. See K.S.A. 22-3414(3); *State v. Williams*, 295 Kan. 506, 515, 286 P.3d 195 (2012); *State v. Robinson*, 293 Kan. 1002, 1036, 270 P.3d 1183 (2012) (applying clear-error rule to

unpreserved guilt-phase instruction error in capital case); *State v. Kleypas*, 272 Kan. 894, 939-40, 941-42, 40 P.3d 139 (2001) (same), *cert. denied* 537 U.S. 834 (2002), *overruled in part on other grounds by State v. Marsh*, 278 Kan. 520, 102 P.3d 445 (2004), *rev'd on other grounds by Kansas v. Marsh*, 548 U.S. 163, 126 S. Ct. 2516, 165 L. Ed. 2d 429 (2006).

Applying *Williams*' framework, we first determine whether the instruction was erroneous. If we find error, we then review the entire record de novo to determine whether reversal is required. Reversal is required only if we are firmly convinced the jury would have reached a different verdict absent the instruction error. Gleason bears the burden of establishing clear error under K.S.A. 22-3414(3). See *Williams*, 295 Kan. at 515-16.

Analysis

At the time Gleason committed the underlying offenses in this case, the aiding and abetting statute provided in relevant part:

> "(1) A person is criminally responsible for a crime committed by another if such person intentionally aids, abets, advises, hires, counsels or procures the other to commit the crime.
>
> "(2) A person liable under subsection (1) hereof is also liable for any other crime committed in pursuance of the intended crime if reasonably foreseeable by such person as a probable consequence of committing or attempting to commit the crime intended." K.S.A. 21-3205.

Here, in Instruction No. 9 the district court instructed the jury on a modified form of PIK Crim. 3d 54.05:

> "A person who, either before or during its commission, intentionally aids, abets, advises, hires, counsels or procures another to commit a crime with intent to promote or assist in its commission is criminally responsible for the crime committed regardless of the extent of the defendant's participation, if any, in the actual commission of the crime.
>
> "Mere association with the principals who actually commit the crime or mere presence in the vicinity of the crime is insufficient to establish guilt as an aider and abettor. To be guilty of aiding and abetting in the commission of a crime the defendant must willfully and knowingly associate himself with the unlawful venture and willfully participate in it as he would in something he wishes to bring about or to make succeed."

Gleason acknowledges that the instruction's first paragraph mimics the first paragraph of PIK Crim. 3d 54.05 and is consistent with K.S.A. 21-3205(1). But he argues this language was inadequate to inform the jury that a "'person guilty of aiding and abetting a premeditated first-degree murder must be found, beyond a reasonable doubt, to have had the requisite premeditation to murder the victim,'" as stated in *State v. Engelhardt*, 280 Kan. 113, 132, 119 P.3d 1148 (2005).

Gleason's argument as to this issue is foreclosed by our recent decision in *State v. Betancourt*, 299 Kan.

131, 322 P.3d 353 (2014). There, we rejected a similar challenge to the aiding and abetting instruction by the defendant in a first-degree premeditated murder case and reaffirmed that PIK Crim. 3d 54.05 accurately expresses the law on aiding and abetting when read in conjunction with the elements instruction for first-degree premeditated murder. 299 Kan. at 135-36.

As in *Betancourt*, the aiding and abetting instruction given here, when read in conjunction with the element instructions, accurately advised the jury as to the law on aiding and abetting. In fact, the instructions given here arguably directed the jury more clearly than did the instructions at issue in *Betancourt*. Significantly, the *Betancourt* jury instruction included only the language of the first paragraph of what was Instruction No. 9 in this case. But here the jury was further instructed: "To be guilty of aiding and abetting in the commission of a crime the defendant must willfully and knowingly associate himself with the unlawful venture and willfully participate in it as he would in something he wishes to bring about or to make succeed."

This language draws directly from our prior caselaw explaining the law on aiding and abetting. See *Betancourt*, 299 Kan. at 134 (citing cases); see also *Rosemond v. United States*, 572 U.S. ___, 134 S. Ct. 1240, 1248, 188 L. Ed. 2d 248 (2014) (discussing Judge Learned Hand's "canonical formulation" of intent required to prove aiding and abetting: "To aid and abet a crime, a defendant must not just 'in some sort associate himself with the venture,' but also 'participate in it as in something that he wishes to bring about' and 'seek by his action to make it

succeed.'" [quoting *Nye & Nissen v. United States*, 336 U.S. 613, 619, 69 S. Ct. 766, 93 L. Ed. 919 (1949)]).

Thus, Instruction No. 9 fully informed the jury on the law of aiding and abetting. Further, when Instruction No. 9 is considered in conjunction with other instructions, the court fully informed the jury that in order to find the defendant guilty of capital murder, it must first find that Gleason premeditated and intended both murders. See *State v. Llamas*, 298 Kan. 246, 261, 311 P.3d 399 (2013) ("When we review claimed instructional error, 'we examine the instructions as a whole, rather than isolate any one instruction.'" [quoting *Ellmaker*, 289 Kan. at 1139-40]).

For instance, Instruction No. 10 informed the jury as to the elements of capital murder:

> "To establish this charge, each of the following claims must be proved:
>
> "1. That Sidney Gleason intentionally killed Darren R. Wornkey and Mikiala 'Mikki' Martinez;
>
> "2. That such killings were done with premeditation;
>
> "3. That the premeditated and intentional killings of Darren R. Wornkey and Mikiala 'Mikki' Martinez were part of the same act or transaction and/or two or more acts or transactions connected together or constituting parts of a common scheme or course of conduct; [and]

"4. That this act occurred on or about the 21st day of February, 2004, in Barton County, Kansas."

Additionally, the trial court instructed the jury on the lesser included offenses of first-degree and second-degree murder. Both these instructions advised the jury it must find "Gleason intentionally killed" Martinez. Further, the first-degree murder instruction informed the jury that to convict Gleason of first-degree murder it must find "such killing was done with premeditation."

In conclusion, whether standing alone or read in conjunction with the elements instructions, the aiding and abetting instruction given in this case correctly stated the law on aiding and abetting. Further, the instructions as a whole sufficiently conveyed to the jury that in order to find Gleason guilty of capital murder, the jury had to find Gleason premeditated and intended both Wornkey's and Martinez' murders.

The district court did not err in denying Gleason's request for an instruction on felony murder as a lesser included offense of capital murder.

Gleason requested an instruction on felony murder as a lesser included offense of capital murder, arguing there was some evidence to support the instruction under K.S.A. 21-3107(2)(a). The district court denied his request, and on appeal Gleason challenges that denial, arguing the instruction was both factually and legally appropriate.

Standard of Review

Because Gleason requested a felony-murder instruction, we apply an unlimited review to determine whether the instruction would have been legally appropriate. If so, we next consider whether the evidence, when viewed in the light most favorable to the requesting party, was sufficient to support the instruction. Finally, if the district court erroneously refused to give the instruction, we determine whether the error was harmless, utilizing the test and degree of certainty set forth in *State v. Ward*, 292 Kan. 541, Syl. ¶ 6, 256 P.3d 801 (2011), *cert. denied* 132 S. Ct. 1594 (2012). See *State v. Plummer*, 295 Kan. 156, Syl. ¶ 1, 283 P.3d 202 (2012).

A felony-murder instruction would not have been legally appropriate because felony murder is not a lesser included offense of capital murder.

A trial court must give a lesser included offense instruction when "'there is some evidence which would reasonably justify a conviction of some lesser included crime'" as defined in K.S.A. 21-3107(2). K.S.A. 22-3414(3); *Plummer*, 295 Kan. at 161.

At the time of oral argument in this case, our caselaw supported Gleason's position that a felony-murder instruction would have been legally appropriate. See *State v. Cheever*, 295 Kan. 229, 259, 284 P.3d 1007 (2012) (holding, as a matter of first impression, that "felony murder is a lesser included crime of capital murder" under K.S.A. 2011 Supp. 21-5109[b][1] because felony murder is a lesser degree of homicide than capital murder), *reversed in part on*

other grounds by Kansas v. Cheever, 134 S. Ct. 596 (2013).

But while Gleason's appeal was pending, the legislature amended K.S.A. 2012 Supp. 21-5402 to explicitly provide that K.S.A. 2013 Supp. 21-5109 (defining lesser included crimes) is not applicable to felony murder and felony murder is *not* a lesser included offense of capital murder. K.S.A. 2013 Supp. 21-5402(d). Additionally, the legislature declared the 2013 amendments to K.S.A. 2012 Supp. 21-5402 "establish a procedural rule for the conduct of criminal prosecutions and shall be construed and applied retroactively to all cases currently pending." K.S.A. 2013 Supp. 21-5402(e).

Given the significance of these legislative amendments to Gleason's alleged instruction error, we granted the State's unopposed motion to file a supplemental brief addressing the amendments' applicability to this case. In its supplemental brief, the State argues the 2013 amendments to K.S.A. 2012 Supp. 21-5402 foreclose Gleason's argument since the amendments apply retroactively to cases pending on appeal and exclude felony murder as a lesser included offense of capital murder. Gleason, on the other hand, argues the statute as amended is unconstitutional because it violates capital murder defendants' rights to due process as interpreted in *Beck v. Alabama*, 447 U.S. 625, 100 S. Ct. 2382, 65 L. Ed. 2d 392 (1980), and because retroactive application of the statute in his case violates the constitutional prohibition against ex post facto laws.

Generally, statutes operate prospectively unless clear legislative language indicates otherwise. *State v.*

Wells, 297 Kan. 741, 761, 305 P.3d 568 (2013) (citing *State v. Martin*, 270 Kan. 603, 608-09, 17 P.3d 344 [2001]). Here, the legislature clearly expressed its intent to retroactively apply K.S.A. 2013 Supp. 21-5402. Nevertheless, we must consider Gleason's argument that the statute, as amended, is unconstitutional and that its retroactive application would violate the prohibition against ex post facto laws. See *State v. Todd*, 299 Kan. ___, 323 P.3d 829, 839 (2014) (noting legislative authority to declare statute retroactive is not unlimited because "neither the statute itself nor its retroactive application may offend the federal or state Constitutions"); see also *State v. Barnes*, 278 Kan. 121, 129, 92 P.3d 578 (2004) (noting ex post facto limitations on retroactive legislation even when legislative intent is clear).

The 2013 amendment excluding felony murder as a lesser included offense of capital murder does not violate capital defendants' due process rights.

Relying on *Beck*, Gleason contends a defendant facing a death sentence is constitutionally entitled to a lesser included offense instruction on felony murder as an alternative to capital murder when the evidence supports such a verdict. Further, he argues this application of *Beck* renders K.S.A. 2013 Supp. 21-5402 unconstitutional. But Gleason misstates and misapplies *Beck*'s holding.

In *Beck*, the United States Supreme Court held that imposition of the death penalty violated the defendant's due process rights when "'the jury was not permitted to consider a verdict of guilt of a lesser included noncapital offense, and when the evidence would have supported such a verdict.'" 447 U.S. at 627. But the

constitutional violation in *Beck* arose from unique provisions of Alabama law which prohibited giving any lesser included offense instructions in capital cases and required a jury to impose the death penalty upon conviction of a capital offense. Thus, at the time of Beck's conviction, Alabama law gave capital juries two options: (1) find the defendant guilty of a capital offense and impose the death penalty, or (2) find the defendant not guilty. 447 U.S. at 628-29. In concluding the death penalty could not be imposed under these circumstances, the *Beck* Court reasoned:

> "[W]hen the evidence unquestionably establishes that the defendant is guilty of a serious, violent offense—but leaves some doubt with respect to an element that would justify conviction of a capital offense—the failure to give the jury the 'third option' of convicting on a lesser included offense would seem inevitably to enhance the risk of an unwarranted conviction." 447 U.S. at 637.

The Supreme Court subsequently clarified in *Hopkins v. Reeves*, 524 U.S. 88, 96, 118 S. Ct. 1895, 141 L. Ed. 2d 76, *reh. denied* 524 U.S. 968 (1998), that it had premised its finding of a due process violation in *Beck* on Alabama's preclusion of *all* lesser included offense instructions in capital cases even though such instructions generally were given in noncapital cases. The Court reasoned: "Alabama thus erected an 'artificial barrier' that restricted its juries to a choice between conviction for a capital offense and acquittal." 524 U.S. at 96.

But the Kansas Legislature's exclusion of felony murder as a lesser included offense of capital murder

did not generate the same "all-or-nothing" situation for Kansas juries deciding whether to impose the death penalty. Unlike the Alabama law at issue in *Beck*, K.S.A. 2013 Supp. 21-5402(d) does not prohibit a trial court from giving other lesser included offense instructions in capital murder cases. For example, the trial court here instructed the jury it could find Gleason guilty of (1) capital murder for killing Wornkey and Martinez, (2) first-degree premeditated murder for killing Martinez, (3) second-degree intentional murder for killing Martinez, or (4) not guilty. Even under K.S.A. 2013 Supp. 21-5402(d), these same lesser included offense instructions would be legally appropriate in a capital murder case.

Our understanding of *Beck* is buttressed by *Schad v. Arizona*, 501 U.S. 624, 645-48, 111 S. Ct. 2491, 115 L. Ed. 2d 555, *reh. denied* 501 U.S. 1277 (1991). There, the Court emphasized that because the defendant's jury was not faced with an "all-or-nothing choice between the offense of conviction (capital murder) and innocence," the "central concern of *Beck*" was not implicated. 501 U.S. at 647; see also *Spaziano v. Florida*, 468 U.S. 447, 455, 104 S. Ct. 3154, 82 L. Ed. 2d 340 (1984) ("The goal of the *Beck* rule, in other words, is to eliminate the distortion of the factfinding process that is created when the jury is forced into an all-or-nothing choice between capital murder and innocence.").

The *Schad* Court concluded the trial court's refusal to instruct the jury on every lesser included noncapital offense supported by the evidence did not violate the defendant's due process rights, reasoning, in part, that

> "the fact that the jury's 'third option' was second-degree murder rather than robbery does not diminish the reliability of the jury's capital murder verdict. To accept the contention advanced by petitioner and the dissent, we would have to assume that a jury unconvinced that petitioner was guilty of either capital or second-degree murder, but loath to acquit him completely (because it was convinced he was guilty of robbery), might choose capital murder rather than second-degree murder as its means of keeping him off the streets. Because we can see no basis to assume such irrationality, we are satisfied that the second-degree murder instruction in this case sufficed to ensure the verdict's reliability." 501 U.S. at 647-48.

Similarly, we will not assume here that the jury, unconvinced of Gleason's guilt of capital murder, first-degree premeditated murder, or second-degree intentional murder "but loath to acquit him completely" (because the jury was convinced that he was guilty of felony murder), might have chosen the capital offense as its means of holding Gleason accountable for Martinez' murder.

We therefore reject Gleason's due process challenge to K.S.A. 2013 Supp. 21-5402 and conclude the district court did not err in denying Gleason's request for a felony murder instruction.

Applying the amended statute to Gleason does not violate the prohibition against ex post facto laws.

Alternatively, Gleason asserts that retroactive application of K.S.A. 2013 Supp. 21-5402 violates the

prohibition against ex post facto laws. See U.S. Const. art. 1, § 9 ("No Bill of Attainder or ex post facto Law shall be passed."); U.S. Const. art. 1, § 10 ("No State shall pass any ex post facto Law.").

We have held that a law is ex post facto if two critical elements are present: (1) The law is retrospective, and (2) the law disadvantages the offender affected by it. *State v. Jaben*, 294 Kan. 607, 612, 277 P.3d 417 (2012); *State v. Cook*, 286 Kan. 766, 770, 187 P.3d 1283 (2008) (quoting *Weaver v. Graham*, 450 U.S. 24, 29, 101 S. Ct. 960, 67 L. Ed. 2d 17 [1981]). Gleason claims both elements are present here.

Given the Kansas Legislature's clearly expressed intent for retroactive application of K.S.A. 2013 Supp. 21-5402, we need only consider the second element of the test stated above—*i.e.*, whether the law "disadvantages" Gleason. See K.S.A. 2013 Supp. 21-5402(e) ("The amendments to this section by this act shall be construed and applied retroactively to all cases currently pending.").

We recently clarified that "retroactively applied legislation that simply 'alters the situation of a party to his disadvantage' does not, in and of itself, violate the Ex Post Facto Clause. The disadvantage, to be unconstitutional under the Clause, must fall within one of the categories recognized in *Beazell* [*v. Ohio*, 269 U.S. 167, 46 S. Ct. 68, 70 L. Ed. 216 (1925)]." *Todd*, 299 Kan. at ___, 323 P.3d at 840. As identified in *Beazell*, those categories are:

> "'"[A]ny statute which punishes as a crime an act previously committed, which was innocent when done, which makes more burdensome the

> punishment for a crime, after its commission, or which deprives one charged with crime of any defense available according to law at the time when the act was committed, is prohibited as *ex post facto*.'" *Todd*, 299 Kan. at ___, 323 P.3d at 840 (quoting *Beazell*, 269 U.S. at 169-70).

As the State points out, the amendments inK.S.A. 2013 Supp. 21-5402 excluding felony murder as a lesser included offense of capital murder do not fit within any of these categories. Consequently, retroactive application of K.S.A. 2013 Supp. 21-5402 does not violate the Ex Post Facto Clause. See *Todd*, 299 Kan. at __, 323 P.3d at 841 (concluding K.S.A. 2013 Supp. 21-5402(d) could be applied retroactively without violating Ex Post Facto Clause).

K.S.A. 2013 Supp. 21-5402(d), by its express language, applies retroactively, foreclosing Gleason's claim that the district court erred in refusing Gleason's request for a felony-murder instruction. Further, the 2013 amendments do not violate Gleason's constitutional right to due process, as interpreted in *Beck*, nor does retroactive application violate the prohibition against ex post facto laws.

CHALLENGES TO ALL CONVICTIONS

We have rejected Gleason's claims that errors specific to his capital murder conviction require reversal of that conviction. Next, we address Gleason's claims that all of his convictions must be reversed because (1) the district court violated his constitutional right to confront the witnesses against him by declaring Thompson unavailable and admitting Thompson's preliminary hearing testimony, (2) the

district court failed to grant a mistrial after Thompson refused to testify, (3) the prosecutor committed misconduct during closing argument, (4) the district court erred in instructing the jury that "[a]nother trial would be a burden on both sides," and (5) the cumulative effect of guilt-phase errors deprived him of a fair trial.

Issues Related to Thompson's Refusal to Testify at Trial

Gleason asserts the district court violated his confrontation rights under the Sixth Amendment to the United States Constitution, § 10 of the Kansas Constitution Bill of Rights, and statutory rules of evidence by declaring Thompson an unavailable witness and admitting Thompson's preliminary hearing testimony after Thompson refused to testify at trial. Further, Gleason argues the district court abused its discretion in failing to grant a mistrial after Thompson refused to testify. We separately address Gleason's challenges to the district court's confrontation and mistrial rulings after setting forth the following facts that are relevant to both challenges.

Additional facts regarding Thompson's refusal to testify

During opening statements, counsel for both parties indicated Thompson would testify. At one point, the prosecutor discussed Thompson's plea deal and emphasized that Thompson was required to and would "appear on that witness stand. It's part of his deal. He's required to testify truthfully, and so you'll get to look at him just like you look at [Gleason]."

When called as the State's last witness, Thompson answered the prosecutor's preliminary questions about

his age, his current imprisonment, his address at the time of the double homicide, and his relationship to Gleason. But when asked to identify Gleason in court, Thompson refused to do so and refused to further testify, stating he had previously "came forward with the truth." At the prosecutor's request, the district court ordered Thompson to answer questions, but Thompson maintained his refusal. The prosecutor then asked the court to direct Thompson to "answer on pain of contempt," and defense counsel requested a bench conference.

The court conducted a brief, off-the-record discussion before recessing for lunch and contacting Thompson's defense attorney, Val Wachtel. At the court's request, Wachtel drove from Wichita to the proceedings in Great Bend. After Wachtel arrived, the court resumed the proceedings outside the presence of the jury. Wachtel advised the court that he had spoken with Thompson about the potential consequences of his refusal to testify in light of his plea agreement. Specifically, Wachtel stated:

> "I have told him that he exposes himself to at least the possibility that the State of Kansas may choose to attempt to set aside his plea, set aside his plea agreement, set aside his plea, and set aside his sentence and proceed against him as a capital homicide case."

Wachtel further informed the court that Thompson did "not want to be involved in assisting either side in telling their version of the truth" and that Thompson fully understood that his refusal to testify could result in a contempt finding. The following discussion then occurred:

"[Prosecutor]: Your honor, I ask that the Court order the witness to testify.

"THE COURT: Mr. Thompson, you were here this morning, and you have heard the statement that Mr. Wachtel has made to the Court, and we are ready to proceed this afternoon with—with your questioning, and since you refused to answer this morning, I am going to order you to answer the questions that will be posed by the State and by defense to you. Do you understand that?

"THE WITNESS [Thompson]: Yes, ma'am.

"THE COURT: Okay, and will you do that?

"THE WITNESS: I decline.

"THE COURT: Okay.

"[Prosecutor]: Your Honor, at this time, the Court can, if it chooses to do so, hold Mr. Thompson in direct contempt.

"THE COURT: I am going to hold him in contempt, but counsel knows that holding Mr. Thompson in contempt is meaningless at this point. He is serving a life sentence. My—obviously, the penalty that I can impose is nonexistent as far as he's concerned. So I am going to hold him in contempt, and you may proceed.

"[Prosecutor]: I think you ought to excuse the witness, Judge, and he can depart the court.

> "[Defense counsel]: Your Honor, I think you have held him in contempt, and I understand, for the Court's saying given a life sentence, but there are some impacts. It's going to—potentially, it's going to affect the parole board when he sees the parole board in 25 years. I'm sorry. Granted, that's a way down the road. We think he's done that. I think you have to give him time to purge himself of the contempt before we can proceed."

In response to defense counsel's request that Thompson be given an opportunity to purge himself of the contempt, the prosecutor argued the State had sufficiently established Thompson's refusal to testify, particularly considering Wachtel's consultation with Thompson and Thompson's continued refusal to answer questions. Nevertheless, defense counsel suggested Thompson be permitted to reconsider his position overnight. The court declined, noting Thompson was already in jail so putting him in jail with an opportunity to purge the contempt was "meaningless."

Next, the court granted the prosecutor's request to declare Thompson unavailable. Defense counsel responded by immediately moving for a mistrial, noting that the court had admitted several of Thompson's hearsay statements under K.S.A. 60-460(a) based on the assumption Thompson would be available for cross-examination. Defense counsel argued Thompson's refusal to testify and the court's finding that Thompson was unavailable rendered these hearsay statements inadmissible. Later, defense counsel narrowed the basis of the mistrial motion to the hearsay statements

attributable to Thompson admitted through Agent Latham's testimony.

Defense counsel further objected to what he characterized as a "separate issue"—the State's anticipated admission of Thompson's preliminary hearing testimony—and argued it would not adequately protect Gleason's confrontation rights. The prosecutor maintained that only one issue was before the court and argued Gleason's cross-examination of Thompson at the preliminary hearing adequately protected Gleason's confrontation rights.

After hearing oral arguments from both parties, the district court released the jury early. The next morning, the court immediately advised the jury that the court would need time to address a legal issue and that because it was Good Friday, the courthouse would close at noon. The court then released the jury until the following Monday. On Saturday, the State filed a written response to Gleason's oral motion for mistrial.

On Monday, the district court advised the parties outside the presence of the jury that over the weekend it had received and considered the State's written motion. The court then heard additional oral arguments from both parties before denying Gleason's motion for mistrial. Ultimately, the court concluded the admission of Thompson's hearsay statements through Agent Latham did not violate Gleason's confrontation rights because, based on the court's own review of the preliminary hearing transcript, Gleason had cross-examined Thompson about the substance of those statements at the preliminary hearing. The court cited *Crawford v. Washington*, 541 U.S. 36, 124 S. Ct. 1354, 158 L. Ed. 2d 177 (2004), for support. Further, the

court ruled it would admit Thompson's preliminary hearing testimony, reasoning *Crawford* was satisfied because Thompson was unavailable as a witness and Gleason had extensively cross-examined Thompson at the preliminary hearing. Finally, over Gleason's objection, the district court instructed the jury as requested by the State:

> "You're about to hear the reading of testimony of a witness taken under oath at another time and place. It is to be weighed by the same standards as other testimony. So even though Mr. Thompson now does not testify, you are to pay close attention to the testimony that you hear from the reading of that testimony. Okay, and then consider it just the same as if Mr. Thompson was sitting here and testifying in person."

The State then proceeded to read Thompson's entire preliminary hearing testimony into the record, with the prosecutor reading his questions and Agent Latham reading Thompson's responses. After Latham left the witness stand defense counsel clarified: "So the record is clear, Judge, there's no opportunity for me to ask any questions." The court responded: "Not at this time, no." After presenting Thompson's preliminary hearing testimony, the State rested.

> *The district court did not violate Gleason's confrontation rights by declaring Thompson unavailable and admitting his preliminary hearing testimony.*

Gleason first challenges the district court's admission of Thompson's preliminary hearing

testimony, arguing the district court erred in finding Thompson unavailable and in finding Thompson's prior cross-examination adequate to protect Gleason's confrontation rights.

To protect a defendant's constitutional confrontation rights, testimonial hearsay is inadmissible unless the declarant is unavailable and the defendant had a prior opportunity to cross-examine the declarant. *Crawford*, 541 U.S. at 68; see also *State v. Robinson*, 293 Kan. 1002, 1024, 270 P.3d 1183 (2012) (discussing *Crawford*).

Initially, the State concedes Gleason correctly characterizes Thompson's preliminary hearing testimony as testimonial. See *Crawford*, 541 U.S. at 68 (acknowledging that testimonial hearsay includes prior testimony given by witness at preliminary hearing). Further, the parties agree that the admission of testimonial hearsay implicates the Confrontation Clause of the Sixth Amendment to the United States Constitution. U.S. Const. amend. VI ("In all criminal prosecutions, the accused shall enjoy the right . . . to be confronted with the witnesses against him."). See Kan. Const. Bill of Rights, § 10 (providing criminal defendants with right to "meet the witness face to face").

Gleason contends, however, that Thompson's preliminary hearing testimony was inadmissible because the State failed to establish Thompson's unavailability or that Gleason had an opportunity to adequately cross-examine Thompson, as required by *Crawford*.

a. *The district court did not err in finding Thompson unavailable.*

Gleason argues the district court erred as a matter of law in declaring Thompson unavailable because the court ignored controlling precedent providing that a witness who is present but refuses to testify is not unavailable. Alternatively, Gleason argues the district court erred in declaring Thompson unavailable, because the prosecutor did not act with reasonable diligence to procure Thompson's testimony at trial.

The State contends Gleason's adamant refusal to testify rendered him unavailable as that term has been interpreted by this court in the context of the Sixth Amendment right to confrontation and in the context of K.S.A. 60-459(g):

> "'Unavailable as a witness' includes situations where the witness is (1) exempted on the ground of privilege from testifying concerning the matter to which his or her statement is relevant, or (2) disqualified from testifying to the matter, or (3) unable to be present or to testify at the hearing because of death or then existing physical or mental illness, or (4) absent beyond the jurisdiction of the court to compel appearance by its process, or (5) absent from the place of hearing because the proponent of his or her statement does not know and with diligence has been unable to ascertain his or her whereabouts."

Gleason argues the controlling precedent at the time of his trial in 2006 was *State v. Johnson-Howell*, 255 Kan. 928, 881 P.2d 1288 (1994), *overruled by State*

v. Jefferson, 287 Kan. 28, 194 P.3d 557 (2008). In *Johnson-Howell*, the defendant claimed the district court's admission of hearsay statements of a codefendant who refused to testify violated her confrontation rights. The court analyzed the claim under both Kansas hearsay statutes and the Sixth Amendment and held that a nontestifying codefendant who was present at trial but refused to testify without asserting any valid privilege was not "unavailable" as defined in K.S.A. 60-459(g). 255 Kan. at 934-44. The court further concluded for Sixth Amendment purposes "[a] witness' refusal to testify in a criminal case is not a recognized ground for unavailability of the witness in this state." 255 Kan. at 940.

Significantly, Gleason fails to point out that this court clarified in *Jefferson* that *Johnson-Howell* was wrong on both points. In *Jefferson*, this court interpreted the list of situations in K.S.A. 60-459(g) as exemplary rather than exclusive and held that a witness who refuses to testify after being ordered to do so by the court is an unavailable witness under K.S.A. 60-459(g). *Jefferson*, 287 Kan. at 37-38. In doing so, the *Jefferson* court found several flaws in *Johnson-Howell*'s analysis of whether the witness was unavailable under K.S.A. 60-459(g).

Further, the *Jefferson* court noted that although *Johnson-Howell*'s conclusions regarding unavailability of a witness conflicted with this court's prior decision in *State v. Terry*, 202 Kan. 599, 451 P.2d 211 (1969), *Johnson-Howell* did not overrule *Terry*. *Jefferson*, 287 Kan. at 36-37. The *Jefferson* court further noted its interpretation of K.S.A. 60-459(g) was consistent with *Terry* and with federal rules of evidence. *Jefferson*, 287

Kan. at 36-38; see Fed. R. Evid. 804(a)(2) (defining unavailable witness, in part, as declarant who "refuses to testify about the subject matter despite a court order to do so"). In *Jefferson*, this court ultimately affirmed the district court's decisions to declare the witness unavailable when that witness refused to testify at trial and to admit that witness' preliminary hearing testimony under K.S.A. 60-460(c)(2)(B). 287 Kan. at 39.

Both parties proffer various arguments as to whether *Jefferson*, which was decided 2 years after the trial in this case and addressed the parameters of K.S.A. 60-459(g) rather than the Sixth Amendment right of confrontation, applies here. But we find it unnecessary to analyze these arguments because *Terry*, decided before the trial in this case, was not overruled by *Johnson-Howell* and is directly on point.

In *Terry*, this court recognized that "[t]he basic and primary reason underlying the constitutional 'confrontation' rule is to give a defendant charged with crime an opportunity to cross-examine the witnesses against him." 202 Kan. at 601 (citing *Pointer v. Texas*, 380 U.S. 400, 85 S. Ct. 1065, 13 L. Ed. 2d 923 [1965]). The court further recognized an exception to the confrontation requirement "where a witness is unavailable and has given testimony at a previous judicial proceeding against the same defendant which was subject to cross-examination by that defendant." *Terry*, 202 Kan. at 601. The court explained that, in that situation, the prior testimony of such witness may be introduced at the subsequent proceeding because "the right of cross-examination initially afforded provides substantial compliance with the purposes

behind the confrontation requirement." 202 Kan. at 602.

Applying these principles, the *Terry* court determined two witnesses, who had been granted immunity and who had testified and been subjected to extensive cross-examination at the defendant's preliminary hearing but who "flatly refused to testify" at the defendant's trial, were "just as 'unavailable' as though [their] physical presence could not have been procured." 202 Kan. at 603. Thus, the court concluded the defendant's confrontation rights were not violated by the admission of the witnesses' prior testimony. 202 Kan. at 603.

Terry controls this issue and remained good law at the time of Gleason's trial. And, although *Terry* was decided several decades before *Crawford*, *Terry*'s analysis is consistent with *Crawford* and with decisions from other jurisdictions. See Annot., 92 A.L.R.3d 1138 (gathering cases regarding unavailability of witness who is present in court but refuses to testify without claiming a valid privilege). Finally, while the district court did not have *Jefferson*'s guidance at Gleason's trial, it appears the district court did consider *Terry*. Accordingly, we affirm the district court's determination that Thompson's refusal to testify rendered him unavailable.

b. *The prosecutor made reasonable efforts to procure Thompson's live testimony.*

Alternatively, Gleason argues the district court erred in declaring Thompson unavailable because, under the facts of this case, the prosecutor failed to make reasonable efforts to compel Thompson to testify

at trial. Specifically, Gleason contends the State should have sought a continuance to give Thompson time to change his mind about testifying as well as to consider possible contempt sanctions or withdrawal of Thompson's plea contract. Gleason also suggests the court should have imposed contempt sanctions against Thompson.

The State, on the other hand, contends it exhausted all possible means of compelling Thompson to testify. Relying on *Jefferson* and *Terry*, the State argues the prosecutor and court took reasonable measures to compel Thompson to testify under these circumstances, including holding him in contempt after giving him the opportunity to consult with counsel who verified that Thompson fully understood all the potential repercussions of refusing to testify.

Although the prosecutor here obtained Thompson's presence at trial, Gleason relies on a line of cases concerning "absent witnesses," including *State v. Washington*, 206 Kan. 336, 338, 479 P.2d 833 (1971), where this court held:

> "Under the federal constitutional standard as applied to the states, the test of unavailability, for the purposes of the exception to the confrontation requirement, is whether the prosecutorial authorities have made a 'good faith effort' to obtain the witness's presence at trial [citation omitted]. Consistent with the federal mandate is our long-standing rule that before the state may use the testimony of an absent witness given at a former trial or preliminary hearing, it must be made to appear the witness

> cannot, by the exercise of reasonable diligence, be produced at trial [citations omitted]."

See also *State v. Flournoy*, 272 Kan. 784, 799-802, 36 P.3d 273 (2001) (applying the "good-faith" standard when State failed to produce witness at trial); *State v. Zamora*, 263 Kan. 340, 342-48, 949 P.2d 621 (1997) (same). Ultimately, whether a prosecutor has made sufficient effort to secure the testimony of an unavailable witness is a question of reasonableness. *Hardy v. Cross*, 565 U.S. ___, 132 S. Ct. 490, 494, 181 L. Ed. 2d 468 (2011) (citing *California v. Green*, 399 U.S. 149, 189 n.22, 90 S. Ct. 1930, 26 L. Ed. 2d 489 [1970] [Harlan, J., concurring]).

Notably, in *Jefferson* and *Terry*, the court did not discuss the "good-faith" standard in considering the witness' unavailability. In those cases, like this case, the State produced the defendant but the defendant refused to testify. But some courts have applied the good-faith standard to similar facts. See, *e.g.*, *Lowery v. Anderson*, 225 F.3d 833, 839-40 (7th Cir. 2000) (discussing unavailability of physically present witness who refused to testify and noting reasonableness of prosecutorial efforts required consideration of whether prosecution "made a good-faith effort to obtain the witness' testimony, in person, before the trier of fact"), *superseded by statute on other grounds Corcoran v. Buss*, 551 F.3d 703 (7th Cir. 2008), *cert. granted, judgment vacated, and remanded by Corcoran v. Levenhagen*, 558 U.S. 1, 130 S. Ct. 8, 175 L. Ed. 2d 1 (2009); see also 2 McCormick on Evidence § 253, p. 168 n.11 (6th ed. 2006) ("When the testimony is being offered against the criminal defendant, the Confrontation Clause requires good faith efforts to

obtain the testimony of a physically available but unwilling person.").

The facts of this case are quite similar to those in *Lowery*. There, Lowery and his accomplice, Bennett, robbed and killed an elderly couple. Bennett testified at Lowery's first trial, pursuant to a plea agreement and in exchange for a guaranteed prison sentence of 40 years. Lowery was convicted of both murders but successfully appealed, obtaining a new trial.

Before Lowery's second trial, Bennett informed the State he would refuse to testify unless the State agreed to reduce his sentence. The State refused and transported Bennett from state prison so he could testify at the second trial. Outside the presence of the jury, Bennett refused to testify after being ordered to do so by the court, and the court held him in contempt. The next day, the court repeated the same procedure with the same result, and the court ultimately found Bennett to be an unavailable witness and admitted his testimony from the first trial. Lowery claimed this practice violated his confrontation rights, arguing "Bennett was not truly 'unavailable' because the State failed to exhaust other means which might have induced Bennett to testify." 225 F.3d at 839. The *Lowery* panel disagreed, stating:

> "Although the record is silent as to why the prosecution chose not to threaten Bennett with further prosecution or charge him with a crime, there is no requirement to do so and such decisions are well within the prosecution's discretion. [Citations omitted.] We decline to impose a rule imposing the court's will upon the prosecution and we fear that to do so would

violate the separation of powers." 225 F.3d at 840.

The *Lowery* panel ultimately concluded the prosecutor acted reasonably in attempting to procure Bennett's presence and testimony at trial. 225 F.3d at 840.

Similarly, in this case, Thompson testified against Gleason at the preliminary hearing, and in exchange the State agreed not to seek a capital sentence. At trial, Thompson took the witness stand and answered preliminary questions. When Thompson refused to continue testifying, the court ordered him to answer questions. Based on his continued refusal, the court recessed and contacted Thompson's attorney, Val Wachtel. The court remained in recess while Wachtel drove from Wichita to Great Bend and consulted with Thompson. When the proceedings resumed, Wachtel advised the court that Thompson refused to testify with full knowledge of the potential consequences, including the possibility the State might seek to revoke Thompson's plea agreement, move to set aside his plea and sentence, and pursue a capital charge and seek the death penalty against Thompson. The court confirmed Wachtel's representations with Thompson, again ordered him to testify, and held him in contempt when he refused. However, the court declined to impose contempt sanctions, reasoning that it would be "meaningless" to place Thompson in jail for any length of time given Thompson was already serving a life sentence.

Notably, the prosecutor's and the court's efforts in this case to compel Thompson's testimony exceed the actions taken to compel recalcitrant witnesses'

testimony in other Kansas cases. For instance in *Jefferson* and *Terry*, the prosecutor's actions were found to be reasonable although there is no evidence that the unwilling witnesses in those cases consulted with counsel or that counsel verified after consultation that the witnesses refused to speak despite knowing the consequences of that refusal. See *Jefferson*, 287 Kan. at 30 (prosecutor subpoenaed incarcerated witness to testify at trial and witness appeared but refused to testify after consultation with counsel; court held witness in contempt and sentenced him to 6 months in jail); *Terry*, 202 Kan. at 603 (prosecutor secured presence of two incarcerated witnesses at trial and both refused to testify despite being granted immunity); see also, *e.g.*, *Gregory v. Shelby County, Tenn.*, 220 F.3d 433, 449 (6th Cir. 2000) (trial court failed to order unwilling witness to testify before declaring witness unavailable, but court found failure was harmless because judicial pressure to testify would have been unavailing when witness already serving life sentence), *abrogated in part on other grounds by Buckhannon Board & Care Home v. W. Va. Dept. of H.H.R.*, 532 U.S. 598, 121 S. Ct. 1835, 149 L. Ed. 2d 855 (2001); 2 McCormick on Evidence § 253, p. 168 n.11 (6th ed. 2006) ("Reasonable efforts obviously include a judicial order to testify and holding the recalcitrant witness in contempt. Whether the Confrontation Clause requires more is unclear.").

Finally, we reject Gleason's assertion that, at the very least, the court should have given Thompson an overnight opportunity to further consider his refusal to testify. In light of the lengthy term of Thompson's incarceration, his consultation with his counsel, and his adamant refusal to testify despite knowing the

potential implications and repercussions of that refusal, including a possible death sentence, we can discern no reason to require the prosecutor to seek an overnight delay.

Considering the totality of these circumstances, we conclude Thompson's adamant refusal to testify rendered him unavailable under *Terry* and K.S.A. 60-459(g) and the prosecutor's efforts to compel Thompson's live testimony at trial were reasonable under the circumstances. Consequently, we affirm the district court's determination that Thompson was unavailable.

c. *Gleason had an adequate prior opportunity to cross-examine Thompson.*

Gleason also suggests the district court erroneously concluded he had an adequate prior opportunity to cross-examine Thompson.

While "*Crawford* requires an opportunity for cross-examination before hearsay can be admitted, it provides no guidance for how much cross-examination is required to afford the defendant an adequate opportunity." *State v. Noah*, 284 Kan. 608, 612-13, 162 P.3d 799 (2007). Each case involving the admission of an unavailable witness' preliminary hearing testimony must be considered on its own particular facts. 284 Kan. at 615, 617.

It is undisputed that defense counsel extensively cross-examined Thompson at the preliminary hearing. But Gleason argues his prior cross-examination of Thompson was inadequate to protect his confrontation rights because: (1) Other states have recognized that preliminary hearings are too dissimilar from trials to

permit adequate cross-examination, (2) substantial investigation occurred between the preliminary hearing and trial and new information was discovered, and (3) a defendant does not have the same motive to explore witness credibility at a preliminary hearing. As the State points out, we have previously rejected these same arguments as a basis for finding a full cross-examination conducted at a preliminary hearing is inadequate to satisfy *Crawford*. See *State v. Stano*, 284 Kan. 126, 142-45, 159 P.3d 931 (2007). Gleason fails to distinguish *Stano* on its facts or to offer any persuasive arguments as to why we should reconsider our conclusions from *Stano*.

Alternatively, Gleason compares the facts of this case to those in *Noah* and argues "[b]ecause the defense was not able to cross-examine Thompson about his in-court statements, Mr. Gleason did not have a full and fair opportunity to cross-examine the witness" and all of his prior statements, including his preliminary hearing testimony, should have been excluded. But Gleason's attempt to equate the facts of this case with those in *Noah* is unavailing.

In *Noah*, a child victim testified on direct examination at the preliminary hearing and answered several questions on cross-examination before becoming too emotional to continue. Consequently, defense counsel could not complete his cross-examination of the child victim at the preliminary hearing. The district court declared the child victim unavailable at trial and allowed the State to introduce the victim's prior statements to her mother, brother, and social worker. This court concluded in *Noah* that the defendant had been denied an adequate

opportunity for cross-examination due to the victim's inability to continue testifying *at the preliminary hearing* and thus her prior testimonial hearsay statements to others were inadmissible under *Crawford*. We further concluded, under the particular facts of that case, that the erroneous admission of the victim's hearsay statements was not harmless. *Noah*, 284 Kan. at 617-19.

Here, unlike in *Noah*, Gleason does not suggest he was unable to fully cross-examine Thompson at the preliminary hearing. And the facts demonstrate he was able to do so as Thompson testified on direct examination at the preliminary hearing and defense counsel extensively and fully cross-examined him. Further, the trial court admitted Thompson's preliminary hearing testimony in its entirety at trial.

Instead, Gleason contends the court limited his ability to cross-examine Thompson at trial when Thompson refused to respond to further questions after answering several preliminary questions on direct examination regarding his age, the reason for his imprisonment, his relationship to Gleason, and the fact he had entered into a plea agreement; and advised the court he previously "came forward with the truth."

Gleason argues that because he was unable to cross-examine Thompson about his in-court comments, all of his prior statements, including those subjected to extensive cross-examination at the preliminary hearing, should have been excluded. We disagree. Simply stated, Gleason's inability to question Thompson about his in-court comments did not render his cross-examination of Thompson at the preliminary hearing inadequate under *Crawford*. And, as the State

points out, the questions Thompson answered before he refused to continue testifying—questions not subject to cross-examination—did not lend themselves to cross-examination. Instead, his comments consisted of background information and nonresponsive remarks, rather than testimony about the underlying facts of the crimes charged.

As such, the facts of this case are clearly distinguishable from the facts in *Noah*, and we conclude Gleason had an adequate prior opportunity to cross-examine Thompson as required by *Crawford*.

Conclusion

Under the facts of this case, the district court correctly concluded Thompson's refusal to testify at trial rendered him unavailable. Further, we find the prosecutor's efforts to procure Thompson's testimony at trial were reasonable under the circumstances and Gleason had a prior adequate opportunity to cross-examine Thompson at the preliminary hearing. Accordingly, we hold the district court's actions did not violate Gleason's confrontation rights, and we affirm the district court's decision to admit Thompson's preliminary hearing testimony.

The district court did not abuse its discretion in failing to declare a mistrial.

In a separate but related argument, Gleason claims the district court abused its discretion in denying his motion for a mistrial. Gleason initially sought a mistrial because "several hearsay statements of Mr. Thompson elicited by numerous witnesses" had been introduced under the hearsay exception of K.S.A. 60-460(a) based on the assumption that Thompson

would testify at trial and be available for cross-examination. See K.S.A. 60-460(a) (rendering prior hearsay statements admissible if declarant "is present at the hearing and available for cross-examination with respect to the statement and its subject matter"). Before the district court ruled on the motion, Gleason narrowed the basis of his motion to the hearsay statements attributable to Thompson that came in through Agent Latham's testimony. The district court ultimately concluded the admission of those particular hearsay statements did not violate Gleason's confrontation rights, because Gleason had cross-examined Thompson at the preliminary hearing about the underlying facts of the double homicide.

A trial court may declare a mistrial if there is prejudicial conduct, either inside or outside the courtroom, that makes it impossible for the trial to proceed without injustice to the defendant or the prosecution. K.S.A. 22-3423(1). In considering a motion for mistrial, the trial court must first determine if there was some fundamental failure of the proceeding. If so, the court must then determine whether it is possible to continue the proceeding without injustice. The second determination requires the court to "decide if the prejudicial conduct's damaging effect can be removed or mitigated by an admonition, jury instruction, or other action. If not, the trial court must determine whether the degree of prejudice results in an injustice and, if so, declare a mistrial. [Citations omitted.]" *State v. Harris*, 293 Kan. 798, 814, 269 P.3d 820 (2012). We review both determinations made by the district court for an abuse of discretion. *Harris*, 293 Kan. at 814-15 (citing *State v. Ward*, 292 Kan. 541, 551, 256 P.3d 801 [2011], *cert. denied* 132 S. Ct. 1594 [2012]).

Based on the evolution of Gleason's arguments, we find lengthy analysis of this issue unnecessary. As noted, Gleason narrowed the basis for his mistrial motion to Thompson's postarrest interview statements to Agent Latham—statements Latham then testified about at trial. Even though Gleason challenged the admissibility of these statements under K.S.A. 60-460(a), Thompson's statements to Agent Latham, like his preliminary hearing testimony, are testimonial hearsay statements and their admissibility is governed by *Crawford* rather than statutory hearsay exceptions. See *State v. Bennington*, 293 Kan. 503, 508-16, 264 P.3d 440 (2011) (clarifying that statements made during police interrogations are testimonial when primary purpose of interrogation is to establish or prove past events potentially relevant to later criminal prosecutions); *State v. Robinson*, 293 Kan. 1002, 1024, 270 P.3d 1183 (2012) (noting *Crawford* governs admissibility of testimonial hearsay while statutory hearsay exceptions govern admissibility of nontestimonial hearsay).

Because we have already concluded Thompson was unavailable and Gleason had an adequate opportunity to cross-examine Thompson at the preliminary hearing, and because the substance of Thompson's statements to Latham were consistent with the substance of Thompson's preliminary hearing testimony, we affirm the district court's denial of Gleason's motion for a mistrial based on the admission of these statements.

The prosecutor did not commit misconduct during closing argument.

Next, Gleason argues the prosecutor misstated the law or made improper comments on Thompson's

credibility during closing argument. The State contends the challenged statements were proper when considered in context.

Standard of Review

When considering a claim of prosecutorial misconduct, we must determine whether the prosecutor's statements exceeded the wide latitude of language and manner afforded a prosecutor in making closing arguments. If the statements were improper, we must then determine whether the statements prejudiced the jury against the defendant and denied the defendant a fair trial. *State v. McCullough*, 293 Kan. 970, 985, 270 P.3d 1142 (2012).

Analysis

Gleason takes issue with the prosecutor's statements that Thompson "'refused to testify against his cousin, but he did tell you he told the truth before. *That's why you heard the testimony. That's why it got read to you.*'" Gleason contends the prosecutor misstated the law "by implying to the jury that the [district] court had made a finding that Thompson's testimony was truthful" and by suggesting the district court considered the truthfulness of Thompson's preliminary hearing testimony before admitting that testimony. Alternatively, Gleason asserts the prosecutor improperly commented on Thompson's credibility.

It is improper for a prosecutor to misstate the law. *State v. Burnett*, 293 Kan. 840, 850, 270 P.3d 1115 (2012) ("When a prosecutor deliberately misstates the controlling law, he or she steps outside the considerable latitude given prosecutors."). And it is further improper

for a prosecutor to offer his or her personal opinion regarding the credibility of a witness. See *State v. Bridges*, 297 Kan. 989, 1013, 306 P.3d 244 (2013) (noting that a prosecutor's personal opinion of a witness' credibility constitutes unsworn, unchecked testimony and impinges upon jury's duty to determine truthfulness of witness); *State v. Marshall*, 294 Kan. 850, 857, 281 P.3d 1112 (2012) (noting general rule that prosecutor may not offer jury his or her personal opinion as to credibility of witness); see also Kansas Rules of Professional Conduct (KRPC) 3.4(e) (2013 Kan. Ct. R. Annot. 601) ("A lawyer shall not . . . in trial state a personal opinion as to the credibility of a witness.").

But, considering the prosecutor's statements in context, we conclude neither of these improprieties occurred here. See *Burnett*, 293 Kan. at 851 (noting allegedly improper comments must be considered in context of entire closing argument and in conjunction with jury instructions); *State v. Naputi*, 293 Kan. 55, 59, 260 P.3d 86 (2011) (recognizing that "reading comments in isolation can frequently be misleading as to the message that the prosecutor was conveying to the jury").

In the first portion of his closing argument, the prosecutor emphasized that Thompson's testimony was corroborated by physical evidence and the testimony of other witnesses. The prosecutor reminded the jury that Thompson's testimony did not come in as the State expected it would and stated:

> "Damien Thompson did take the stand in this case. You saw him sit there. You saw how he acted. You saw—heard what he said, and when

> he refused to say any more, you were read his transcript. Ask you to remember one thing when you're thinking of Damien Thompson. What did he say when he was here? One of the things he said was that he had already told the truth. When did he tell that truth? Well, he had already had a previous statement, not just a statement, a direct examination, a cross-examination conducted by [defense counsel], a redirect examination. You heard it when it was read to you. It took more than an hour to read all that to you. You get to weigh that evidence. You get to weigh those statements."

Conversely, in his closing argument, defense counsel emphasized Thompson's lack of credibility. He began by stating, "The tricky thing in this case it seems to me is are you going to believe Damien Thompson or are you not?" He pointed out inconsistencies between Thompson's testimony and the testimony of other witnesses and within Thompson's own testimony, argued that Thompson was the driving force behind the crimes, and reminded the jury that Thompson had made a deal with the State to avoid the death penalty.

In rebuttal, the prosecutor stated:

> "We spent a lot of time in that first part of closing statement talking about corroboration. Corroboration of [Thompson's] testimony from other witnesses, corroboration of evidence that had been seized in this case, evidence seized before Damien Thompson admits his guilt, evidence seized after Damien Thompson admits his guilt, evidence that corroborates the story. Damien Thompson said a lot of things in his

> testimony. He took that stand. Stopped talking, didn't he? In this courtroom when asked to testify against his cousin, he made a lot of statements. You saw his attitude. You saw his demeanor. You saw how he was acting. You saw how he acted towards his cousin, towards the prosecutor, towards the judge. *Refused to testify against his cousin, but he did tell you he told the truth before. That's why you heard the testimony. That's why it got read to you.*" (Emphasis added.)

As the State admits, when read in isolation the challenged statements, italicized above, appear problematic and could be interpreted to imply that the jury heard Thompson's prior testimony because Thompson told the jury he told the truth at the preliminary hearing. But when considered in context of the entire closing argument, the statements are not improper. Specifically, the prosecutor reminded the jury that it heard Thompson's refusal to testify, that other testimony corroborated Thompson's preliminary hearing testimony, and that it was the jury's duty to judge the credibility of the witnesses, including Thompson. Under these circumstances, we conclude the prosecutor's statements are more aptly characterized as an inartful attempt to explain to the jurors why they heard Thompson's prior testimony and to remind them that they were to treat Thompson's testimony the same as they would any other testimony and judge his credibility accordingly.

Because the statements were not improper, we reject Gleason's prosecutorial misconduct claim.

The district court did not commit clear error in instructing the jury "another trial would be a burden on both sides."

Citing *State v. Salts*, 288 Kan. 263, 200 P.3d 464 (2009), Gleason claims the district court committed reversible error by giving an *Allen*-type instruction that included the phrase "another trial would be a burden on both sides." See *Allen v. United States*, 164 U.S. 492, 17 S. Ct. 154, 41 L. Ed. 528 (1896).

Standard of Review

Because Gleason objected on different grounds at the district court level, we will consider this alleged instruction error under the clear-error rule of K.S.A. 22-3414(3). See *Robinson*, 293 Kan. at 1036. First, we determine whether the instruction was erroneous. This is a legal question subject to de novo review. If we find error, reversal is required only if we are firmly convinced that the jury would have reached a different verdict had the instruction error not occurred. We have unlimited review over the reversibility determination and must examine the record as a whole. Gleason bears the burden of establishing clear error. See *State v. Williams*, 295 Kan. 506, 515-16, 286 P.3d 193 (2012).

Analysis and Conclusion

We previously have disapproved of the challenged language and easily conclude the instruction was erroneous. See *Salts*, 288 Kan. at 266-67. But, we reject Gleason's contention that this error constitutes "reversible error in a capital case because of the greater burdens associated with capital trials" and because the "'burden' language is more easily seen as an appeal to

the financial interests of taxpayers when the case they are to consider is a capital case."

As the State points out, we also previously have rejected a reversibility argument very similar to Gleason's argument here. See *Burnett*, 293 Kan. 840. In *Burnett*, the defendant argued the *Salts* error in his capital murder case required reversal because the jury was "'likely to be very mindful of the increased litigation costs that go along with capital trials,'" and that the erroneous instruction "'surely invoked concerns that a failure to reach a verdict would waste taxpayer money.'" 293 Kan. at 855. We disagreed, noting the absence of any evidence in the record indicating the jury's verdict was the product of the jury's concerns about wasting taxpayer money. 293 Kan. at 855.

Similarly, the record here lacks any evidence suggesting the financial implications of another trial troubled Gleason's jury. Instead, the record suggests Thompson's testimony primarily concerned the jury. Further, nothing in the record suggests the jury was deadlocked, near deadlock, or otherwise pressured to reach its verdicts. See *State v. Warrior*, 294 Kan. 484, 515, 277 P.3d 1111 (2012) (finding *Salts* error did not require reversal and noting absence of any indication in record that error affected jury deliberations).

In light of our review of the entire trial record, we are firmly convinced that the jury would not have reached different verdicts on any of the charges had the *Salts* error not occurred.

The district court abused its discretion by allowing State witnesses to testify in prison clothing, but the error was harmless.

Although not raised by Gleason, we can discern from the record that Thompson and Galindo, each of whom acted as Gleason's accomplices for one or more of the crimes charged, testified as witnesses for the State while clothed in orange prison jumpsuits. In *State v. Ward*, 292 Kan. at 576, we held that

> "a trial court almost always abuses its discretion when it allows a defendant, witness, or nonwitness to be brought before a jury in jail clothing without an articulated justification explaining why it is necessary for the person to wear jail clothing and does not consider giving an admonition or instruction to the jury that it should not consider the clothing or the person's incarceration."

The record contains no articulation by the district court of a reason for either witness to appear in prison clothing. Nor does the record indicate that the trial court admonished the jury to disregard the witnesses' prison clothing. Admittedly, at the time of Gleason's trial, the district court lacked the benefit of *Ward*, but we nevertheless conclude, as we did in *Ward*, that the district court abused its discretion by allowing Thompson and Galindo to testify in prison clothing.

However, our independent review of the record also convinces us that this error was harmless. See 292 Kan. at 578 (stating harmless error standard). Significantly, *Ward* recognized that the primary potential impact or prejudice from witnesses associated

with the defendant testifying in jail clothing arises from its impact on the credibility of those witnesses. 292 Kan. at 573. But under the facts of this case, it is equally likely that Gleason benefitted from having Thompson and Galindo testify, while clothed in orange prison jumpsuits, that they were serving time for the crimes they committed with Gleason. Notably, much of Gleason's defense strategy consisted of minimizing his own involvement in the crimes and suggesting his accomplices were more culpable, all the while challenging their credibility, particularly Thompson's. Consequently, we are satisfied beyond a reasonable doubt that there is no reasonable possibility this unassigned error contributed to the verdict obtained.

The district court erred when it failed to respond to a jury question in open court and in Gleason's presence, but the error was harmless.

Another error not raised by Gleason but apparent from the record relates to the district court's response to a jury question. After beginning deliberations, the jury sent a written note to the court requesting a copy of Thompson's preliminary hearing testimony. In chambers, the court, the prosecutor, and defense counsel discussed the appropriate response. Defense counsel noted for the record that Gleason was not present at this discussion but that he had advised Gleason of the jury's question and the court's likely response. Ultimately, the prosecutor and defense counsel agreed to the following response: "[N]o, you can't have a copy but you can request a readback if you desire." The district court then provided this response to the jury in a written message rather than in open court.

The district court's procedure for responding to the jury question did not conform to K.S.A. 22-3420(3), which requires any question from the jury concerning the law or evidence pertaining to the case to be answered in open court in the defendant's presence unless the defendant is voluntarily absent. *State v. Bowen*, 299 Kan. ___, 323 P.3d 853, 864-65 (2014); *State v. King*, 297 Kan. 955, 967, 305 P.3d 641 (2013).

The court's failure to comply with the statutory procedure set out in K.S.A. 22-3420(3) constitutes both a violation of Gleason's statutory right to be present under K.S.A. 22-3405(1) and the constitutional right to be present under the Sixth Amendment to the United States Constitution. See *King*, 297 Kan. at 967-68 (citing *State v. Herbel*, 296 Kan. 1101, 1109, 299 P.3d 292 [2013]). Because this error implicates Gleason's constitutional rights, we must determine whether the error was harmless under the federal constitutional harmless error standard. *King*, 297 Kan. at 968-69. Under this standard, reversal is required unless we can say "'beyond a reasonable doubt that the error did not affect the outcome of the trial in light of the entire record, *i.e.*, [that] there is no reasonable possibility the error contributed to the verdict.'" 297 Kan. at 968 (quoting *Ward*, 292 Kan. at 541, Syl. ¶ 6).

Four factors are relevant to this analysis:

> "(1) the strength of the prosecution's case; (2) whether the defendant lodged an objection; (3) whether the communication concerned some critical aspect of the trial or was instead an innocuous and insignificant matter, as well as the manner in which the communication was conveyed to the jury; and (4) the ability of a

posttrial remedy to mitigate the constitutional error." *Bowen*, 299 Kan. at ___, 323 P.3d at 866.

Here, only the third factor favors Gleason. Unquestionably, the jury's request for Thompson's preliminary hearing testimony concerned a critical aspect of the trial. Nevertheless, consideration of the first, second, and fourth factors lead us to characterize the error here as harmless. The prosecution's case against Gleason was strong, and physical evidence and testimony from other witnesses corroborated Thompson's testimony. Further, Gleason neither objected to the court's deficient procedure below nor raised this issue on appeal. Finally, Gleason and his defense counsel both were aware of the content of and procedure used to deliver the response and chose not to pursue any posttrial remedies for this constitutional error.

Under these circumstances and in light of the trial record as a whole, we have no hesitancy in finding no reasonable possibility this unassigned error affected the outcome of the trial.

The cumulative effect of guilt-phase errors does not require reversal.

Finally, Gleason contends that even if we find each asserted guilt-phase error to be individually harmless, the cumulative effect of those errors deprived him of a fair trial. Gleason specifically asserts "the instructional errors, the multitude of errors in the handling of Damien Thompson's refusal to testify, and the prosecutorial misconduct rendered the verdict[s] from this trial too infirm to be reliable."

> "'In a cumulative error analysis, an appellate court aggregates all errors and, even though those errors would individually be considered harmless, analyzes whether their cumulative effect on the outcome of the trial is such that collectively they cannot be determined to be harmless. [Citation omitted.] In other words, was the defendant's right to a fair trial violated because the combined errors affected the outcome of the trial?' *State v. Tully*, 293 Kan. 176, 205, 262 P.3d 314 (2011)." *Warrior*, 294 Kan. at 517.

Warrior further explains that in assessing whether cumulative errors are harmless, we examine the record as a whole and consider "how the trial court dealt with the errors as they arose, including the efficacy, or lack of efficacy, of any remedial efforts; the nature and number of errors committed and their interrelationship, if any; and the strength of the evidence. [Citation omitted.]" *Warrior*, 294 Kan. at 517.

Here, we have found three errors, a nonreversible *Salts* error and two harmless errors based on issues raised *sua sponte* by this court under K.S.A. 2013 Supp. 21-6619(b) (authorizing court to notice unasserted errors apparent from record), namely, witnesses testifying in jail clothing and a jury question being answered in writing. Notably, the trial court had no opportunity to deal with any of these errors as they arose or to attempt remediation because Gleason did not raise the errors below. Further, these three procedural errors are unrelated, and the evidence against Gleason was strong. Consequently, we are convinced there is no reasonable possibility that these

errors, even in the aggregate, affected the outcome of the trial, and reversal is not required.

GUILT PHASE—CONCLUSION

Because we have found no guilt-phase errors requiring reversal, we affirm Gleason's convictions of capital murder, first-degree murder, aggravated kidnapping, aggravated robbery, and criminal possession of a firearm. However, because we are affirming all of Gleason's convictions, we must also address Gleason's claim that his first-degree murder conviction is multiplicitous with his capital murder conviction.

MULTIPLICITY

The jury convicted Gleason of capital murder for killing Wornkey and Martinez and of first-degree murder for killing Wornkey. The State correctly concedes Gleason's first-degree murder conviction is multiplicitous with his capital conviction. See *Trotter v. State*, 288 Kan. 112, 123-24, 200 P.3d 1236 (2009) (citing *State v. Martis*, 277 Kan. 267, 83 P.3d 1216 [2004]) (first-degree premeditated murder is lesser included offense of capital murder as defined in K.S.A. 21-3439[a][6]); *State v. Scott*, 286 Kan. 54, 64-68, 183 P.3d 801 (2008) (when two convictions arise out of double homicide, one for capital murder as defined in K.S.A. 21-3439[a][6], and one for first-degree, premeditated murder of one of the capital murder victims, convictions are multiplicitous).

Consequently, we reverse Gleason's first-degree murder conviction and vacate his corresponding hard 50 sentence, including the unauthorized period of postrelease supervision imposed as part of that

sentence. See *State v. Summers*, 293 Kan. 819, 832, 272 P.3d 1 (2012) (noting that sentencing court has no authority to order any term of postrelease supervision when defendant receives off-grid indeterminate life sentence). The district court's error in imposing a period of lifetime postrelease supervision for the off-grid crime appears to have influenced its decision to impose the same unauthorized period of postrelease supervision for each on-grid crime. Accordingly, we also vacate the periods of lifetime postrelease supervision for each of Gleason's on-grid convictions and remand to the district court for resentencing consistent with the provisions of K.S.A. 22-3717.

CHALLENGES TO IMPOSITION OF THE DEATH PENALTY

Next, we turn to Gleason's challenges to the penalty-phase portion of his bifurcated trial and the imposition of the death penalty. Gleason challenges the constitutionality of the death penalty under § 9 of the Kansas Constitution Bill of Rights, contends the aiding and abetting statute does not permit imposition of the death penalty, alleges several errors regarding the penalty-phase instructions and verdict forms, and claims some of the aggravating circumstances supporting his death sentence are legally invalid or not supported by sufficient evidence.

Because we conclude the district court failed to properly instruct the jury on its consideration of mitigating circumstances and this error requires us to vacate Gleason's death sentence and remand for resentencing, we address some but not all of Gleason's alleged penalty-phase errors and death penalty challenges.

CHALLENGES TO THE VALIDITY AND SUFFICIENCY OF AGGRAVATING CIRCUMSTANCES

First, we briefly address Gleason's claims that the jury considered one or more invalid aggravating circumstances in determining whether to impose his death sentence. In Kansas, the death penalty may be imposed only if the jury unanimously finds beyond a reasonable doubt that (1) the aggravating circumstances alleged by the State exist and (2) the existence of such aggravating circumstances is not outweighed by any mitigating circumstances found to exist. K.S.A. 21-4624(e).

Here, the State alleged the existence of four statutory aggravating circumstances, and the jury found all four: (1) Gleason was previously convicted of a felony in which he inflicted great bodily harm, disfigurement, or death on another, (2) Gleason knowingly or purposely killed or created a great risk of death to more than one person, (3) Gleason committed the crime in order to avoid or prevent his lawful arrest or prosecution, and (4) Martinez was killed because she was a prospective witness against Gleason. See K.S.A. 21-4625 (listing aggravating circumstances).

Gleason claims the latter three aggravating circumstances are invalid and argues the jury's consideration of these invalid aggravating circumstances infected the weighing process, requiring reversal of his death sentence. Because Gleason's arguments challenge the constitutional validity of these aggravating circumstances and may require statutory interpretation, our review is unlimited. See *State v. Sales*, 290 Kan. 130, 134, 224 P.3d 546 (2010).

The risk of death aggravator is not unconstitutionally duplicative.

Gleason argues the aggravating circumstance that he "knowingly or purposely killed or created a great risk of death to more than one person" (risk of death aggravator) is unconstitutionally duplicative because the State relied on the same evidence to support both an element of the capital murder charge and the aggravator. In support of his argument, Gleason cites *Lowenfield v. Phelps*, 484 U.S. 231, 108 S. Ct. 546, 98 L. Ed. 2d 568 (1988), and *Stringer v. Black*, 503 U.S. 222, 112 S. Ct. 1130, 117 L. Ed. 2d 367 (1992). Gleason acknowledges that this court in *Scott*, 286 Kan. 54, resolved this issue adversely to his position, but he raises the issue to preserve it for federal review. Further, he urges us to reconsider *Scott*.

In *Scott*, this court reviewed *Lowenfield*, *Stringer*, and cases from other jurisdictions before concluding "the use of the same factor as both a narrowing qualification for the death penalty at the guilt phase and an aggravating factor at the penalty phase" is constitutionally permissible and conforms to legislative intent. *Scott*, 286 Kan. at 108-10. Gleason presents no arguments that persuade us to reconsider *Scott*, and we conclude the risk of death aggravator is not unconstitutionally duplicative.

The avoid arrest and victim witness aggravators are not unconstitutionally duplicative.

Gleason also argues two of the aggravating circumstances—(1) that he "committed the crime in order to avoid or prevent a lawful arrest or prosecution" (avoid arrest aggravator), and (2) that Martinez was

killed because she was a prospective witness against Gleason (victim witness aggravator)—are unconstitutionally duplicative because "[i]f [Martinez] was killed because she was a prospective witness in a prosecution for the Elliott robbery, her killing was necessarily committed in order to avoid arrest or prosecution for that robbery." In support, Gleason primarily cites *United States v. McCullah*, 76 F.3d 1087 (10th Cir. 1996), and *Cooks v. Ward*, 165 F.3d 1283 (10th Cir. 1998).

In *McCullah*, the United States Court of Appeals for the Tenth Circuit noted the "double counting of aggravating factors, especially under a weighing scheme, has a tendency to skew the weighing process and creates the risk that the death sentence will be imposed arbitrarily and thus, unconstitutionally." 76 F.3d at 1111. According to the *McCullah* panel, the double counting problem occurs when two aggravating circumstances substantially overlap each other. 76 F.3d at 1111. In *Cooks*, the Tenth Circuit clarified the applicable test and noted it is "not whether certain evidence is relevant to both aggravators, but rather, whether one aggravating circumstance 'necessarily subsumes' the other." 165 F.3d at 1289.

The State suggests these Tenth Circuit cases are not persuasive in light of *Jones v. United States*, 527 U.S. 373, 119 S. Ct. 2090, 144 L. Ed. 2d 370 (1999). In *Jones*, decided after both *McCullah* and *Cooks*, four members of the United States Supreme Court questioned *McCullah*'s double counting theory and noted the Court had "never before held that aggravating factors could be duplicative so as to render them constitutionally invalid." *Jones*, 527 U.S. at 398.

Writing the opinion for a plurality of the Court on this particular issue, Justice Thomas noted:

> "What we have said is that the weighing process may be impermissibly skewed if the sentencing jury considers an invalid factor. [Citation omitted.] Petitioner's argument (and the reasoning of the Fifth and Tenth Circuits) would have us reach a quite different proposition—that if two aggravating factors are 'duplicative,' then the weighing process necessarily is skewed, and the factors are therefore invalid." *Jones*, 527 U.S. at 398.

Ultimately, four members of the *Jones* Court concluded "[e]ven accepting, for the sake of argument, petitioner's 'double counting' theory," the aggravators at issue in that case which asked the jury to consider evidence relevant to the victim's personal characteristics, (1) from the perspective of how her death affected her family and (2) from the perspective of how those characteristics related to the victim's vulnerability, were not duplicative. *Jones*, 527 U.S. at 398-99. Rather, the court stated:

> "[A]t best, certain evidence was relevant to two different aggravating factors. Moreover, any risk that the weighing process would be skewed was eliminated by the District Court's instruction that the jury 'should not simply count the number of aggravating and mitigating factors and reach a decision based on which number is greater [but rather] should consider the weight and value of each factor.'" 527 U.S. at 399.

We conclude the avoid arrest and victim witness aggravators are not unconstitutionally duplicative because one factor does not necessarily subsume the other. To establish the avoid arrest aggravator, "the State must show that a motive—not the dominant or only motive—for the murder was to avoid prosecution." *State v. Kleypas*, 272 Kan. 894, 1022, 40 P.3d 139 (2001) (citing *State v. Spain*, 263 Kan. 708, 719, 953 P.2d 1004 [1998]). Similarly, we conclude that to establish the victim witness aggravator the State must show the victim's status as a current or prospective witness in a criminal proceeding was a motive—not the dominant or only motive—for the murder.

Here, as in *Jones*, certain evidence was relevant to two different aggravators but because one aggravator does not necessarily subsume the other, the aggravators were not unconstitutionally duplicative. Further, any risk of a skewed weighing process was eliminated because Gleason's jury, like the jury in *Jones*, was instructed that "[i]n making the determination whether aggravating circumstances exist that are not outweighed by any mitigating circumstances found to exist, you should keep in mind that your decision should not be determined by the number of aggravating or mitigating circumstances that are shown to exist." See *Jones*, 527 U.S. at 399-400. Consequently, we reject Gleason's challenge to the validity of these aggravators.

Sufficient evidence supports the aggravating factors found by the jury.

Gleason also challenges the sufficiency of the evidence to support three of the four aggravating circumstances found by the jury. And, in reviewing a

death sentence we have an independent duty to consider the sufficiency of the evidence to support the jury's findings on aggravating circumstances. See K.S.A. 2013 Supp. 21-6619(c)(2) (providing this court "shall determine . . . whether the evidence supports the findings that an aggravating circumstance or circumstances existed").

> "In a capital case, the standard of review on appeal as to the sufficiency of the evidence regarding an aggravating circumstance is whether, after review of all the evidence, viewed in the light most favorable to the prosecution, the appellate court is convinced that a rational factfinder could have found the existence of the aggravating circumstance beyond a reasonable doubt." *Kleypas*, 272 Kan. 894, Syl. ¶ 50.

Viewing the evidence in the light most favorable to the State, we conclude sufficient evidence supports all four aggravating circumstances found by the jury.

Regarding the avoid arrest and victim witness aggravators, the evidence established that Gleason, Thompson, Galindo, Fulton, and Martinez robbed Elliott on February 12, 2004. After the robbery, Gleason and Thompson, collectively and individually, threatened their accomplices to discourage them from talking to the police about the robbery. Later, Gleason and Thompson learned that Martinez and Fulton had talked to the police and, 9 days after the robbery, Gleason and Thompson killed Martinez and Wornkey. We are convinced that a rational factfinder could have found beyond a reasonable doubt both that Gleason killed Martinez to avoid arrest or prosecution for the Elliott robbery and that Martinez was killed because

she was a prospective witness against Gleason regarding the Elliott robbery. Further, we note that because Martinez witnessed Wornkey's murder, it also would have been reasonable for the jury to conclude that Gleason killed Martinez to avoid arrest or prosecution for killing Wornkey and that Martinez was killed because she was a prospective witness against Gleason regarding Wornkey's murder.

Gleason challenges the sufficiency of the evidence to support the risk of death aggravator by incorporating his previous argument regarding the State's alleged failure to prove the murders of Wornkey and Martinez were committed as "part of the same act or transaction or in two or more acts or transactions connected together or constituting parts of a common scheme or course of conduct." We rejected that argument in the guilt phase portion of this opinion because the State's evidence clearly established the requisite connection between the two murders. This same evidence supports the jury's finding that Gleason "knowingly or purposely killed more than one person." See K.S.A. 21-4625(2).

Finally, the evidence clearly supports that Gleason previously had been convicted of a felony in which he inflicted great bodily harm, disfigurement, dismemberment, or death on another. See K.S.A. 21-4625(1). Agent Latham testified Gleason was convicted of attempted voluntary manslaughter in 2001 and that at the time of Gleason's trial in this case, the victim of that crime still had a bullet lodged in his chest, had significant scars from three gunshot wounds, and had a surgical scar from the removal of a bullet from his hip.

Conclusion

We conclude the jury considered only valid aggravating circumstances in determining whether to impose Gleason's death sentence and sufficient evidence supports the jury's findings as to all four aggravating circumstances. Thus, Gleason is not entitled to reversal of his death sentence based on his challenges to the validity or sufficiency of the aggravating circumstances.

CHALLENGES TO PENALTY-PHASE INSTRUCTIONS

Next, we address only one of Gleason several challenges to penalty-phase instructions because we conclude that one alleged instruction error—the district court's failure to properly instruct the jury regarding its consideration of mitigating circumstances—requires that we vacate Gleason's death sentence and remand for resentencing.

The district court committed reversible error when it failed to instruct the jury that mitigating circumstances need not be proven beyond a reasonable doubt.

Gleason claims the district court erred in failing to instruct the jury that mitigating circumstances need not be proven beyond a reasonable doubt. Further, he argues this error requires reversal because the instructions as a whole exacerbated the error and there is a reasonable likelihood the jurors were precluded from considering relevant mitigating evidence in violation of the Eighth and Fourteenth Amendments to the United States Constitution.

Standard of Review

> "In considering a claim that a jury instruction in the penalty phase of a capital trial prevented the jury from giving proper consideration to mitigating evidence, our standard of review is 'whether there is a reasonable likelihood that the jury has applied the challenged instruction in a way that prevents the consideration of constitutionally relevant evidence.' *Boyde v. California*, 494 U.S. 370, 380, 108 L. Ed. 2d 316, 110 S. Ct. 1190 (1990)." *Scott*, 286 Kan. at 104-05.

Analysis

Under the Eighth Amendment, "a state capital sentencing system must: (1) rationally narrow the class of death-eligible defendants; and (2) permit a jury to render a reasoned, individualized sentencing determination based on a death-eligible defendant's record, personal characteristics, and the circumstances of his crime." *Kansas v. Marsh*, 548 U.S. 163, 173-74, 126 S. Ct. 2516, 165 L. Ed. 2d 429 (2006). "The use of mitigation evidence is a product of the requirement of individualized sentencing," and to satisfy that requirement the sentencing judge or jury in a capital case must be allowed to consider all relevant mitigating evidence. 548 U.S. at 174-75 (citing *Graham v. Collins*, 506 U.S. 461, 484-90, 113 S. Ct. 892, 122 L. Ed. 2d 260 [1993] [Thomas, J., concurring]); see also *Smith v. Spisak*, 558 U.S. 139, 130 S. Ct. 676, 681-82, 175 L. Ed. 2d 595 (2010) (sentencing judge or jury may not refuse to consider *or be precluded from considering* any relevant mitigating evidence).

Barriers to a capital sentencer's consideration of relevant mitigating evidence are impermissible regardless of whether the barriers are imposed by statute, judicial interpretation of a statute, jury instructions and verdict forms, or prosecutorial argument. See, *e.g.*, *Abdul-Kabir v. Quarterman*, 550 U.S. 233, 264, 127 S. Ct. 1654, 167 L. Ed. 2d 585 (2007) ("Our cases following *Lockett* [*v. Ohio*, 438 U.S. 586, 98 S. Ct. 2954, 57 L. Ed. 2d 973 (1978),] have made clear that when the jury is not permitted to give meaningful effect or a 'reasoned moral response' to a defendant's mitigating evidence—because it is forbidden from doing so by statute or a judicial interpretation of a statute—the sentencing process is fatally flawed."); *Mills v. Maryland*, 486 U.S. 367, 108 S. Ct. 1860, 100 L. Ed. 2d 384 (1988) ("Under our decisions, it is not relevant whether the barrier to the sentencer's consideration of all mitigating evidence is interposed by statute, by the sentencing court, or by an evidentiary ruling ").

This court previously has considered claims that penalty-phase jury instructions impermissibly precluded consideration of relevant mitigating evidence. In *Kleypas*, the defendant argued that a penalty-phase instruction prevented jurors from considering any mitigating circumstances not unanimously found to exist by the jury, in violation of *Mills*. *Kleypas*, 272 Kan. at 1075-76; see *McKoy v. North Carolina*, 494 U.S. 433, 435, 110 S. Ct. 1227, 108 L. Ed. 2d 369 (1990) (invalidating North Carolina statute that required jury unanimity as to existence of mitigating circumstances); *Mills*, 486 U.S. at 369-84 (concluding jury instructions and verdict forms violated Eighth Amendment because jurors "may have thought

they were precluded from considering any mitigating evidence unless all 12 jurors agreed on the existence of a particular such circumstance").

The instruction at issue in *Kleypas* stated, in part: "'It is not necessary that all jurors agree upon particular facts and circumstances in mitigation of punishment.'" 272 Kan. at 1077. The court concluded this instruction correctly stated the law and satisfied *Mills* and *McKoy* because it informed jurors they need not be unanimous as to the existence of mitigating circumstances. *Kleypas*, 272 Kan. at 1078-79. But the *Kleypas* court further stated:

> "Whether this sentence goes far enough by itself can only be determined by examining the other instructions relating to consideration by the jury of the aggravating and mitigating facts and circumstances. But, any instruction dealing with the consideration of mitigating circumstances should state (1) they need to be proved only to the satisfaction of the individual juror in the juror's sentencing decision and not beyond a reasonable doubt and (2) mitigating circumstances do not need to be found by all members of the jury in order to be considered in an individual juror's sentencing decision." 272 Kan. at 1078.

Following this court's ruling in *Kleypas*, the PIK committee amended the PIK instruction on mitigating circumstances to reflect *Kleypas*' second statement regarding jury unanimity. But inexplicably, the committee did not amend the instruction to include the first statement—that mitigating circumstances need only be proven to the satisfaction of the individual juror

and not beyond a reasonable doubt. See PIK Crim. 3d 56.00-D (2001 Supp.).

Several years later in *Scott*, this court again considered whether capital penalty-phase jury instructions impermissibly precluded the jury from considering mitigating circumstances not unanimously agreed upon by the jury. The court reiterated that *Kleypas*' two statements should be included in any mitigating circumstance instruction and then found reversible error because the trial court failed to include the second statement relating to jury unanimity. *Scott*, 286 Kan. at 104-07.

In finding reversible error in the court's failure to affirmatively inform jurors they need not unanimously agree on mitigating circumstances, the *Scott* court considered the instructions as a whole, noting:

> "[T]he instructions repeatedly emphasize the need for unanimity as to any aggravating circumstance found to exist. Conversely, the trial court's instructions do not inform the jury as to a contrary standard for determining mitigating circumstances. The jury is left to speculate as to the correct standard. Under these circumstances, we conclude there is a substantial probability reasonable jurors could have believed unanimity was required to find mitigating circumstances. We hold failure of the trial court to provide the jury with a proper standard for determining mitigating circumstances constitutes reversible error. See *Mills v. Maryland*, 486 U.S. 367, 100 L. Ed. 2d 384, 108 S. Ct. 1860 (1988) (holding a death sentence should be vacated where there was a

> substantial probability reasonable jurors may have thought they could only consider those mitigating circumstances unanimously found to exist)." *State v. Scott*, 286 Kan. 54, 107, 183 P.3d 801 (2008).

Notably, the current PIK instruction on mitigating circumstances, PIK Crim. 4th 54.050, incorporates both of *Kleypas*' recommended statements and correctly instructs the jury that "[m]itigating circumstances need not be proved beyond a reasonable doubt." But here, the trial court instructed the jury regarding mitigating circumstances consistently with the PIK instruction in effect at the time of Gleason's trial, PIK Crim. 3d 56.00-D (2001 Supp.), as follows:

> "Mitigating circumstances are those which in fairness may be considered as extenuating or reducing the degree of moral culpability or blame or which justify a sentence of less than death, even though they do not justify or excuse the offense.
>
> "The appropriateness of exercising mercy can itself be a mitigating factor in determining whether the State has proved beyond a reasonable doubt that the death penalty should be imposed.
>
> "The determination of what are mitigating circumstances is for you as jurors to decide under the facts and circumstances of this case. Mitigating circumstances are to be determined by each individual juror when deciding whether the State has proved beyond a reasonable doubt that the death penalty should be imposed. The

> same mitigating circumstances do not need to be found by all members of the jury in order to be considered by an individual juror in arriving at his or her sentencing decision.
>
> "[This part of the instruction listed the nine mitigating circumstances asserted by Gleason.]
>
> "You may further consider as a mitigating circumstance any other aspect of the defendant's character, background or record, and any other aspect of the offense which was presented in either the guilt or penalty phase which you find may serve as a basis for imposing a sentence less than death. Each of you must consider every mitigating circumstance found to exist."

As Gleason points out, despite this court's repeated recognition of the required content of penalty-phase mitigating circumstances instructions, this instruction erroneously failed to inform the jury that mitigating circumstances "need to be proved only to the satisfaction of the individual juror in the juror's sentencing decision and not beyond a reasonable doubt." See *Scott*, 286 Kan. at 106-07; *Kleypas*, 272 Kan. at 1078.

Notably, Gleason's argument rests on *Kleypas*' first statement regarding the required content of mitigating instructions, while only the second *Kleypas* statement implicates the *Mills / McKoy* prohibition against a jury unanimity requirement as discussed in *Kleypas* and *Scott*. But we find this to be a distinction without a difference because both recommended statements from *Kleypas* implicate the broader Eighth Amendment principle prohibiting barriers that preclude a

sentencer's consideration of all relevant mitigating evidence. See, *e.g.*, *Smith v. Spisak*, 558 U.S. at 144 (sentencing judge or jury may not refuse to consider *or be precluded from considering* any relevant mitigating evidence).

We recognize that the United States Supreme Court has explained that its Eighth Amendment jurisprudence on capital sentencing should not be interpreted as creating any constitutional requirements as to how or whether a capital jury should be instructed on the burden of proof for mitigating circumstances. See *Walton v. Arizona*, 497 U.S. 639, 649-51, 110 S. Ct. 3047, 111 L. Ed. 2d 511 (1990) (explaining that Court's death penalty decisions should not be interpreted to create any constitutional requirements as to how or whether capital jury should be instructed on burden of proof for mitigating circumstances), *overruled on other grounds by Ring v. Arizona*, 536 U.S. 584, 122 S. Ct. 2428, 153 L. Ed. 2d 556 (2002). The *Walton* Court held: "So long as a State's method of allocating the burdens of proof does not lessen the State's burden to prove aggravating circumstances, a defendant's constitutional rights are not violated by placing on him the burden of proving mitigating circumstances sufficiently substantial to call for leniency." 497 U.S. at 650.

But the capital sentencing statute at issue in *Walton* explicitly required defendants to prove mitigating circumstances by a "preponderance of the evidence" standard. 497 U.S. at 651. Kansas' capital sentencing statute differs distinctly from the statute at issue in *Walton*, and that distinction is critical to our analysis here. Namely, while K.S.A. 21-4624 requires

the State to prove aggravating circumstances beyond a reasonable doubt, the statute is silent as to any burden of proof for mitigating circumstances. K.S.A. 21-4624(e); see also *Marsh*, 548 U.S. at 173 (contrasting Kansas' statute, which places no evidentiary burden on capital defendants, with Arizona's statute, which requires capital defendants to prove mitigating circumstances by a preponderance of the evidence).

As the United States Supreme Court recognized, "[t]his distinction operates in favor of Kansas capital defendants." 548 U.S. at 173. Notably, *Kleypas*' first statement—that any mitigating circumstance instruction must inform the jury that mitigating instructions "need to be proved only to the satisfaction of the individual juror in the juror's sentencing decision and not beyond a reasonable doubt," both preserves the statute's favorable distinction and protects a capital defendant's Eighth Amendment right to individualized sentencing by ensuring jurors are not precluded from considering all relevant mitigating evidence. *Kleypas*, 272 Kan. at 1078.

In conclusion, both *Kleypas* and *Scott* support Gleason's claim that the trial court here erroneously instructed the jury regarding mitigating circumstances. Here, the instruction failed to affirmatively inform the jury that mitigating circumstances need only be proved to the satisfaction of the individual juror in that juror's sentencing decision and not beyond a reasonable doubt. See *Kleypas*, 272 Kan. 1078. Instead, the instruction informed the jury: "The determination of what are mitigating circumstances is for you as jurors to decide under the facts and circumstances of the case. Mitigating circumstances are to be determined by each

individual juror when deciding whether the State has proved beyond a reasonable doubt that the death penalty should be imposed."

Because K.S.A. 21-4624 expressly burdens the State with proving the existence of aggravating circumstances beyond a reasonable doubt but places no evidentiary burden regarding the existence of mitigating circumstances on the defendant beyond the burden of production, we reiterate our holding in *Kleypas* and *Scott* that capital juries in Kansas must be informed that mitigating circumstances need not be proven beyond a reasonable doubt. Because the instruction given in this case failed to do so, it was erroneous.

Further, we agree with Gleason that, as in *Scott*, the instructions as a whole in this case exacerbated rather than cured the instructional error. Namely, the instructions repeatedly emphasized the State's burden to prove the existence of aggravating circumstances beyond a reasonable doubt and to prove beyond a reasonable doubt that the death penalty should be imposed. Conversely, the instructions never informed or explained to the jury that no particular burden of proof applied to mitigating circumstances.

Thus, as in *Scott*, Gleason's jury was left to speculate as to the correct burden of proof for mitigating circumstances, and reasonable jurors might have believed they could not consider mitigating circumstances not proven beyond a reasonable doubt. Thus, jurors may have been prevented from giving meaningful effect or a reasoned moral response to Gleason's mitigating evidence, implicating Gleason's right to individualized sentencing under the Eighth

Amendment. See *Scott*, 286 Kan. at 107 (relying on *Mills* to reason that failure to instruct jurors that they need not be unanimous as to existence of mitigating circumstances left jury to speculate as to appropriate standard and likely caused jurors to believe they could only consider those mitigating circumstances unanimously found to exist).

Conclusion

The district court's instruction on mitigating circumstances failed to affirmatively inform the jury that mitigating circumstances need not be proved beyond a reasonable doubt. And the penalty-phase instructions as a whole exacerbated the error because they referred only to the beyond-a-reasonable-doubt burden of proof. Under these circumstances, we conclude a reasonable likelihood exists that the jury applied the mitigating circumstances instruction in a manner precluding individual jurors from properly considering relevant mitigating evidence as required by the Eighth Amendment. Consequently, we vacate Gleason's death sentence and remand for resentencing.

CONSTITUTIONAL AND STATUTORY CHALLENGES TO THE DEATH PENALTY

Gleason contends the death penalty is an unconstitutionally disproportionate punishment under § 9 of the Kansas Constitution Bill of Rights as applied to an entire category of offenders to which he claims to belong, namely "non-triggerman accomplice[s] who ha[ve] been found guilty of capital murder based on aiding and abetting." Alternatively, Gleason argues the death penalty is an unconstitutionally disproportionate punishment as applied to him in comparison to the

sentence imposed against his accomplice. Additionally, Gleason contends the aiding and abetting statute does not permit imposition of the death penalty when a capital murder conviction is based on aiding and abetting liability.

Because we are vacating Gleason's death sentence, we decline to consider his constitutional and statutory challenges to the death penalty. See *State v. Soto*, 299 Kan. 102, 130, 322 P.3d 334 (2014) (declining to consider Eighth Amendment challenges to hard 50 sentence when sentence vacated on other grounds); *State v. Jones*, 293 Kan. 757, 762, 268 P.3d 491 (2012) (declining to reach Eighth Amendment challenge to lifetime postrelease supervision when defendant's sentence vacated on other grounds). We note that Gleason is free to raise these issues below if the State seeks imposition of the death penalty on remand.

Finally, we decline to address Gleason's argument that the penalty-phase verdict forms did not adequately protect his right to be free from double jeopardy as guaranteed by the Fifth Amendment to the United States Constitution and interpreted by *Sattazahn v. Pennsylvania*, 537 U.S. 101, 123 S. Ct. 732, 154 L. Ed. 2d 588 (2003). While we vacate Gleason's sentence today, this issue will be ripe only if the State seeks imposition of the death penalty on remand. See *State v. Burnett*, 293 Kan. 840, 847-50, 270 P.3d 1115 (2012).

PENALTY PHASE—CONCLUSION

We reject Gleason's challenges to the legal validity and evidentiary sufficiency of the aggravating circumstances supporting his death sentence. But we

conclude the district court failed to properly instruct the jury on its duty to consider mitigating circumstances and hold a reasonable likelihood exists that this erroneous instruction precluded the jury from considering relevant mitigating evidence. Accordingly, we vacate Gleason's death sentence and remand for further proceedings consistent with this opinion. Because we vacate Gleason's death sentence, we decline to consider Gleason's constitutional and statutory challenges to his death sentence.

Affirmed in part, vacated in part, and remanded for further proceedings consistent with this opinion.

* * *

LUCKERT, J., concurring in part and dissenting in part: I respectfully dissent from the majority opinion on two issues. Specifically, I would hold: (1) The district court's admission of Damien Thompson's preliminary hearing testimony violated Sidney Gleason's constitutional right to confront witnesses, and (2) the district court abused its discretion when it denied Gleason's motion for a mistrial. These errors require reversal of Gleason's convictions for capital murder, aggravated kidnapping, and criminal possession of a firearm, but not Gleason's conviction for aggravated robbery.

CONFRONTATION CLAUSE VIOLATION

The United States Supreme Court has repeatedly emphasized that "[t]here are few subjects, perhaps, upon which [the United States Supreme] Court and other courts have been more nearly unanimous than in their expressions of belief that the right of confrontation and cross-examination is an essential

and fundamental requirement for the kind of fair trial which is this country's constitutional goal." *Pointer v. Texas*, 380 U.S. 400, 405, 85 S. Ct. 1065, 13 L. Ed. 2d 923 (1965). The right encompasses not only "testing the recollection and sifting the conscience of the witness" through cross-examination, but also compelling the witness "to stand face to face with the jury in order that they may look at [the witness], and judge by [the witness'] demeanor upon the stand and the manner in which [the witness] gives his testimony whether [the witness] is worthy of belief." *Mattox v. United States*, 156 U.S. 237, 242-43, 15 S. Ct. 337, 39 L. Ed. 409 (1895); see *Barber v. Page*, 390 U.S. 719, 725, 88 S. Ct. 1318, 20 L. Ed. 2d 255 (1968) ("The right to confrontation is basically a trial right. It includes both the opportunity to cross-examine and the occasion for the jury to weigh the demeanor of the witness.").

To fulfill these objectives and uphold the Constitution, the State must make a good-faith effort and exercise reasonable diligence to obtain in-person trial testimony of all its witnesses. If a witness is unavailable—either because he or she is physically absent, asserts a privilege, is disqualified as a witness, or refuses to testify—and the State wants a jury to hear the preliminary hearing testimony of the witness, the State must establish that in-person testimony cannot be obtained despite the State's good-faith effort and reasonable diligence. See *Hardy v. Cross*, 565 U.S. ___, 132 S. Ct. 490, 494, 181 L. Ed. 2d 468 (2011) (citing *California v. Green*, 399 U.S. 149, 189, n.22, 90 S. Ct. 1930, 26 L. Ed. 2d 489 [1970] [Harlan, J., concurring]); *State v. Flournoy*, 272 Kan. 784, 799-802, 36 P.3d 273 (2001); *State v. Washington*, 206 Kan. 336, 338, 479

P.2d 833 (1971); see also K.S.A. 60-459(g) (defining "'[u]navailable as a witness'").

In this case, the district court was not asked to and did not determine whether the State met this burden. Although the majority does not discuss the standard of review, a failure to apply the correct legal standard is error, even under the most deferential of standards. *State v. Ward*, 292 Kan. 541, 550, 256 P.3d 801 (2011), *cert. denied* 132 S. Ct. 1594 (2012). Further, the failure resulted in reversible error because the State failed to meet its burden and the error affected the jury verdict.

Early in the proceedings, the State gave away the most effective tool it had to enforce Thompson's agreement to testify at all proceedings against Gleason when the prosecutor asked the district court to sentence Thompson immediately after Gleason's preliminary hearing rather than asking that sentencing be delayed until Thompson fulfilled the plea agreement by testifying at Gleason's trial. At the sentencing hearing, the State met its final obligation under the plea agreement by not asking the court to impose a hard 50 life sentence for the premeditated first-degree murder of Mikiala Martinez, the only charge against Thompson that remained after the State dismissed all other counts as agreed.

The State's voluntary rush to fulfill its obligations before it was known whether Thompson would fully perform his part of the plea agreement is analogous to consummating the sale of a car by handing the purchaser the car keys, telling him to enjoy the car, and asking him to come back in a couple of years with enough cash to pay for the car. A seller who wanted to ensure payment would hold onto the car keys until the

cash was in hand. Here, the State handed Thompson the keys without full payment.

Thompson, therefore, likely believed he had nothing to lose when he walked into the courtroom for Gleason's trial approximately 2 1/2 years later and refused to testify to anything of substance. His refusal was predictable, and when the predictable outcome became reality, the prosecutor had few tools remaining. One available tool was to have Thompson's attorney warn Thompson of the consequences of violating the plea agreement. But the prosecutor did not attempt to use this tool. Instead, Gleason's counsel was the one who suggested Thompson's attorney needed to be present, and the district court agreed. Even then, the prosecutor indicated there was no need for this, stating he had talked to Thompson's attorney the night before and Thompson's attorney had said that his client did not want him there. Nevertheless, Thompson's counsel was called; he travelled from Wichita to Great Bend and spoke to Thompson, warning him the State might seek to revoke the plea agreement and seek the death penalty. When Thompson still refused to testify, the State asked the judge to order Thompson to testify and to hold him in contempt if he refused.

At that point, Gleason's defense counsel urged the court to give Thompson the opportunity to ponder his attorney's recent warning about the potential reinstatement of the death penalty and to bring Thompson back to court the next day to allow him to purge his contempt. With the renewed possibility of a death sentence, there was a huge incentive for Thompson to change his mind and a reasonable chance he would do so. After all, according to the prosecutor,

Thompson had been willing to testify the night before when his attorney had talked to him. This means that Thompson either misled his attorney or changed his mind overnight. If he had changed his mind, it seems possible—even probable—he could change his mind again.

Delaying the ruling on whether Thompson was an unavailable witness until the next morning would have been a minimal burden on the State, the court, and the jury. When Gleason's counsel asked for the delay, he pointed out that it was 3 p.m. and court would "be recessing in a couple [of] hours."

Instead of agreeing to bring Thompson back the next morning, which might have resulted in Thompson's in-person testimony, the prosecutor insisted the trial continue without delay because the parties were "in the middle of a trial." The prosecutor asserted, "[W]e have got to go on and we've got to continue with the rest of the witnesses. We can't just wait in the wings, and that's essentially what [defense counsel] is wanting you to do, and that's just not necessary here. It's sufficient for the record that we have established."

This position minimized the importance of the constitutional right of confrontation and evidenced the State's complacent, rather than diligent, approach to ensuring Thompson's in-person testimony. Given these circumstances, I would not accept, as does the majority, that the prosecutor was reasonably diligent when he merely asked the district court to order Thompson to answer questions and to hold him in contempt if he refused.

The majority reasons that the prosecutor had done as much or more to obtain Thompson's testimony as had been done in other cases, and the district court had taken the additional step of arranging for Thompson to speak to his attorney. In light of the constitutional right at stake and the penalty that Gleason faced, failing to utilize such a simple, straightforward, and low-burden step toward a fair trial is troubling. Even more troubling is the position of the State as expressed in its brief before this court in which it argued: "The situation facing the district court was no different" than that in *State v. Jefferson*, 287 Kan. 28, 194 P.3d 557 (2008), and *State v. Terry*, 202 Kan. 599, 451 P.2d 211 (1969), because "Thompson plainly and unequivocally refused to testify, even after consulting with counsel and considering the potential ramifications." Like the prosecutor at trial, the State appears to perceive it has only a minimal burden and need only make a record that the witness has refused to answer questions after being held in contempt, even if no opportunity has been given to purge that contempt.

The majority's holdings and the State's arguments imply there is a checklist of actions that equate to reasonable diligence. This position is contrary to the decisions of federal courts and other state courts when applying the Confrontation Clause of the Sixth Amendment to the United States Constitution. Significantly, the Tenth Circuit Court of Appeals, in reversing this court's holding that a physically absent witness was unavailable for Confrontation Clause purposes, held the "evaluation of reasonableness or good-faith effort 'requires us to consider all the circumstances rather than to apply a per se rule.'" *Cook*

v. McKune, 323 F.3d 825, 835 (10th Cir. 2003) (quoting *Martinez v. Sullivan*, 881 F.2d 921, 924 [10th Cir. 1989]). As a result, a court errs if it declares a witness unavailable simply because the State did as much or more as had been done in another situation. Rather, a court determining whether the prosecution has made a reasonable, good-faith effort to secure a witness' testimony at trial must conduct a context- and fact-specific analysis. *Brooks v. United States*, 39 A.3d 873, 883 (D.C. 2012); see *Cook*, 323 F.3d at 835-40.

To assist in evaluating whether the State has acted with reasonable diligence and made a good-faith effort to obtain a witness' in-person trial testimony, courts have identified four factors: (1) whether the testimony is crucial to the State's case or goes to minor, collateral, or uncontested matters; (2) the severity of the crime for which the defendant is on trial; (3) whether the witness has a special reason to favor the prosecutor, such as an immunity agreement for cooperation; and (4) whether the State made the same effort to secure the witness' testimony as it would have made if the State did not have prior testimony of that witness available to present at trial. *E.g.*, 323 F.3d at 835-36. An application of these factors in this case reveals the State did not make a good-faith effort or exercise reasonable diligence.

As to the first factor, the Tenth Circuit indicated "the more crucial the witness, the greater the effort required to secure his attendance." 323 F.3d at 835. Other courts have also emphasized the importance of allowing the jury to assess the credibility of a witness increases if the witness' testimony is critical to the case, and "[i]t is axiomatic that '[d]emeanor is of the

utmost importance in the determination of credibility of a witness.' *Gov't of the Virgin Is. v. Aquino*, 378 F.2d 540, 548 (3d Cir. 1967)." *Brooks*, 39 A.3d at 884. In-person testimony is the most effective means for a jury to assess a witness' demeanor, offering the opportunity to observe "'the quality, age, education, understanding, behavior, and inclinations of the witness.'" *United States v. Yida*, 498 F.3d 945, 950 (9th Cir. 2007) (quoting 3 William Blackstone, Commentaries 373-74 [1768]). In contrast, "[t]ranscripts of a witness's prior testimony, even when subject to prior cross-examination, do not offer any such advantage, because 'all persons must appear alike, when their [testimony] is reduced to writing.'" 498 F.3d at 950 (quoting 3 Blackstone, Commentaries 374).

In this case, this first factor weighs strongly in favor of a determination that the State needed to make a significant effort because Thompson's testimony was crucial to the State's case. Scant other evidence implicated Gleason in the crimes related to the double homicide and kidnapping, and no other evidence established that he possessed a gun. The other evidence linking Gleason to the double homicide and kidnapping provided no details relating to the elements of the crimes. Brittany Fulton testified Gleason had threatened that "if somebody tells the cops something, people are going to disappear." Additionally, Great Bend Police Officer Heather Smith saw Thompson's car near Martinez' home just before the shooting, a neighbor saw two men shove Martinez into the car, and Thompson's ex-girlfriend testified Thompson and Gleason left and returned home together the night

Martinez disappeared. Further, the State linked Gleason to shoes stained with Martinez' blood.

Nevertheless, only Thompson identified the shoes as Gleason's, and his testimony (1) established Gleason's actual participation in the double homicide and aggravated kidnapping, (2) identified Gleason as the one who shot Darren Wornkey, (3) placed the .22 caliber revolver in Gleason's hands, and (4) provided evidence of Gleason's intent to kill Martinez by testifying Gleason walked toward Martinez with his gun pointed at her as if he was going to shoot her. If Thompson's testimony regarding these details had not been admitted during Gleason's trial, a jury could have determined the State had failed to meet its beyond-a-reasonable-doubt burden of proof. Consequently, the State needed to make a significant effort to obtain Thompson's in-person testimony, and it did not.

The second factor relates to the severity of the crime. Gleason was charged with the most serious crime that exists in this state, capital murder. In *Cook*, the Tenth Circuit Court of Appeals emphasized that "because there is a real cost to the defendant in foregoing true confrontation, the unavailability requirement must be more than a formality." 323 F.3d at 832. Later in the opinion, the Tenth Circuit quoted *McCandless v. Vaughn*, 172 F.3d 255, 266 (3d Cir. 1999), to make a point that is critical to the analysis in this case: "'In a capital case, for example, it is fair to ask more of the prosecution than in a situation involving significantly less serious consequences.'" *Cook*, 323 F.3d at 836.

Yet, the State asks us to be satisfied with what had been done in *Jefferson*, 287 Kan. 28, an aggravated

battery case, and *Terry*, 202 Kan. 599, a noncapital premeditated murder case. Neither case exposed the defendant to the severe consequences of punishment by death.

Likewise the third factor—whether the witness has a special reason to favor the prosecutor, such as an immunity agreement for cooperation—weighs heavily against the majority's conclusion that the State's efforts were reasonable. In exchange for Thompson's testimony, the State agreed to dismiss very serious charges against Thompson, including one count of capital murder. In light of this favorable plea agreement, Gleason had a strong interest in confronting Thompson before the ultimate factfinders—the jurors. See *Cook*, 323 F.3d at 836 (stating that defendants have a stronger interest in confronting witnesses who are granted immunity by the State).

Finally, courts should evaluate whether the State made the same effort to secure the witness' testimony as it would have made if the State did not have the witness' preliminary hearing testimony available to present at trial. 323 F.3d at 836. In this case, the answer to this factor is clear: The prosecutor would not have been willing to give up so easily and would have been begging the district court to bring Thompson back to court the next day to see if he had changed his mind. This point distinguishes the only capital murder case relied on by the majority, *Lowery v. Anderson*, 225 F.3d 833, 839-40 (7th Cir. 2000), *superseded by statute on other grounds Corcoran v. Buss*, 551 F.3d 703 (7th Cir. 2008), *cert. granted, judgment vacated, and remanded by Corcoran v. Levenhagen*, 558 U.S. 1, 130 S. Ct. 8, 175 L. Ed. 2d 1 (2009).

In *Lowery*, both the prosecutor and trial judge offered the witness numerous opportunities to purge his contempt. The appellate court cited these efforts several times in its analysis, suggesting the significance of the repeated opportunities. At one point in the opinion, the court explained that the witness had been held in contempt and then, "[*t*]*he next day*, this procedure was repeated and the same result obtained." (Emphasis added.) *Lowery*, 225 F.3d at 838. Later in the opinion, after noting that the trial judge had held the witness in contempt, the *Lowery* court emphasized that "this procedure was repeated several times, outside the jury's presence." 225 F.3d at 839. Then, in the jury's presence, "[h]e refused again to testify and was again held in contempt." 225 F.3d at 839.

In this case, despite the majority's heavy reliance on *Lowery*, the majority does not explain why it was reasonable to deny Thompson the same opportunities as had been given the *Lowery* witness. And neither the State nor the majority attempts to explain why it was reasonable for the prosecutor to object to giving the witness an opportunity to contemplate the consequences of his refusal to testify after those consequences had just been explained to him by his attorney. The prosecutor's only justification at trial was that they should not be left to "wait in the wings." This superficial excuse becomes even weaker when viewed in light of what transpired.

Just minutes later, it became obvious the jury proceedings would be delayed because Gleason orally moved for a mistrial and lengthy arguments ensued. The jury was dismissed for the rest of that afternoon, which was a Thursday, and all of the next day while

the parties presented oral arguments and defense counsel prepared written arguments for the court's consideration. On Monday morning, the court and counsel resumed the legal arguments based on the written materials the court received over the weekend. Approximately 3 1/2 days after Thompson had received the advice of his attorney and had been held in contempt, the jury proceedings resumed. Thompson's preliminary hearing testimony was read into the record, and the State rested without "continu[ing] with the rest of the witnesses" that the State had argued could not be left waiting in the wings.

Thus, by late Thursday afternoon the justification for the State's excuse—*i.e.*, that the jury proceedings should not be delayed—had evaporated, if it ever existed. The jury proceedings had been delayed anyway, and questioning Thompson on Friday morning would have, at most, added a few minutes to the delay. At best, giving Thompson the opportunity to purge his contempt might have ended the delay if he had changed his mind and testified. By Friday and certainly by Monday morning, if not before, the requirement of a good-faith effort and reasonable diligence dictated that the State take steps to bring Thompson back to court so he could be given another opportunity to purge his contempt. The record discloses that Thompson was housed in a prison located sufficiently close that he was transported to the trial the morning he was to testify, and he could and should have been returned to court.

While neither *Jefferson* nor *Terry* required more than one opportunity for a witness to change his or her mind about refusing to testify, there is no indication

either defendant had requested such an opportunity be offered. But such a request was made in this case, and the prosecutor's opposition to such a simple effort to obtain Thompson's in-person testimony reflected a lack of good faith and reduced Gleason's confrontation right to a mere formality.

Moreover, the focus should not be solely on what happened after Thompson refused to testify. As previously noted, the State's efforts to diligently obtain Thompson's trial testimony derailed before the trial even began because of the way in which the State handled its part of the plea agreement. I would not go so far as to say that the State had to delay sentencing until after Thompson had testified at Gleason's trial in order for Thompson to be declared unavailable. To lay down such a rule would be contrary to the caselaw that suggests there should be no bright-line rule enunciating steps the State must take to obtain a witness' trial testimony before a witness can be declared unavailable. Yet, delaying sentencing of a witness who has agreed to testify at another's trial as part of a plea agreement until after the witness testifies at trial would be a better practice, especially in a case where the other unavailability factors weigh so heavily against the State. Certainly doing so would have weighed in the State's favor on this final factor and, conversely, the failure to do so suggests a lack of good faith in obtaining Thompson's in-person trial testimony and a willingness to proceed by reading from the cold preliminary hearing transcript.

In summary, handing the keys to Thompson, especially when combined with the prosecutor's attempts to rush Thompson out of the courtroom and

away from any opportunity to change his mind, signals that the State approached Gleason's constitutional right to confrontation as a mere formality. The State certainly did not make as much effort to ensure the jury heard Thompson's in-person trial testimony as it took to ensure it had a preliminary hearing transcript that could later be used as a substitute for Thompson's in-person trial testimony.

Consequently, I would hold that the State did not make a good-faith effort or act with reasonable diligence to obtain Thompson's in-person testimony before the jury. As a result, the district court erred in ruling that Thompson was unavailable and in admitting his preliminary hearing testimony, and these errors violated Gleason's right of confrontation under the Sixth Amendment to the United States Constitution and § 10 of the Kansas Constitution Bill of Rights. See *Crawford v. Washington*, 541 U.S. 36, 68, 124 S. Ct. 1354, 158 L. Ed. 2d 177 (2004); *Jefferson*, 287 Kan. at 39.

MOTION FOR MISTRIAL

The district court also erred in denying Gleason's motion for mistrial. Gleason argued witnesses had testified to hearsay statements made by Thompson regarding the double homicide, the court had admitted the statements under K.S.A 60-460(a) based on the assumption that Thompson would testify at trial and be available for cross-examination, and Thompson's refusal to testify undermined the necessary foundation for applying K.S.A. 60-460(a). See K.S.A. 60-460(a) (allowing admission of hearsay statement "previously made by a person who is present at the hearing and available for cross-examination with respect to the

statement and its subject matter, provided the statement would be admissible if made by declarant while testifying as a witness").

The district court denied the motion, concluding Gleason had cross-examined Thompson at the preliminary hearing about the underlying facts of the homicides and, thus, about the subject matter of the hearsay statements. Obviously, this ruling depended on the appropriateness of admitting Thompson's preliminary hearing testimony. Because the district court erred in admitting the preliminary hearing testimony, it also erred in admitting Thompson's hearsay statements.

REVERSIBLE ERROR

These errors violated Gleason's constitutional right of confrontation. In light of the constitutional error, the State has the burden of satisfying the harmless error standard recognized in *Chapman v. California*, 386 U.S. 18, 87 S. Ct. 824, 17 L. Ed. 2d 705 (1967). Under *Chapman*, "before a federal constitutional error can be held harmless, the court must be able to declare a belief that it was harmless beyond a reasonable doubt." 386 U.S. at 24. The issue is not whether the jury would have reached a different verdict but rather whether it appears "beyond a reasonable doubt that the error complained of did not contribute to the verdict obtained." 386 U.S. at 24.

As previously noted, Thompson's preliminary hearing testimony and hearsay statements were the only direct evidence of Gleason's involvement in the double homicide. Even the physical evidence of blood on the shoes depends on Thompson's statements

identifying the shoes as Gleason's. Without Thompson's testimony and hearsay statements, the State would be left with only sketchy circumstantial evidence of Gleason's involvement in the double homicide. Thus, I dissent from the majority's decision and would reverse Gleason's convictions for capital murder, aggravated kidnapping, and criminal possession of a firearm and remand for a new trial at which the State would be required to present either Thompson's in-person trial testimony or satisfy its burden of establishing that it had made a good-faith effort and acted with reasonable diligence but failed to secure Thompson's testimony.

I would not reverse Gleason's conviction for aggravated robbery, however, because Fulton and Ricky Galindo provided overwhelming evidence of Gleason's involvement in that crime. Thompson's testimony added little, if anything, and would not have affected the jury's verdict. Therefore, I concur with the majority's decision to affirm Gleason's aggravated robbery conviction.

BEIER and JOHNSON, JJ., join in the foregoing concurring and dissenting opinion.

* * *

BILES, J., dissenting: I dissent from the majority's holding that Sidney Gleason's sentence was imposed in violation of the Eighth Amendment to the United States Constitution because the district court failed to explicitly instruct the jury that mitigating circumstances need not be proven beyond a reasonable doubt. The majority's conclusion defies the United States Supreme Court's established Eighth Amendment jurisprudence and lacks any persuasive

analysis articulating why the circumstances in this case justify a departure from that precedent.

As the majority concedes, the issue for Eighth Amendment purposes is "whether there is a reasonable likelihood that the jury has applied the challenged instruction in a way that prevents the consideration of constitutionally relevant evidence." *Boyde v. California*, 494 U.S. 370, 380, 110 S. Ct. 1190, 108 L. Ed. 2d 316 (1990). But the majority pays only passing lip service to this test before concluding that Gleason suffered a reversible injury to his Eighth Amendment rights. To accomplish this, the majority engages in the following rank speculation:

> "Gleason's jury was left to speculate as to the correct burden of proof for mitigating circumstances and reasonable jurors might have believed they could not consider mitigating circumstances not proven beyond a reasonable doubt. Thus, jurors may have been prevented from giving meaningful effect or a reasoned moral response to Gleason's mitigating evidence, implicating Gleason's right to individualized sentencing under the Eighth Amendment." (Slip op. at 85.)

There is nothing in the record to substantiate this conjecture, and the majority points us to none. The majority's conclusion appears to be that a per se violation of the Eighth Amendment occurs if a jury instruction correctly states that the State bears the burden of proving aggravating circumstances beyond a reasonable doubt but fails to affirmatively state that mitigation evidence need not be proved beyond a reasonable doubt. But this alone cannot justify reversal

under controlling Eighth Amendment precedent. See *Kansas v. Marsh*, 548 U.S. 163, 173, 126 S. Ct. 2516, 165 L. Ed. 2d 429 (2006); *Walton v. Arizona*, 497 U.S. 639, 651, 110 S. Ct. 3047, 111 L. Ed. 2d 511 (1990), *overruled on other grounds by Ring v. Arizona*, 536 U.S. 584, 122 S. Ct. 2428, 153 L. Ed. 2d 556 (2002); see also *Smith v. Spisak*, 558 U.S. 139, 130 S. Ct. 676, 175 L. Ed. 2d 595 (2010) (instructions and jury forms at penalty phase did not violate Eighth Amendment by requiring jury unanimity as to existence of mitigating factors; instructions and forms did not explicitly advise jury mitigating circumstances need not be unanimously found).

A fundamental defect in the majority's analysis is its failure to distinguish between the Eighth Amendment's constitutional requirements and the Kansas capital sentencing scheme's statutory requirements. The majority concludes this court's directive in *State v. Kleypas*, 272 Kan. 894, 40 P.3d 139 (2001) that juries be explicitly informed that mitigating factors need not be proved beyond a reasonable doubt somehow takes on federal constitutional dimensions because it "implicate[s] the broader Eighth Amendment principle prohibiting barriers that preclude a sentencer's consideration of all relevant mitigating evidence." (Slip op. at 83.) But the majority acknowledges this conclusion is inconsistent with *Walton*, which the majority admits "should not be interpreted as creating any constitutional requirements as to how *or whether a capital jury should be instructed on the burden of proof for mitigating circumstances*." (Emphasis added.) (Slip op. at 83) (citing *Walton*, 497 U.S. at 649-51).

The majority attempts to distinguish *Walton* on the grounds that Kansas' capital sentencing scheme is different from the one at issue in *Walton*. Notably, the difference is that the Arizona state law at issue in *Walton* imposed a higher burden of proof than Kansas because in Arizona mitigating circumstances must be proved by a preponderance of the evidence. 497 U.S. at 649. The Kansas statute does not impose any burden of proof requirement, which means a capital defendant is not saddled with the burden of establishing mitigating circumstances by a preponderance of the evidence. I fail to see how this distinction is "critical"—as the majority portrays it—for Eighth Amendment purposes. State law does not define the scope of federal constitutional guarantees.

Put simply, the Eighth Amendment does not compel our directive in *Kleypas*, 272 Kan. at 1078 that any mitigating circumstance instruction must inform the jury that mitigating circumstances need not be proved beyond a reasonable doubt. See *Marsh*, 548 U.S. at 173 (holding *Walton* compelled conclusion Kansas capital sentencing scheme satisfied Eighth Amendment requirements because Kansas scheme was functionally identical to scheme found constitutional in *Walton*, except it provided benefit to defendants by placing no evidentiary burden on them). So a finding that Gleason's jury instructions did not conform to the *Kleypas* requirement that jurors be informed that mitigating circumstances do not need to be proved beyond a reasonable doubt is not an adequate basis for concluding Gleason's federal Eighth Amendment rights were violated.

But even if one assumes that portion of *Kleypas* is required by the Eighth Amendment, the majority fails to adequately address the next Eighth Amendment inquiry required by *Boyde* by explaining how there is a reasonable likelihood the jury applied the instruction in a way that prevented consideration of constitutionally relevant evidence. The majority simply concludes that reasonable jurors "*might have believed* they could not consider mitigating circumstances" or "*may have been prevented* from giving meaningful effect" to Gleason's evidence. (Emphasis added.) (Slip op. at 85.)

When determining whether there is a reasonable likelihood the jury applied the instruction in a way that prevented consideration of constitutionally relevant evidence, the *Boyde* Court considered the language of the instruction in conjunction with what happened during the proceedings. See 494 U.S. at 383-86 (noting petitioner presented 4 days of evidence about his background and character, the jury was instructed it must consider all evidence presented during any part of the trial, and the prosecutor "explicitly assumed," in closing argument, that the petitioner's background and character should be considered by the jury but merely argued the mitigating evidence was minimal in comparison to the aggravating circumstances). In my view, the majority's conclusion does not withstand a review of the evidence, instructions, and trial arguments.

Recall first the mitigating factors alleged. Gleason claimed: (1) His capacity to appreciate the criminality of his conduct was impaired; (2) he was relatively young—24 years old at the time of the crime; (3) the

public would be adequately protected if he were given a term of imprisonment; (4) he had an accomplice who significantly participated in planning and committing the crimes; (5) his accomplice received only a life sentence with eligibility for parole in less than 23 years; (6) he lacked contact with his mother in his early years because she was in jail; (7) he and his siblings were all in jail at the time; (8) he was obedient and an excellent student when he lived with his great aunt; and (9) his family loved him.

Gleason's mother, great aunt, brothers, and childhood pastor testified to these circumstances; and there was little, if any, dispute about the facts establishing their existence. As to this mitigating evidence, the district court instructed the jury:

> "You may further consider as a mitigating circumstance any other aspect of the defendant's character, background or record, and any other aspect of the offense which was presented in either the guilt or penalty phase which you find may serve as a basis for imposing a sentence less than death. Each of you must consider every mitigating circumstance found to exist."

And the court went on to tell the jury that "[t]he appropriateness of exercising mercy can itself be a mitigating factor in determining whether the State has proved beyond a reasonable doubt that the death penalty should be imposed."

I see nothing in the instructions from which to conclude the jury was bewildered by them, or that there is a reasonable likelihood the jurors applied them in a way that prevented their full consideration of

Gleason's mitigating factors evidence. Moreover, the parties' closing arguments further dispel the notion that there is a reasonable likelihood the jury would have applied the instruction in a way that prevented consideration of constitutionally relevant evidence.

In its closing argument, the State repeatedly told the jury it would be each juror's "individual choice" to decide whether mitigating factors exist based upon "any evidence" to support a particular factor. The State never suggested mitigation had to be proved beyond a reasonable doubt or even under the lower preponderance of the evidence standard. To the contrary, the State repetitively spoke about each mitigation factor alleged by Gleason by asking, "Did you hear any evidence about that?" or, "Can you find that one to exist based on the evidence?" In other instances, the State simply conceded a factor's existence, such as the accomplice's involvement with the crime and that the accomplice received a life sentence with parole eligibility in less than 23 years.

Indeed, a fair review of the State's closing argument shows little, if any, dispute by the prosecution as to the existence of the mitigating factors Gleason alleged and not a hint of argument that Gleason failed to demonstrate any factor's existence. Instead, the State centered its attentions on what weight those factors should be given in light of the aggravators, and there is no claim of error in that regard.

In Gleason's closing arguments, his defense counsel told the jurors:

> "You're also told in [Instruction 7] that *mitigators do not have to be proven unanimously*.

> You all have to consider them, *but if you believe something is a mitigator, it goes on your scale, it doesn't matter if anyone else places it on theirs. Likewise, you independently weigh those mitigators.*" (Emphasis added.)

Defense counsel explained further that "[m]itigators are anything in your independent moral assessment whether it's on this list in Instruction 7 or not." And he later added:

> "Any one of you who says no, I think that there's mitigation, be it mercy, be it something on the list, be it something on your own that outweighs aggravation guarantees Sidney life. It's minority rule in that regard. There's a presumption of life."

In other words, the penalty phase closing arguments by both the State and the defense did exactly what they were supposed to do—they helped the jury understand the evidence and apply the law. See PIK Crim. 3d 56.00-D (2001 Supp.). And they did so in a manner consistent with the United States Supreme Court's Eighth Amendment requirements. See *Smith*, 558 U.S. at 148; *Boyde*, 494 U.S. at 380-81; *Mills*, 486 U.S. at 384.

The instructions, evidence, and arguments of counsel all pointed the jury to do what it was supposed to do in the penalty phase—consider all of the mitigating factors supported by the evidence, as well as mercy for the defendant, and then render a decision on whether the death penalty should be imposed, *i.e.*, whether the State proved beyond a reasonable doubt that aggravating circumstances were not outweighed

by any mitigating circumstances. There is nothing to show a reasonable likelihood that the jury applied the challenged instructions in a way that prevented consideration of constitutionally relevant evidence in this case.

For these reasons, I must conclude that the majority's rationale for reversing the sentence fails to conform to Eighth Amendment jurisprudence. I also conclude that Gleason's other sentencing challenges provide no basis for properly overturning the jury's verdict in the penalty phase of his case. I would affirm the sentence.

MORITZ, J., joins the foregoing dissenting opinion.

APPENDIX B

[Dated April 21, 2006]

INSTRUCTION NO. 1

The laws of Kansas provide that a separate sentencing proceeding shall be conducted when a defendant has been found guilty of capital murder to determine whether the defendant shall be sentenced to death. At the hearing, the trial jury shall consider aggravating or mitigating circumstances relevant to the question of the sentence.

It is my duty to instruct you in the law that applies to this sentencing proceeding, and it is your duty to consider and follow all of the instructions. You must decide the question of the sentence by applying these instructions to the facts as you find them.

INSTRUCTION NO. 2

In your determination of sentence, you should consider and weigh everything admitted into evidence during the guilt phase or the penalty phase of this trial that bears on either an aggravating or a mitigating circumstance. This includes testimony of witnesses, admissions or stipulations of the parties, and any admitted exhibits. You must disregard any testimony or exhibit which I did not admit into evidence.

INSTRUCTION NO. 3

At times during the trial, I have ruled upon the admissibility of evidence. You must not concern

yourself with the reasons for these rulings. I have not meant to indicate any opinion as to what your verdict should be by any ruling that I have made or anything that I have said or done.

INSTRUCTION NO. 4

Statements, arguments and remarks of counsel are intended to help you in understanding the evidence and in applying the law, but they are not evidence. If any statements are made that are not supported by evidence, they should be disregarded.

INSTRUCTION NO. 5

It is for you to determine the weight and credit to be given the testimony of each witness. You have a right to use common knowledge and experience in regard to the matter about which a witness has testified.

INSTRUCTION NO. 6

Aggravating circumstances are those which increase the guilt or enormity of the crime or add to its injurious consequences, but which are above or beyond the elements of the crime itself.

The State of Kansas contends that the following aggravating circumstances are shown from the evidence:

1. That Sidney Gleason was previously convicted of a felony in which Sidney Gleason inflicted great bodily harm or disfigurement on another; and

2. That Sidney Gleason knowingly or purposely killed or created a great risk of death to more than one person; and

3. That Sidney Gleason committed the crime in order to avoid or prevent a lawful arrest or prosecution; and

4. That the victim was killed while engaging in, or because of the victim's performance or prospective performance of, the victim's duties as a witness in a criminal proceeding.

In your determination of sentence, you may consider only those aggravating circumstances set forth in this instruction.

INSTRUCTION NO. 7

Mitigating circumstances are those which in fairness may be considered as extenuating or reducing the degree of moral culpability or blame or which justify a sentence of less than death, even though they do not justify or excuse the offense.

The appropriateness of exercising mercy can itself be a mitigating factor in determining whether the State has proved beyond a reasonable doubt that the death penalty should be imposed.

The determination of what are mitigating circumstances is for you as jurors to decide under the facts and circumstances of the case. Mitigating circumstances are to be determined by each individual juror when deciding whether the State has proved beyond a reasonable doubt that the death penalty should be imposed. The same mitigating circumstances do not need to be found by all members of the jury in order to be considered by an individual juror in arriving at his or her sentencing decision.

Sidney Gleason contends that mitigating circumstances include, but are not limited to, the following:

1. The capacity of Sidney Gleason to appreciate the criminality of his conduct or to conform his conduct to the requirements of law was substantially impaired.

2. The age of Sidney Gleason at the time of the crime.

3. A term of imprisonment is sufficient to defend and protect the people's safety from Sidney Gleason.

4. Crimes related to this case include significant participation and planning on the part of Damian Thompson.

5. Damian Thompson has received a life sentence that will make him eligible for parole in less than 23 years.

6. Sidney Gleason's mother, Irene Gleason, was sent to prison when he was a young boy.

7. All three of Irene Gleason's sons are in custody.

8. When living with Betty Cornelius, Sidney Gleason was an obedient child and an excellent student.

9. His mother, his brothers, and Aunt Betty love Sidney Gleason.

You may further consider as a mitigating circumstance any other aspect of the defendant's character, background or record, and any other aspect

of the offense which was presented in either the guilt or penalty phase which you find may serve as a basis for imposing a sentence less than death. Each of you must consider every mitigating circumstance found to exist.

INSTRUCTION NO. 8

The State has the burden to prove beyond a reasonable doubt that there are one or more aggravating circumstances and that they are not outweighed by any mitigating circumstances found to exist.

INSTRUCTION NO. 9

In making the determination whether aggravating circumstances exist that are not outweighed by any mitigating circumstances found to exist, you should keep in mind that your decision should not be determined by the number of aggravating or mitigating circumstances that are shown to exist.

INSTRUCTION NO. 10

If you find unanimously beyond a reasonable doubt that one or more aggravating circumstances exist and that they are not outweighed by any mitigating circumstances found to exist, then you shall impose a sentence of death. If you sentence Sidney Gleason to death, you must designate upon the appropriate verdict form with particularity the aggravating circumstances which you unanimously found beyond a reasonable doubt.

However, if one or more jurors is not persuaded beyond a reasonable doubt on the burden of proof in the

paragraph above, then you should sign the appropriate alternative verdict form indicating the jury is unable to reach a unanimous verdict sentencing Sidney Gleason to death. In that event, Sidney Gleason will not be sentenced to death but will be sentenced by the court as otherwise provided by law.

INSTRUCTION NO. 11

If, at the conclusion of your deliberations, the jury finds that the mitigating circumstances outweigh the aggravating circumstances, then the Court will sentence Sidney Gleason pursuant to the Kansas Sentencing Guidelines Act as follows:

Count One — Capital murder: Life imprisonment with parole eligibility of 25 years or 50 years;

Count Two — Murder in the first degree: Imprisonment for life with parole eligibility of 25 years or 50 years;

Count Three — Aggravated kidnapping: 258 to 285 months imprisonment;

Count Four — Aggravated robbery: 55 to 61 months imprisonment; and

Count Five — Criminal possession of firearm: 7 to 9 months imprisonment.

All of the sentences may be imposed to run concurrently or consecutively with each other within the discretion of the Court.

INSTRUCTION NO. 12

At the conclusion of your deliberations, you shall sign the verdict form upon which you agree.

You have been provided verdict forms which provide the following alternative verdicts:

A. Finding unanimously beyond a reasonable doubt that there are one or more aggravating circumstances and that they are not outweighed by any mitigating circumstances found to exist, and sentencing Sidney Gleason to death;

OR

B. Stating that the jury is unable to reach a unanimous verdict sentencing Sidney Gleason to death.

INSTRUCTION NO. 13

When you retire to the jury room you will first select one of your members as Presiding Juror. The person selected will preside over your deliberations, will speak for the jury in Court, and will sign the verdict upon which you agree.

Your verdict must be founded entirely upon the evidence admitted and the law as given in these instructions.

Your agreement upon a verdict sentencing Sidney Gleason to death must be unanimous.

/s/ Hannelore Kitts
HANNELORE KITTS
District Judge, Div II, Twentieth Judicial District

4-21-06
Date

IN THE DISTRICT COURT OF BARTON COUNTY, KANSAS

Case No. 2004 CR 52

[Filed April 21 , 2006]

STATE OF KANSAS,	)
Plaintiff,	)
vs.	)
	)
SIDNEY J. GLEASON,	)
Defendant.	)
	)

SENTENCING VERDICT

We, the jury, impaneled and sworn, do upon our oath or affirmation, state that we are unable to reach a unanimous verdict sentencing Sidney Gleason to death.

_____
Presiding Juror

April ___, 2006

IN THE DISTRICT COURT OF BARTON COUNTY, KANSAS

Case No. 2004 CR 52

[Filed April 21 , 2006]

STATE OF KANSAS,	)
Plaintiff,	)
vs.	)
	)
SIDNEY J. GLEASON,	)
Defendant.	)
	)

SENTENCING VERDICT

We, the jury, impaneled and sworn, do upon our oath, or affirmation, unanimously find beyond a reasonable doubt that the following aggravating circumstances have been established by the evidence and that they are not outweighed by any mitigating circumstances found to exist.

The Presiding Juror shall place an X in the square in front of such aggravating circumstance(s).

- ☒ That Sidney Gleason was previously convicted of a felony in which Sidney Gleason inflicted great bodily harm or disfigurement on another.
- ☒ Sidney Gleason knowingly or purposely killed or created a great risk of death to more than one person.
- ☒ Sidney Gleason committed the crime in order to avoid or prevent a lawful arrest or prosecution.

☒ The victim was killed while engaging in, or because of the victim's performance or prospective performance of, the victim's duties as a witness in a criminal proceeding.

and so, therefore, unanimously sentence the defendant to death.

/s/_____
Presiding Juror

April 21 , 2006

APPENDIX C

IN THE DISTRICT COURT OF BARTON COUNTY, KANSAS

Case No. 04-CR-52

[Dated April 20 & 21, 2006]

STATE OF KANSAS,	)
	)
Plaintiff,	)
	)
vs	)
	)
SIDNEY J. GLEASON,	)
	)
Defendant.	)
	)

JURY TRIAL (Phase 2)
VOLUME XI (April 20 & 21, 2006)

Proceedings had before the Honorable HANNELORE KITTS, and a jury, at Great Bend, Kansas, on April 4-21, 2006.

APPEARANCES

The plaintiff appeared by its attorneys, MR. STEPHEN D. MAXWELL, Senior Assistant Attorney General, MR. KEVIN A. GRAHAM, Assistant Attorney General, 120 S.W. 10th Avenue, 2nd Floor, Topeka, Kansas 66612, and MR. DOUGLAS A. MATTHEWS,

Barton County Attorney, 1400 Main Street, Suite 302, Great Bend, Kansas 67530.

The Defendant appeared in person and by his attorneys, MR. RONALD F EVANS, Death Penalty Defense Unit, 112 S.W. 6th Street, Suite 311, Topeka, Kansas 66603, and MR. JEFFREY WICKS, Death Penalty Defense Unit, 604 N. Main Street, Suite G, Wichita, Kansas 67203.

* * *

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unanimously beyond a reasonable doubt that there are one or more aggravating circumstances and that they are not outweighed by any mitigating circumstances found to exist and sentencing Sidney Gleason to death; or stating that the jury is unable to reach a unanimous verdict sentencing Sidney Gleason to death.

Instruction Number 13: When you retire to the jury room, you will first select one of your members as presiding juror. The person selected will preside over your deliberations, will speak for the jury in court, and will sign the verdict upon which you agree. Your verdict must be founded entirely upon the evidence admitted and the law as given in these instructions. Your agreement upon a verdict sentencing Sidney Gleason to death must be unanimous. Signed, Hannelore Kitts.

Mr. Maxwell, are you ready to proceed with your closing statement?

MR. MAXWELL: Yes, Your Honor.

THE COURT: Okay. You may do so.

MR. MAXWELL: Good morning, ladies and gentlemen. The State of Kansas is seeking the death sentence for Sidney Gleason. You have known that since we got to this point. At this point, we're about to give it to you to decide, but the State of Kansas asks this jury to sentence this defendant to death.

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Now, when we started this whole trial before we even started the guilt phase of the trial, we did jury selection, and in jury selection, we talked a lot about following the law, because after all, ladies and gentlemen, we are a civilized society that has laws that we follow. We enact our laws through our elected representatives, and we're, as good citizens, obligated to follow those laws, and those laws are the guiding principal in this court of law. They are the hallmark and the cornerstone of everything we do inside this courtroom. As you have seen before in the jury instructions, we give you detailed instructions on what the law is. You have just now gotten those from Judge Kitts on what the law is in this phase of the trial.

Now, we talked a lot about -- in voir dire about being fair, about being fair both to the defendant, Sidney Gleason, giving him a fair trial, and to the State of Kansas, because that's, after all, the very purpose of what we're doing. We talked a lot about whether or not you agreed or you disagreed with the death penalty or whether or not you're for it or against it in certain cases, or that case, or that case, and we asked you in voir dire, no matter what your personal opinion is, can you follow the law, because the law in the State of Kansas allows for the death penalty in certain cases. We talked

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about the limited number of those. You are about to experience one of them. This is not about revenge. It's not about getting even. It's about justice and the appropriate penalty for certain crimes in our society.

Now, ladies and gentlemen, the judge gave you the law. So as any court does in a jury, we start with the law. We start looking at it and seeing what it says and seeing what our responsibilities are under it, because I operate under it. You all swore to operate under it, and so we have to look at it to see what it actually says. The first instruction, in the determination of your sentence, you should consider and weigh everything admitted into evidence during the guilt or penalty phase that bears on the aggravating or mitigating circumstances. It includes the testimony of witnesses, admissions or stipulations, all admitted exhibits. Everything you have heard to this point forward, everything from the very start of this trial til right now, you get to consider all the evidence, all the witnesses, all the exhibits, everything you have heard in this penalty phase, everything you can consider.

The next instruction, again, what you heard in the first phase of this trial, for you to determine the weight and credit. It's for you to use your common sense, experience in the ways of the world to determine the

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matters at hand.

So let's get to it The State of Kansas says in any death penalty sentencing phase argument bears the burden of proof, as it should be. We have to allege

before the trial, as I told you, aggravating circumstances that are beyond the elements, or as they were called in the jury instruction during the guilt phase, the claims. For example, you remember that in the guilt phase you had to determine whether he was guilty or not guilty of capital murder. Now, obviously, you found him guilty, but the elements were, as you recall -- or the claims were the intentional, premeditated killing of two human beings in acts or transactions connected together. Those were the elements, the claims that were required to be proved. What we now have to show you and we have to ask you to find are those facts that you may have heard inside the guilt phase of the case that were part and parcel of the -- what we call the res gestae of the crime, the circumstances as it was committed, constituting motive or reasons why people do them, but that are not required to be proven in the guilt phase but come in as a necessary fact in presenting the evidence.

Now, we have to prove these aggravating circumstances we have alleged. There's actually four of them. Aggravating circumstances to find as those which

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increase the guilt or enormity of the crime or add to its injurious consequences, which are above or beyond the elements of the crime itself. Now, you have heard the elements of capital murder. Intentional, premeditated killing of two human beings in a series of transactions connected together essentially. Now, what's beyond that? You heard it through the guilt phase. You heard some of it. You didn't hear all of it. So let's talk about the one you didn't hear in the guilt phase, that Sidney

Gleason was previously convicted of a felony. Now, you knew that, prior to finding him guilty, you knew that because we stipulated to it. You knew he was previously convicted for the firearms charge, but what you didn't know -- and inflicted great bodily harm or disfigurement on another. What you didn't know was that he in Topeka, Kansas, on May 6 of 2000, the defendant shot Mark Hall. He shot him three times with a firearm, put him in the hospital for a week, made him have surgery to get the bullet removed, has scars on his body, and the defendant went to prison for it. He went to prison for 44 months. You'll have the journal entry. You can see that, calculate the time. May 6th of 2000, sentence begins date. He was arrested that day, went to prison, went to prison. He's in prison until January 29 of 2004, exactly 14 days before Paul Elliott was robbed and stabbed by the

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defendant and 23 days before Miki and Darren were brutally murdered by him -- 23 days. You didn't know that when you convicted him of capital murder. You didn't know it, but now you do. The State has proved this aggravating circumstance beyond a reasonable doubt, got the actual conviction, shot the man three times.

The next one, that Sidney Gleason knowingly or purposely killed or created a great risk of death to more than one person. Now, that's part of -- sort of part of the capital murder charge. It's different the way its elements are, purposely killed or created a great risk of death, but you found he killed two people at this point. And the third one, that Sidney Gleason committed the crime in order to avoid or prevent a lawful arrest or

prosecution. Well, now you heard all about this one in various ways. Nobody actually made -- used those words maybe, but they talked a lot about what they did to avoid getting arrested for Paul Elliott's crime, for the crime against Paul Elliott. You heard all about that. Then you heard about what Damien Thompson and Sidney Gleason did to Miki Martinez to avoid getting caught for just shooting Darren Wornkey. They took her out in the country. They hid her body. Remember that? What all they did to commit the crime in order to prevent. Now, he's on parole or post-release supervision, they call it, when he commits

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the Elliott robbery. Okay. He doesn't want to go back to jail, so they hide the clothes, remember, and hid them and threatening witnesses and planning to kill them or silencing them. All of that was because he didn't want to go back to jail. He didn't want to get arrested. He didn't want to get prosecuted. That's why he was worried about what Miki was going to say.

And the last one, that the victim was killed while engaging in or because of the victim's performance or prospective -- that's future -- performance of the victim's duties as a witness in a criminal proceeding. Well, think about that. Miki Martinez was a witness. She was involved, too, but she was a witness to the Elliott robbery and stabbing. She was a witness. She was also a witness to her boyfriend getting murdered right in front of her. They started talking about killing her after the Elliott robbery. They started talking about planning it. They discussed it. They went to scare them. You heard the evidence of the plan of what they were talking about to do, thinking about

considering whether or not Miki was going to the police. Poor Miki says I'm just going to tell them and sealed her fate, sealed her fate, because when that happened, they couldn't allow her to tell the police because he goes back to jail. So they shoot Miki in the car with Darren, and Darren just got in the way.

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You heard the plan. We'll kill him too if he happens to be there because they didn't like him, if he got in the way, and Miki was shot and killed. He was shot and killed, and they took her out in the country, and now they have got to kill her. She's a witness. What can they do? They can't release her. She saw them kill Darren Wornkey, saw the defendant kill Darren Wornkey. Now his motive becomes even more clear when he walked up there to shoot her and Damien Thompson stopped him. He can't let Miki go. She's a witness to what he did to Darren Wornkey.

Ladies and gentlemen, all four of these aggravating factors have been proven by the evidence beyond a reasonable doubt, because that's the first step. You look at these and you say are these aggravating circumstances, one or more of them? You decide. One or all four or two or three, however many, you decide are proved then -- and then you go to the next instruction. You go to the mitigating instruction, and ladies and gentlemen, please take this seriously. This is a man's life that's at stake.

Mitigating circumstances are those which in fairness may be considered as extenuating or reducing the degree of moral culpability or blame which justifies

a sentence of less than death even though they do not justify or excuse the offense. Is there something that

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you heard that in your minds reduces the moral culpability of Sidney Gleason for murdering two people in cold blood and premeditated? Is there something that you have heard? The instruction goes on. It says the appropriateness of exercising mercy can itself be a mitigating factor in determining whether or not the State has proved beyond a reasonable doubt the death penalty should be imposed. Mercy. It's all up to you. It's to your individual decisions whether or not you want to grant mercy, and when the defense gets up here and asks you, I'm sure he will, to say mercy, give him mercy. Do the facts of his actions, do what he did here call for mercy? Is the cold-blooded, premeditated, intentional murders of two people because you were selling drugs and you robbed and stabbed somebody and they were witnesses to the crime that you did, does that -- those actions call for mercy? Your decision.

The determination is your individual choice, which is this section. You don't all have to agree what's mitigating or what's not, and there's no formula for it. You can say this is mitigating. You can say this is mitigating, and I'll give you an example in a minute, but it's your individual choice of what to do here. Okay, because at the end, you have to weigh these mitigating and aggravating factors.

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The defense contends some of these are mitigating. Okay. Now, this is what they contend. The question for you is do they exist? Was there evidence to prove them?

That's the first question, and then the second question is, if you find them to exist, how much weight do you want to give them in your own mind? How mitigating do you think it is? Okay. Because that's your individual choices. The first one, the capacity of Sidney Gleason to appreciate the criminality of his conduct or to conform his conduct to the requirements of the law was substantially impaired. Did you hear any evidence about that? Did you hear any evidence that he had somehow impaired his ability to follow society's laws or to -- that he was somehow had some mental problem that he couldn't conform his conduct to our laws? Did you hear any evidence of that alleged mitigating factor? Did you?

The age of Sidney Gleason at the time of the crime. He's 24 at the time of the crime. Is that mitigating in your mind? Would it be mitigating if he was 18 or 70? But what about 24? Is that an adult? Had he been involved with the criminal justice system before? Had he been to prison? Did he know what was wrong, what was right? Is that mitigating, his age?

A term of imprisonment is sufficient to defend and protect the people's safety from Sidney Gleason. Again,

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the first question is, did you hear any evidence about that? Did you hear -- this is what they claim, the defense claims. Did you hear any evidence about that? Can you find that one to exist based on the evidence? Did you hear any evidence of that? And if so, you think you did, well, how much weight do you want to give it? Because we're not really talking about life, ladies and gentlemen, or death. This is -- life is a given if you don't

find -- give him a death sentence. It's a given here. It's not what we're saying. Life in prison is a given. This is about whether or not you should impose a death sentence.

Crimes related to this case include significant participation and planning on the part of Damien Thompson. There's no question about it. There's no question about it. Okay. He was involved.

The next one, Damien Thompson has received a life sentence that will make him eligible for parole in less than 23 years. Absolutely. Okay. Do they have to give him parole? No. The question is, what did Damien Thompson do here? What did he do? Do you remember what Agent Latham said why he got what he got? Because he lead us to the body of Miki Martinez. He confessed to his crimes. He lead us to the body of Miki Martinez where we recovered her body for her family, confessed his crimes

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and got a life sentence. Now, compare that to this defendant who shot someone, goes to prison for it, gets out and 14 days later robs and stabs an old man, and 23 days later kills two people to keep them silent.

Now, the next one, Sidney Gleason's mother, Irene Gleason, was sent to prison when he was a young boy. Again, not a dispute. Does that mitigate this defendant's crimes? You heard. The defense asked you a lot about role models and mentors in your life when you were young. Did Aunt Betty sound like a role model and mentor? She tried to do the right thing, teach them right from wrong, but he chose to shoot people, to murder people, to have a gun when he knew

he couldn't possess it. He chose to do those things. Aunt Betty tried to set him right. He chose not to follow her teachings. It's a choice we all make to be law-abiding citizens or not. He was 24 years old. He's an adult.

Now, again, all three of her sons in custody. No question. They are. Does that mitigate this defendant's double brutal murders in your mind? Does that mitigate it? When living with Aunt Betty, he was an obedient child and excellent student. Okay, yeah. Does that mitigate this crime?

His mother, brothers, Aunt Betty loved him. Everyone in the world has someone who loves them. Does

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that mitigate his brutal murders? Those are your questions you have to answer. Because, ladies and gentlemen, when it comes down to it, you can find all of these, some of these found to exist. Whatever you do find, you then take the aggravating circumstances that the State has proven and you weigh those. And here's how you do it. The State has to prove that -- the burden to prove beyond a reasonable doubt that there are one or more aggravating circumstances and that they are not outweighed by any mitigating circumstances found to exist. So you got the aggravating circumstances. You got the mitigating circumstances. Individually, you put whatever weight you want on the mitigating circumstances individually or collectively or however you want to do it in your own individual minds and then ask yourself the question are any of those aggravating -- or mitigating circumstances alleged by the defense, do any of those or cumulatively, do they

outweigh the aggravators, because they have to outweigh them, because if the aggravators are not outweighed by the mitigators then -- and this is the number -- there's no particular number. It's like four aggravators, nine mitigators, but you don't really consider them numbers, okay. That's what that means. But here's what happens then. You find the aggravators are now outweighed by the mitigators, if you find unanimously

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beyond a reasonable doubt that one or more aggravating circumstances exists and that they are not outweighed by any mitigating circumstances found to exist, then the law says you shall impose a sentence of death, and you have to designate which aggravators you find. You shall, ladies and gentlemen, unless you find the aggravator – the mitigators outweigh the aggravating circumstances here. And let me suggest or argue to you how could they? He's been in prison and shot somebody before. He was 23 days out of prison, ladies and gentlemen. How could they?

There's two alternative verdicts here. At the conclusion of your deliberations, you should sign the verdict upon which you agree. There' s two. You either find unanimously beyond a reasonable doubt that the aggravating circumstances are not -- that you find are not outweighed by any mitigating and you sentence him to death, or you say I can' t agree. We can't -- all 12 of us can't agree, and that's your choice, individually your choice. Each one of you has the individual choice and responsibility to decide yourselves whether or not a death sentence has been proven, and ladies and gentlemen, it is your choice. Any one of you, any one of

you can decide I won't vote for death and the other jurors are powerless to stop them, but remember this is what the law is. This is about following the law not what Steve Maxwell believes

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in, not what any member of the audience believes in. This is what the law is, following the law to return a verdict in accordance with that law to sentence this defendant to death.

Now, ladies and gentlemen, I'm very aware that what the State is asking you to do here is an extraordinarily difficult thing. It's sobering and it's so sobering this morning I was ill when I got up this morning to come in here knowing I had to talk to you. It's an extremely serious thing the State asks, and I recognize that, but ladies and gentlemen, the laws of the State of Kansas exist for a reason. It makes us civilized. It makes us a society that we can all live in in safety without fear, and in this case, we have started out with a murder, and the evidence is what should put Sidney Gleason to death, the evidence of what he did, of what this man did to two people in brutal, cold-blooded fashion for selfish reasons because motivated by his own crimes, because he was not living within the law, and Miki and Darren -- Darren was an innocent bystander. He was just there at the wrong time, and Miki saw something she shouldn't have, and had -- according to the defendant, had to die. So the evidence is what should compel you in this case to the verdict that justice requires.

Ladies and gentlemen, I know it's a difficult

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thing, but follow the law, follow the evidence, sentence the defendant to death. Thank you.

THE COURT: Okay. Mr. Wicks, are you ready to proceed?

MR. WICKS: Yes, Your Honor.

THE COURT: Okay. You may do so. We're thinking the microphone is not working very well.

MR. WICKS: Thank you, Your Honor.

THE COURT: You may proceed.

MR. WICKS: Ladies and gentlemen, I told you yesterday morning that I was scared. That hasn't changed for all the same reasons, and now you have heard more evidence. You have heard more argument, and I'm scared you have already made up your mind. But as I said yesterday, I have faith that you have righted those scales and that you'll be able to weigh the evidence and render an appropriate verdict. We're asking you to not kill Sidney, to let him live the rest of his life in prison but to let him live. As I told you yesterday and I'll tell you again, nothing that we presented to you yesterday, no argument that I make here now is intended to or could it ever justify or excuse what happened February 21st, and it should not be taken that way, but ladies and gentlemen, two people are dead, and killing a third doesn't solve anything.

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The State has given you an Instruction Number 6, a list of aggravating circumstances that they believe

they have proven to you. Instruction tells you that aggravating circumstances are those which increase the guilt or the enormity of the crime or add to its injurious consequences, but which are above and beyond the elements of the crime itself. I'll ask you the same question I asked you to keep in mind yesterday. Have I heard anything more? Have I got any more information above and beyond what I used to reach my decision? If you don't find the aggravators exist, you're done, if you don't find one. The instructions tell you how you weigh, and that's what you're going to have to do. We have talked a lot about righting those scales. What you have to do is, first of all, decide if an aggravator exists, and it must be unanimous. If any one of you says no, I don't believe that that has been proven beyond a reasonable doubt, nobody puts it on their scale. Aggravators must be unanimously found. Now, if you find one, then you place it on your scale, and everyone gives it the weight independently that they believe it deserves.

We're talking about scales, so let's talk weight. Someone may say an aggravator, if it's found, is five pounds. Someone may say a pound, and someone may say the same thing weighs a half a pound. That's okay. That's

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how it works.

Mitigators are discussed in Instruction 7, and I would like to focus for a while in that area. Mitigating circumstances are those which in fairness may be considered extenuating or reducing the degree of moral culpability or blame or which justify a sentence of less

than death even though they do not justify or excuse the offense. You're also told in that instruction that mitigators do not have to be proven unanimously. You all have to consider them, but if you believe something is a mitigator, it goes on your scale, it doesn't matter if anyone else places it on theirs. Likewise, you independently weigh those mitigators. Give them the weight, 5 pounds, 10 pounds, 15 pounds, whatever you believe appropriate.

We have given you in this instruction nine potential mitigators, and we're going to discuss those, but the last paragraph of that instruction tells you that you may further consider as a mitigating circumstance any other aspect of the defendant's character, background, record, other aspects of the offense, goes on and explains. What it's saying is, if you're going, you know, they gave me this list of these nine items here, but I really thought that this other thing, they would put that on the list because I think it's mitigating, then you get

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to put it on your scale. Aggravators, you are limited to the four that the State has presented. Mitigators are anything in your independent moral assessment whether it's on this list in Instruction 7 or not. And yes, mercy is a mitigating circumstance. You're told that right in the instruction. We'll talk more about that later, but you do get to weigh that as well.

Before we talk specifically about the mitigators, let's talk a little bit about the evidence that we presented to you yesterday. I think it was pretty clear from the evidence Sidney Gleason didn't have the best home life.

Now, that's not a justification. That's not an excuse. But it helps, I believe, and that's why it was offered for you to understand where Sidney came from, to understand how he got to be sitting at that table convicted of two murders and to help in weighing the aggravating and the mitigating circumstances. You know that, as a young boy, Sidney did have structure, he did have a role model for a period of time, that being Aunt Betty. We did talk with you in voir dire about did you have role models, someone that was important in your life that you think is why you are where you are today. For many of you, it was a parent, a grandparent, a teacher, a coach. Quite often, when we spoke with you, it was a combination of those people or other people at various

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points in your life. For a small period of time, Sidney had Aunt Betty, but when he got to those preteen and those teen years, he lost her, and that is when everything that you have seen shows that his downward spiral began. At some point, the system failed Sidney Gleason, and Sidney Gleason has now failed the system.

Imagine, as Pastor Davis said, if Sidney had stayed with Aunt Betty during those preteen and teen years when he was being required to go to school, when he was getting good grades, when he was this young man on the honor roll, an A, B student, imagine if that had continued into the teens, might Sidney Gleason be sitting on that side of the jury rail instead of as a defendant convicted of murdering two people. You have as exhibits that were given to you -- they're Exhibits 3, 4 and 5, from the defendant -- Sidney's school records.

These are his transcripts from school. You can see -- I know it's a little hard as I'm holding them up here. As you look at them in the jury room, in the fourth and fifth grade when he's living with Aunt Betty, just like everyone said, A, B student, good student. Sixth grade, Mom comes back into his life. He's still got some time with Aunt Betty. They're still in Topeka, but he's spending most of his time with Mom. A, B, C, D's, F's. Aunt Betty moves to Lyons and is no longer that role model, any sort of influence in his life,

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and from the 7th grade on, D's, F's, D's, F's. Freshman drop out. Imagine if a teacher in the 6th or 7th grade had said, wait a minute, these grades are not consistent with what we know you're capable of, that something is going on here, this is not the Sidney Gleason who's been going through school, what's going on? What would have happened if that teacher had found out that Sydney's mother was working in a strip club at all hours of the night leaving him and his brothers to come home to fix their own dinner at 10:00 and then go back out and run the streets until two and three? Might Sidney be someplace else? He might.

Ladies and gentlemen, Sidney didn't get that life. Doesn't justify, doesn't excuse, but ladies and gentlemen, when you have got to grow up fast on the streets -- you get to use your common knowledge and experience in this phase just as you did in the first -- where does that lead you? If you don't have parents insisting that you're home at night, that you do your homework, that you go to school, but instead, that you have seen selling drugs, you have seen living a street lifestyle, and if that's all you know, where do you end

up? Sidney, Jason and Justin show you where that lifestyle leads.

Sidney had to grow up fast. He was, as you heard from Justin, kind of the -- he was the baby-sitter during

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these times when they would come home late at night. There was no one at home, no responsible person. Sidney at 11, 12, 13 was responsible for his two younger brothers, and that ladies and gentlemen, is where you get to the first mitigating circumstance we have offered to you, that he lacked capacity. It's not a mental illness. It's life. It's life and how he has come to know life over time and the capacity that that has placed him in.

Likewise, Sidney Gleason's age. Yes, he was 24 at the time. Some of you are thinking that's not mitigating for me. Twenty-four, you know, you're not real young. Ladies and gentlemen, he grew up fast. He lost his childhood. He may have been 24 chronologically, but where was he really?

A term of imprisonment is sufficient to defend and protect the people's safety from Sidney Gleason. Mr. Maxwell asked have you heard any instructions of that? It's Instruction 11. Judge Kitts has told you in Instruction 11 exactly, if Sidney is not sentenced to death, if you do not choose to kill him, what he will receive. He will receive two life sentences, one on capital murder charge you have convicted him of, one on the first degree murder. On each of those, he will not be eligible for parole for at least 25 years, and at

the Judge's discretion, may not even be eligible for 50 years.

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The aggravating kidnapping, we give you, and it's in this instruction, the number of months that he would serve. Let's put it into real time. The aggravating kidnapping charge, that's 21 and a half to 23.75 years on that count alone. The aggravated robbery is four and a half to just over five years, and the judge has the right, the discretion to stack all of those sentences on top of each other if she chooses to. I asked you in opening statement not to kill Sidney but to lock him up and throw away the key. That's what Instruction 11 tells you will happen if you let Sidney live. Well, the key won't be thrown away. Because while he's in prison, there will be a guard who will come along with a key. He'll unlock the cell and tell Sidney go to dinner now. There will be a guard that comes along with a key. He'll unlock the cell, and he'll say we're moving you, not where you want to go, where we want to put you. Sidney will have no control over his life anymore. And at some point, that guard will come along in all reality and he'll unlock that cell and say now you can leave, because Sidney will have died in prison. That's the reality of such a lengthy sentence as Sidney will receive.

Four and five deal with Damien Thompson, that he had significant participation and planning and that he received a life sentence. He will be eligible for parole

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in 23 years, and Mr. Maxwell's right, he may not get parole in 23 years, but he's eligible. But ladies and

gentlemen, remember what Mr. Graham told you. Remember the evidence the State presented to you in the first stage that Sidney and Damien were equal partners in everything. They were equal in the drug deal, that they were equal in the murders. If they're equal partners, why then should their lives not be equal? Why should Damien Thompson, who acquired the guns, who drove the car, who was there when Darren was shot, who shot Miki, why should Damien Thompson, who by himself went to Ricky Galindo and said, hey, come with me, we're going to go over to Miki's house and we're going to strangle her and kill her, and if her boyfriend's there, we'll shoot him. Sidney wasn't there for that. Why should Damien Thompson, who by himself went to Brittany and said, you or Miki, you choose who's going to die, and when Brittany chose Miki, said okay. Let's get her to go to Lyons. We'll take care of her there. Why should Damien Thompson who disposed of the guns, hid the clothes, why should he live and Sidney die? That's what those factors are asking you to weigh and examine. They're equal partners, then equal time.

Sidney Gleason's mother Irene was sent to prison at a very young age. Yes, and other people have parents that go to prison. We understand that. But you have to take

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it into account with everything. She went to prison for selling drugs. What was Sidney doing? Selling drugs. What's his brother serving time for? Selling drugs. It all goes back to what you learn in those formative years is where you go. Because of that, Irene has three sons in custody.

Aunt Betty -- what can be said about that woman? She needs to be sainted. When Sidney was with her, he was obedient, he was this student, this artistic, honor roll student. He had potential. It's gone.

And yes, ladies and gentlemen, his mother loves him. His brothers love him. Aunt Betty loves him. What does that mean? It means that Sidney Gleason is more than a man who sits in this courtroom convicted of two counts of murder. It means that he is a son, a nephew, a brother, a person.

We talked about in opening statement how easy it is to be angry right now, to just disregard everything that we have presented to you in this second phase and to say, you killed two people, you deserve to die, that retribution and revenge are easy. We're asking you to do what's hard. We're asking you to show mercy where mercy was not shown. We are asking you to take the high road, if you will, to tell Sidney I'm going to do what you wouldn't do. I'm better than you, and I am going to do

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what you didn't do. I will give you life, a life that will not be pleasant, a life behind bars forever, but a life nonetheless.

Ladies and gentlemen, there's a presumption of life in a capital case in Kansas. You have to have a capital conviction before someone is even eligible for death, and then a capital conviction doesn't guarantee death. It puts us where we are now, another trial where the jury must weigh the aggravating and mitigating circumstances, and if this jury does not kill him, Judge Kitts can't. It's all on you. And the presumption goes so

far as to give life, minority rule. Any one of you who says no, I think that there's mitigation, be it mercy, be it something on the list, be it something of your own that outweighs aggravation guarantees Sidney life. It's minority rule in that regard. There's a presumption of life. Everything has to jump over hoops to get to where you will say I will kill you, Sidney Gleason.

Ladies and gentlemen, a tragedy happened February 2004, but do not let another tragedy happen here by taking another life, submitting another family to that loss. Sidney Gleason will never see the light of day again. You'll never have to worry about him, and that's what we ask you to do, lock him up, throw away the key.

THE COURT: You have 20 minutes.

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MR. MAXWELL: As I sat there and listened to Mr. Wicks who's defending Sidney Gleason, I thought to myself, ladies and gentlemen, your choice is not about life or death. It's about whether or not death is appropriate here, because ladies and gentlemen, the law says that life's automatic here. He's going to get life. If you don't give him the death penalty, he's going to get life, and what the law talks about is how we determine those scales that the defense is fond of talking about. Those scales of justice are -- that's what they are. They're scales of justice, and when the law sets out how it works, it says that the State has to jump through the hoop of convicting someone of capital murder first. There's a very narrow set of circumstances for that that we talked about, and then we have to prove aggravating circumstances beyond a

reasonable doubt, and then the jury has to find that the aggravating circumstances are not outweighed by the mitigating circumstances, but then if they -- all of those things occur, if all of those things occur -- skip ahead two slides. One more, one more, one more, one more, one more, one more. There, one back.

Ladies and gentlemen, if all of those things occur, if this jury finds the State has proven the aggravating circumstances, one or more of them beyond a reasonable doubt, then you weigh the aggravating circumstances. You

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find those to outweigh, collectively, to outweigh the aggravating circumstances, because if you don't, if you find the aggravators are not outweighed by the mitigators, then the law says you shall impose a sentence of death, follow the law. Ladies and gentlemen, that's what this is. It's a court of law. And the defense wants you to feel mercy for the defendant, to feel that you want to give him life. Your choice is death, or we can't decide, okay.

Sidney Gleason killed, he brutally murdered two people in cold blood for reasons that are selfish and personal to protect Sidney Gleason. Who was Sidney Gleason thinking of when he murdered Darren Wornkey and Miki Martinez, Darren just because he got in the way? Who was he thinking of? Do his crimes, what he has done call for mercy from you? Do his crimes and the evidence that you have seen and why he did what he did and his background -- the man's 23 days out of prison for shooting somebody else. Do they call for your mercy? Do his actions call for your mercy?

Because if you choose to do it, you can. Do they call for your mercy?

Equal partners, and they were equal partners, but Sidney Gleason is different than Damien Thompson. Sidney Gleason was 23 days out of prison for shooting somebody else. Damien Thompson lead us to the body of Miki

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Martinez. How valuable is that, do you think? You're not here to sentence Damien Thompson. You're not here to judge him. You're here to judge the actions of Sidney Gleason, and when you were told in the penalty -- or the guilt phase, it's not the defense that others got a lesser crime. It's simply not. You're here to judge the actions of Sidney Gleason not Damien Thompson. Damien Thompson gave the State something valuable, the victim's families return of Miki. That's pretty valuable, ladies and gentlemen.

Ladies and gentlemen, when we come down to the end, and I'm about to stop talking and put this case in your hands, the State is asking you to sentence somebody to death, and we understand that that may be a hard thing to do. But search your hearts for the law. Each one of you is a member of that law right now, and we're all sworn to obey it, and in this case, the State has proven the aggravating circumstances, one or more of them, beyond a reasonable doubt, and ladies and gentlemen, whatever scales you use personally, the aggravating circumstances are simply not outweighed by the mitigators that you find in this case. They're not. That means death, and that's justice. Thank you.

THE COURT: Ladies and gentlemen, now we're at the point again where I am going to charge you to

* * *

IN THE SUPREME COURT OF THE UNITED STATES

State of Kansas, Petitioner,	)	
vs.	)	Case No. 14-452
Sidney Gleason Respondent,	)	

Motion for Leave to Proceed In Forma Pauperis

Comes Now the Respondent, Sidney Gleason, by counsel undersigned, and moves this Court for leave to proceed in forma pauperis. In support of this motion Respondent would inform this Court:

1. That he has no funds with which to pay the necessary fees and costs of this action.

2. That he was determined to be an indigent in accordance with K.S.A. 22-4501 *et seq.* and entitled to appointed counsel on appeal on August 28, 2006. On that date the Kansas Capital Appellate Defender Office was appointed to represent him and he was, in accordance with Kansas law, allowed to pursue his direct appeal to the Kansas appellate courts without the payment of docketing fees, attorney fees or any other costs. (See attached order of appointment.) He has remained indigent since that time.

WHEREFORE, your Respondent prays that his request for leave to proceed in forma pauperis be granted.

Respectfully submitted:

Sarah Ellen Johnson
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785-368-6587
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Attorney for Respondent Sidney Gleason

IN THE TWENTIETH JUDICIAL DISTRICT
DISTRICT COURT, BARTON COUNTY, KANSAS
CRIMINAL DEPARTMENT

FILED
06 AUG 28 P 2:20
CLERK OF THE DISTRICT COURT

THE STATE OF KANSAS, Plaintiff	)	
vs.	)	04 CR 52
SIDNEY J. GLEASON, Defendant	)	

ORDER APPOINTING APPELLATE COUNSEL

ON this 28th day of August, 2006, the Court hereby appoints Rebecca Woodman of the Capital Appellate Defender Office to represent the above-named individual for appellate purposes in accordance with K.S.A. 22-36014 (b)(1) and K.S.A. 22-4505 (a).

IT IS SO ORDERED.

Honorable Hannelore Kitts
District Court Judge, Barton County

PREPARED BY:

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DISTRICT COURT BARTON SEAL COUNTY GREAT BEND, KANSAS

STATE OF KANSAS } ss
BARTON COUNTY
I hereby certify that the foregoing is a true copy of the record on file in this court and cause.
Clerk of the District Court
Date August 28 2006
Deputy Clerk of District Court

No. 14-452

IN THE

SUPREME COURT OF THE UNITED STATES

STATE OF KANSAS PETITIONER

VS.

SIDNEY J. GLEASON RESPONDENT

On Petition for Writ of Certiorari to the Supreme Court of Kansas

---

BRIEF IN OPPOSITION

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CAPITAL CASE

QUESTIONS PRESENTED

REASONS WHY THE PETITION SHOULD BE DENIED

Question Number I

a. There is no Eighth Amendment controversy in this case. The Kansas Supreme Court's holding is based on the particular provisions of Kansas law, and requires that juries be properly instructed under that law.

b. The decision of the Kansas Supreme Court rests on an independent and adequate state ground: the penalty phase instructions in this case, read together as a whole, misled the jury into believing that, contrary to provisions of state law, the Respondent bore the burden of proving mitigating circumstances beyond a reasonable doubt.

c. Because the decision of the Kansas Supreme Court is based on Kansas law, not the Eighth Amendment, there is no real conflict between this decision and any other decision of any state court of last resort

d. The error identified by the Kansas Supreme Court in this case is unlikely to occur again, because the required language has been incorporated into the Pattern Instructions for Kansas.

LIST OF PARTIES

The parties to this case are as stated in the caption, Sidney Gleason, petitioner, and the State of Kansas, respondent. In the courts below, petitioner was referred to as appellant-defendant and the respondent was referred to as appellee-plaintiff.

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BRIEF IN OPPOSITION

Respondent, Sidney J. Gleason, respectfully requests that this Court deny the Petition for a Writ of Certiorari.

STATUTES INVOLVED

This is a supplement to the Petitioner's section on Constitutional and Statutory Provisions involved:

K.S.A. § 21-4624 provides in relevant part:

> (c) In the sentencing proceeding, evidence may be presented concerning any matter that the court deems relevant to the question of sentence and shall include matters relating to any of the aggravating circumstances enumerated in K.S.A. 21-4625 and amendments thereto and any mitigating circumstances. Any such evidence which the court deems to have probative value may be received regardless of its admissibility under the rules of evidence, provided that the defendant is accorded a fair opportunity to rebut any hearsay statements.

and

> (e) If, by unanimous vote, the jury finds beyond a reasonable doubt that one or more of the aggravating circumstances enumerated in K.S.A. 21-4625, and amendments thereto, exist and, further, that the existence of such aggravating circumstances is not outweighed by any mitigating circumstances which are found to exist, the defendant shall be sentenced to death; otherwise, the defendant shall be sentenced to life without the possibility of parole.

STATEMENT OF THE CASE

Respondent Sidney Gleason was convicted of capital murder, along with lesser counts. He was sentenced to death by a jury. The Kansas Supreme Court affirmed Respondent's capital murder conviction but reversed his death sentence, finding that the jury was not instructed as they should have been about mitigating circumstances.

REASONS WHY THE PETITION SHOULD BE DENIED

Question Number I

a. There is no Eighth Amendment controversy in this case. The Kansas Supreme Court's holding is based on the particular provisions of Kansas law, and requires that juries be properly instructed under that law.

The Petitioner argues to this Court that Certiorari is appropriate because the Kansas Supreme Court found that the Eighth Amendment requires an affirmative instruction to penalty phase jurors that mitigating circumstances need not be proven beyond a reasonable doubt in order to be weighed against aggravating circumstances. The Court made no such finding and Petitioner misreads the decision in *State v. Gleason*, 329 P.3d 1102 (Kan. 2014). Conspicuously absent from Petitioner's argument is the Kansas Supreme Court's discussion of, and reliance on, the relevant Kansas statute, K.S.A. § 21-4624(e) [now re-codified and in effect as K.S.A. § 21-6617(e)] in the *Gleason* decision.

The Kansas Supreme Court discussed the Eighth Amendment requirement that a sentencer not be precluded from considering relevant mitigating evidence, 329 P.3d at 1147, but did not base its decision on the Eighth Amendment. The Court specifically acknowledged this Court's statement in *Walton v. Arizona*, 497 U.S. 639, 649-651(1990) *overruled on other grounds, Ring v. Arizona*, 536 U.S. 584 (2002), that the Eighth Amendment does not create any constitutional requirements as to how or whether a capital jury should be instructed on the burden of proof for mitigating circumstances. *Gleason*, 329 P.3d at 1147. The Court then focused on the particular requirements of Kansas law, distinguishing the Kansas statute from the statute in question in *Walton*:

> Kansas' capital sentencing statute differs distinctly from the statute at issue in Walton, and that distinction is critical to our analysis here. Namely, while K.S.A. 21–4624

> requires the State to prove aggravating circumstances beyond a reasonable doubt, the statute is silent as to any burden of proof for mitigating circumstances. K.S.A. 21–4624(e); see also [*Kansas v. Marsh*, 548 U.S. 163, 173 (2006)] (contrasting Kansas' statute, which places no evidentiary burden on capital defendants, with Arizona's statute, which requires capital defendants to prove mitigating circumstances by a preponderance of the evidence).
>
> As the United States Supreme Court recognized, "[t]his distinction operates in favor of Kansas capital defendants." 548 U.S. at 173. Notably, [*State v. Kleypas*, 40 P.3d 139 (Kan. 2001)]' first statement-that any mitigating circumstance instruction must inform the jury that mitigating instructions "need to be proved only to the satisfaction of the individual juror in the juror's sentencing decision and not beyond a reasonable doubt," **both preserves the statute's favorable distinction** and protects a capital defendant's Eighth Amendment right to individualized sentencing by ensuring jurors are not precluded from considering all relevant mitigating evidence. *Kleypas*, 40 P.3d at 268.
> ...
> **Because K.S.A. 21–4624 expressly burdens the State with proving the existence of aggravating circumstances beyond a reasonable doubt but places no evidentiary burden regarding the existence of mitigating circumstances on the defendant** beyond the burden of production, we reiterate our holding in *Kleypas* and [*State v. Scott*, 183 P.3d 801 (Kan. 2008)] that capital juries **in Kansas** must be informed that mitigating circumstances need not be proven beyond a reasonable doubt. *Gleason*, 329 P.3d at 1147 (emphasis added).

The Kansas Supreme Court could not have been more clear that the holding in this case relied on its interpretation of a Kansas statute. This Court stated in *Smith v. Phillips*, 455 U.S. 209, 221 (1982), "Federal courts hold no supervisory authority over state judicial proceedings and may intervene only to correct wrongs of constitutional dimension." The decision of the Kansas Supreme Court, which holds that Kansas law requires an affirmative statement regarding the burden of proof, makes no pronouncements regarding federal constitutional requirements, thus presents no questions of federal constitutional dimension and the Petition for a Writ of Certiorari should be denied.

b. The decision of the Kansas Supreme Court rests on an independent and adequate state ground: the penalty phase instructions in this case, read together as a whole, misled the jury into believing that, contrary to provisions of state law, the Respondent bore the burden of proving mitigating circumstances beyond a reasonable doubt.

This Court will not review a question of federal law decided by a state court if the decision of that court rests on a state law ground that is independent of the federal question and adequate to support the judgment. *Coleman v. Thompson*, 501 U.S. 722, 729 (1991). If the same judgment would be rendered by the state court after this Court corrects its views of federal law, the review would amount to nothing more than advisory opinion. *Herb v. Pitcairn*, 324 U.S. 117, 125–126 (1945). "When this Court reviews a state court decision on direct review pursuant to 28 U.S.C. § 1257, it is reviewing the *judgment*, if resolution of a federal question cannot affect the judgment, there is nothing for the Court to do." *Coleman*, 501 U.S. at 730.

The decision of the Kansas Supreme Court in this case was based on the former K.S.A. § 21-4624(e). The Court determined that there was a reasonable probability that the instructions in this case, considered as a whole, misled the jury as to the burden of proof regarding mitigating circumstances under Kansas law, resulting in a misapplication of that statute. Therefore, the decision of the Kansas Supreme Court in this case would be the same, regardless of its view of the requirements of the Eighth Amendment.

This Court has held that the individual states have a range of discretion in imposing the death penalty, including the manner in which aggravating and mitigating circumstances are to be weighed. *Kansas v. Marsh*, 548 U.S. 163, 173-74 (2006). Individual states are free to allocate a burden of proof to the defendant to prove mitigating circumstances, as long as the prosecution continues to bear the burden of proving every element of the offense charged or the aggravating

circumstances. *Walton*, 497 U.S. at 650. The Kansas law in effect at the time of Respondent's trial required that the prosecution prove aggravating circumstances beyond a reasonable doubt, but imposed no such requirement on the defendant, with regard to mitigating circumstances:

> If, by unanimous vote, the jury finds beyond a reasonable doubt that one or more of the aggravating circumstances enumerated in K.S.A. 21-4625, and amendments thereto, exist and, further, that the existence of such aggravating circumstances is not outweighed by any mitigating circumstances which are found to exist, the defendant shall be sentenced to death; otherwise, the defendant shall be sentenced to life without the possibility of parole. K.S.A. § 21-4624(e).
>
> As previously noted, this Court has recognized:
>
> "...the Kansas statute requires the State to bear the burden of proving to the jury, beyond a reasonable doubt, that aggravators are not outweighed by mitigators and that a sentence of death is therefore appropriate; it places no additional evidentiary burden on the capital defendant. This distinction operates in favor of Kansas capital defendants." *Marsh*, 548 U.S. at 173 (2006).

An instruction to penalty phase jurors that they must find that the defendant had proved a mitigating circumstance beyond a reasonable doubt before weighing it against any aggravating circumstances would be contrary to Kansas law. Likewise, potentially reversible error would occur if the instructions, when read as a whole, could mislead the jurors into that conclusion. The Kansas Supreme Court found that occurred in this case, and that finding is well-supported by the record.

The jury received the following instruction regarding mitigating circumstances:

> **INSTRUCTION NO. 7**
> **SIDNEY J. GLEASON**
> **MITIGATING CIRCUMSTANCES**
>
> Mitigating circumstances are those which in fairness may be considered as extenuating or reducing the degree or moral culpability or blame or which justify a sentence of less than death, even though they do not justify or excuse the offense.
>
> In this proceeding, you may consider sympathy for a defendant. The appropriateness of exercising mercy can itself be a mitigating factor in determining whether the State has proved beyond a reasonable doubt that the death penalty should be imposed.

> The determination of what are mitigating circumstances is for you as jurors to decide under the facts and circumstances of the case. Mitigating circumstances are to be determined by each individual juror when deciding whether the State had proved beyond a reasonable doubt that the death penalty should be imposed. The same mitigating circumstances do not need to be found by all members of the jury in order to be considered by an individual juror in arriving at his or her sentencing decision.
>
> ...
>
> You may further consider as a mitigating circumstance any other aspect of the defendant's character, background or record, and any other aspect of the offense which was presented in either the guilt or penalty phase which you find may serve as a basis for imposing a sentence less than death. Each of you must consider every mitigating circumstance found to exist. (Record on Appeal, Vol.I, pp.753-754).

The instruction addressing mitigation did not state that mitigating circumstances need not be proven beyond a reasonable doubt, even though this trial occurred years after the *Kleypas* decision was issued. In contrast, the beyond a reasonable doubt burden of proof was stated many times, often in conjunction with the consideration of mitigating circumstances. (Record on Appeal, Vol. I, pp.753, 755, 757, 759,762; Instructions 7, 8, 10, 12, Verdict Form). While those instructions did not say mitigating circumstances had to be proven beyond a reasonable doubt, they repeatedly put the jury into the mindset of considering what had been proven beyond a reasonable doubt when considering mitigating circumstances.

The Court found that because the only burden mentioned was the "beyond a reasonable doubt" burden, the jurors may not have understood that they could consider any mitigation that they found existed, even if not proved beyond a reasonable doubt. *Gleason*, 329 P.3d at 1148. Although the Court noted that instructions that prevent jurors from giving meaningful effect or a reasoned moral response to mitigating evidence implicate a defendant's right to individualized sentencing under the Eighth Amendment, those same instructions would likewise prevent jurors from following the provisions of state law as expressed in K.S.A. 21-4624(e).

The Kansas Supreme Court will not reverse a case based on instructional error if the instructions properly and fairly state the law as applied to the facts of the case and if they could not reasonably have misled the jury. *State v. Scott*, 183 P.3d 801, 810, Syl. ¶ 32 (Kan. 2008). Reversal in this case was founded on the court's view that the instructions as a whole created a substantial probability that reasonable jurors could have believed that they were required to find the existence of a mitigating circumstance beyond a reasonable doubt in order to consider it in the weighing process. Whether or not this is contrary to the Eighth Amendment, it is contrary to the Kansas statutory provisions for the consideration and weighing of aggravating circumstances against mitigating circumstances. Kansas law provides the basis of the Court's decision. This Court defers to the decisions of state courts on issues of state law, *Bush v. Gore*, 531 U.S. 98, 112 (2000), and should deny the Petition for a Writ of Certiorari in this case.

c. Because the decision of the Kansas Supreme Court is based on Kansas law, not the Eighth Amendment, there is no real conflict between this decision and any other decision of any state court of last resort.

As stated in the preceding arguments, the decision rendered in this case is a decision based on the particular provisions of Kansas law. Thus Kansas is not in conflict with any other jurisdiction, whether that jurisdiction is interpreting its own state law, or the United States Constitution.

The Petitioner first claims conflict with the Supreme Court of California, citing *People v. Souza*, 277 P.3d 118, 156-157 (Cal. 2012). Even if one could read into the Kansas Supreme Court's decision a pronouncement that the Eighth Amendment requires an affirmative instruction on the burden of proof, the California cases cited in the Petitioner's brief do not engage in any Eighth Amendment analysis regarding instructions on the burden of proof. *Souza* rejected the

defendant's Eighth Amendment burden of proof claim stating the instruction sought would have been duplicative, citing *People v. Avila*, 208 P.3d 634, 670 (Cal. 2009), as modified (Aug. 12, 2009). In *Avila*, the court rejected the claim without analysis, citing *People v. Samayoa*, 938 P.2d 2, 47 (Cal. 1997) *as modified on denial of reh'g* (Aug. 13, 1997). In *Samayoa*, the court rejected the claim without analysis, citing *People v. Breaux*, 821 P.2d 585, 604-605 (Cal. 1991). In *Breaux*, the court did not consider the burden of proof claim. The issue presented in *Breaux* was whether the trial court should have affirmatively instructed the jurors that they were not required to unanimously find a mitigating circumstance in order to consider it. *Id.* Additionally, the California court was interpreting capital sentencing statutes that were substantially different from those of Kansas. Unlike the Kansas statute in question, the California statutes do not require that aggravating circumstances be found beyond a reasonable doubt and neither the defense nor the prosecution carries a burden of proof during the penalty phase. *People v. Welch*, 976 P.2d 754, 797 (Cal. 1999). While the California court in *Welch* did conclude that the jury in that case would not have mistakenly inferred that one jury instruction stating that aggravators must be proved beyond a reasonable doubt could also mean that standard applied to mitigating factors, it hardly gives rise to a split of authority on a point of federal constitutional law that the Kansas court reached a different conclusion when considering a different set of instructions..

The issue in *Dawson v. State*, 637 A.2d 57 (Del. 1994) was not whether the Eighth Amendment requires that the jury receive an affirmative instruction regarding the burden of proof for mitigating circumstances. Rather, the question before the court was whether the instructions in that case were unconstitutionally ambiguous. *Id.* at 64. Additionally, the death penalty statute in question in *Dawson* is different from the Kansas statute as it requires the

prosecution to convince the sentencer that aggravating circumstances found to exist outweigh the mitigating circumstances "by a preponderance of the evidence." *Id.* at 63.

There was no Eighth Amendment claim or analysis in the Indiana case cited by the Petitioner, *Matheney v. State*, 688 N.E.2d 883 (Ind. 1997). And the case concerned a significantly different statute. Under the Indiana statute, mitigating circumstances must be proven by a preponderance of the evidence, and the court found that an instruction to that effect would have been appropriate. *Id.* at 902. However, because there was nothing in the *Matheney* jury instructions that would have led the jury "to a misunderstanding" regarding the burden of proof, there was no error in failing to give the instruction. *Id.*

State v. Jones, 474 So. 2d 919, 932 (La. 1985) did not address the Eighth Amendment, presumably because there was no Eighth Amendment claim. The defendant merely requested an instruction that the jury need find mitigating circumstances only by "any substantial evidence" or by a "preponderance of the evidence."

Similarly, in *Green v. State*, 934 S.W.2d 92 (Tex.Crim.App. 1996), there was no discussion of the Eighth Amendment, because the defendant did not claim, in that case, that the Eighth Amendment required an affirmative instruction to the jury that mitigation need not be proved beyond a reasonable doubt. In fact, the defendant raised no claim (constitutional or not) that an affirmative instruction was required. Rather, the defendant claimed that the instructions might have misled the jurors into believing "that a death sentence was appropriate unless the State proved "beyond a reasonable doubt" that a life sentence was appropriate in view of Appellant's mitigating circumstances." *Id.* at 107.

The Kansas Supreme Court has not departed from other jurisdictions on point of federal constitutional law, but rather on a matter of state law. Kansas simply requires greater clarity in its

jury instructions based on the requirements of a Kansas statute than other states require based n their own, different statutes. Because there is no true conflict on a point of federal law between the decision of the Kansas Supreme Court and that of any other jurisdiction, this Court should deny the Petition for a Writ of Certiorari.

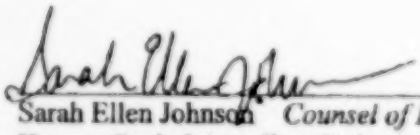
d. The error identified by the Kansas Supreme Court in this case is unlikely to occur again, because the required language has been incorporated into the Pattern Instructions for Kansas.

The Kansas Supreme Court first held in *State v. Kleypas*, 40 P.3d 139, 268 (Kan. 2001) *overruled on other grounds*, *State v. Marsh*,102 P.3d 445 (Kan. 2004), that in a capital sentencing proceeding, "... any instruction dealing with the consideration of mitigating circumstances should state (1) they need to be proved only to the satisfaction of the individual juror in the juror's sentencing decision and not beyond a reasonable doubt and (2) mitigating circumstances do not need to be found by all members of the jury in order to be considered in an individual juror's sentencing decision." Soon afterwards, Kansas' pattern instruction on mitigating circumstances was amended to clarify that jurors need not be unanimous on mitigating circumstances, but failed to include the language that mitigating circumstances need not be proven beyond a reasonable doubt. *Gleason*, 329 P.3d at 1145. Since that time, the PIK instruction on mitigating circumstances, PIK Crim. 4th 54.050, has incorporated both of *Kleypas*' recommended statements and correctly instructs the jury that "[m]itigating circumstances need not be proved beyond a reasonable doubt." *Gleason*, 329 P.3d at 1146. Because the pattern instruction now contains the correct language under the *Kleypas* decision, this issue is unlikely to arise in the future, and the Petition for a Writ of Certiorari should be denied.

CONCLUSION

For all these reasons, the Respondent respectfully requests that this Court deny the Petition for a Writ of Certiorari.

Respectfully submitted,



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Supreme Court, U.S.
FILED
DEC 30 2014
OFFICE OF THE CLERK

No. 14-452

In the Supreme Court of the United States

STATE OF KANSAS,

Petitioner,

v.

SIDNEY J. GLEASON,

Respondent.

On Petition for Writ of Certiorari to the Supreme Court of Kansas

REPLY BRIEF OF PETITIONER

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329 P.3d 1102 (2014) 2, 3, 4

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U.S. Const. amend. VIII *passim*

REPLY BRIEF

This case involves an important and unresolved federal constitutional issue–whether the Eighth Amendment requires that a jury in a death penalty case be affirmatively instructed that mitigating circumstances need not be proven beyond a reasonable doubt. The lower courts are split on this question, and resolution of the question here affects two-thirds of the death sentences currently imposed in Kansas.

Respondent Gleason nonetheless argues that this Court should deny review, because he contends the decision below was really only a state law decision, in spite of what the Kansas Supreme Court said about resting its holding on the Eighth Amendment. Respondent then proceeds to argue wishfully that there could be an independent and adequate state law ground for the decision and thus no real split of authority on any federal question. Brief in Opposition (Opp.) 1 10. Respondent Gleason is flatly wrong (just like Respondent Reginald Carr who made the identical arguments in *Kansas v. Carr*, No. 14 – 450). The Kansas Supreme Court unquestionably relied on Eighth Amendment grounds, and added to a split of authority on the federal question.

The Court should grant review of the question presented in the State's petition.

I. The Kansas Supreme Court's Decision Explicitly Rested on Eighth Amendment Grounds.

Respondent incorrectly argues that the Kansas Supreme Court's decision rested on state law grounds. Opp. 2 - 3. This attempted misreading of the decision

below is plainly refuted by the Kansas Supreme Court's opinion, which states as follows in the "Conclusion":

> The district court's instruction on mitigating circumstances failed to affirmatively inform the jury that mitigating circumstances need not be proved beyond a reasonable doubt. And the penalty-phase instructions as a whole exacerbated the error because they referred only to the beyond-a-reasonable-doubt burden of proof. Under these circumstances, we conclude a reasonable likelihood exists that the jury applied the mitigating circumstances instruction in a manner precluding individual jurors from properly considering relevant mitigating evidence *as required by the Eighth Amendment*. Consequently, we vacate Gleason's death sentence and remand for resentencing.

State v. Gleason, 329 P.3d 1102, 1148 (2014) (emphasis added) (Pet. App. 103).

Prior to reaching this conclusion, the court held:

> Notably, *Kleypas*' first statement-that any mitigating circumstance instruction must inform the jury that mitigating instructions "need to be proved only to the satisfaction of the individual juror in the juror's sentencing decision and not beyond a reasonable doubt," both preserves the statute's favorable distinction and protects a capital defendant's *Eighth Amendment right* to individualized sentencing by ensuring jurors are not precluded from considering all relevant mitigating evidence. [Citation omitted.]

Id. at 1147 (emphasis added) (Pet. App. 101).

Furthermore, the dissenting Kansas Supreme Court Justices did not share respondent's wishful thinking: "I dissent from the majority's holding that Sidney Gleason's sentence was imposed *in violation of the Eighth Amendment* ...." Pet. App. 120 (emphasis added). *See id.* at 120 124 (referring to the Eighth Amendment and the majority's Eighth Amendment analysis no less than sixteen times).

In *Kansas v. Reginald Carr*, (cert. petition pending, No. 14 – 450) decided one week after this case, the Kansas Supreme Court reiterated that its position with respect to the burden of proof instructions on mitigation relied on a purported Eighth Amendment violation:

> In Kansas, a district judge must instruct a penalty phase jury in a capital case not only that it need not be unanimous on the existence of a mitigating circumstance but also that a mitigating circumstance need not be proved beyond a reasonable doubt. See *State v. Gleason*, No. 97,296, 299 Kan. ——, —— – ——, 329 P.3d 1102 (filed July 18, 2014) (slip op. at 82–86) (discussing *Scott*, 286 Kan. at 106–07, 183 P.3d 801; *Kleypas*, 272 Kan. at 1078, 40 P.3d 139); see also K.S.A. 21–4624 (State expressly burdened with proving existence of aggravating circumstance beyond reasonable doubt; statute silent on standard of proof on mitigating circumstance). When nothing in the instructions mentions any burden other than "beyond a reasonable doubt," jurors may be "prevented from giving meaningful effect or a reasoned moral response to" mitigating evidence,

> implicating a defendant's right to individualized sentencing *under the Eighth Amendment*. *Gleason*, 299 Kan. at ——, 329 P.3d 1102 (slip op. at 85) (citing *Scott*, 286 Kan. at 107, 183 P.3d 801). This is unacceptable.

State v. Carr, 331 P.3d 544, 732-33 (2014) (emphasis added). There is *no* support for the contention that the decision below rested on any ground other than the Eighth Amendment.

Contrary to respondent's misguided contention that there are independent and adequate state grounds to sustain the Kansas Supreme Court's decision, Opp. 4 - 7, the lower court's decision rests *solely and exclusively* on *federal* constitutional grounds. At best, respondent can wishfully assert that the Kansas Supreme Court might have been able to rely on *potential* state grounds. But the fact that state law arguments *could potentially be made, if* this Court reverses on the federal constitutional issue and remands the case, does not demonstrate in any way that the decision the State is asking this Court to review rests on an independent and adequate state law ground. *Michigan v. Long*, 463 U.S. 1032, 1040-1041 (1983). No such claim is even remotely plausible. See *Florida v. Meyers*, 466 U.S. 380, 381 n.a1 (1984).

II. Review On The Merits Is Warranted, Both Because The Decision Below Creates A Split Of Authority And Because The Decision Will Have A Severe Impact On Existing Kansas Death Sentences.

A number of states, including California, Delaware, Indiana, Louisiana, and Texas, have affirmatively held that the Eighth Amendment does not require that a jury be instructed that mitigating circumstances need not be proven beyond a reasonable doubt, expressly rejecting the reasoning the Kansas Supreme Court adopted. Thus, the Kansas Supreme Court's decision on Eighth Amendment grounds here necessarily conflicts with the holdings of these courts, as explained in the State's petition for writ of certiorari. To avoid this unnecessary contribution to a "crazy quilt" of federal death penalty jurisprudence, review is warranted. *See Kansas v. Marsh,* 548 U.S. 163, 185 (2006) (Scalia, J., concurring) ("Turning a blind eye to federal constitutional error ..., allowing it to permeate in varying fashion each State Supreme Court's jurisprudence, would change the uniform 'law of the land' into a crazy quilt.")

Respondent also invites this Court to decline review because the issue allegedly is not likely to arise in future Kansas capital prosecutions. Opp. 10. This argument ignores, however, that if the decision below stands, it will invalidate the death sentences of six of the nine defendants currently on death row in Kansas.

CONCLUSION

For the reasons set forth in both Kansas's petition for a writ of certiorari and this reply, Kansas requests that the Court grant review of the question presented.

Respectfully submitted,

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December 2014

Supreme Court, U.S.
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No. 14-452

In the Supreme Court of the United States

STATE OF KANSAS,

Petitioner,

v.

SIDNEY J. GLEASON,

Respondent.

On Writ of Certiorari to the Supreme Court of Kansas

JOINT APPENDIX

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June 2015

Petition for Writ of Certiorari filed October 16, 2014
Petition for Writ of Certiorari granted March 30, 2015

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The following opinions, decisions, judgments, and orders have been omitted in printing this joint appendix because they appear on the following pages in the appendix to the Petition for Certiorari:

Appendix A Opinion of the Supreme Court of Kansas (July 18, 2014) App. 1

Appendix B Jury Instructions and Sentencing Verdict in the District Court of Barton County, Kansas (April 21, 2006) App. 129

Appendix C Excerpts of Jury Trial in the District Court of Barton County, Kansas (April 20 & 21, 2006) . App. 139

RELEVANT DOCKET ENTRIES

Barton County District Court, Kansas

Case No. 2004-CR-000052

State of Kansas vs. Sidney J Gleason, et al.

Felony

* * *

Register of Actions

02/27/ 2004	Complaint (On or about 2/21/04)	Kitts, Hannelore
	Affidavit	Kitts, Hannelore
Document sealed		
	Arrest Warrant Issued Bond amount: $1,000,000 (Gleason)	Kitts, Hannelore
03/01/ 2004	Arrest Warrant Served/Returned 2/27/04 - Gleason	Kitts, Hannelore
03/02/ 2004	Order Appointing Counsel Private or Public Defender (Ronald Evans for Gleason)	Kitts, Hannelore
	Hearing Scheduled (First Appearance 3/4/04 9am)	Kitts, Hannelore

	Application for Appointed Defense Services-Financial Affidavit (Gleason)	Kitts, Hannelore
03/03/ 2004	Entry of Appearance (Ronald F. Evans)	Kitts, Hannelore
03/04/ 2004	Amended Complaint	Kitts, Hannelore
	Hearing result for First Appearance held on 03/04/2004 09:00 am: First Appearance Held	Kitts, Hannelore
	Hearing Scheduled (Motions 03/31/2004 10:00 am)	Kitts, Hannelore
	Hearing Scheduled (Preliminary Hearing 05/03/2004 09:00 am)	Kitts, Hannelore
03/05/ 2004	Order to Transport - KBI to transport defendant to Pratt Co Jail pending further orders of the Court	Kitts, Hannelore
03/09/ 2004	Order to Convey Issued to KBI Sidney J. Gleason	Kitts, Hannelore
	Agreement Between the State and the Defendant for Exchange of Discovery and Witness Lists	Kitts, Hannelore
03/19/ 2004	Notice by Sidney J. Gleason of Exercise of Rights (Evans)	Kitts, Hannelore

Motion for Preservation of Physical Evidence/Cert of Service (Evans)	Kitts, Hannelore
Motion for Preservation of Physical Evidence/Cert of Service (Evans)	Kitts, Hannelore
Motion for Preservation and Production of Alleged Statements of the Accused/Cert of Service (Evans)	Kitts, Hannelore
Motion to Record and Transcribe All Proceedings/Cert of Service (Evans)	Kitts, Hannelore
Motion to Require Investigative Officers to Retain Rough Notes and/or Audiotape Notes/Cert of Service (Evans)	Kitts, Hannelore
Motion for Accused to Appear at All Proceedings Without Restraints/Cert of Service (Evans)	Kitts, Hannelore
Motion for Accused to Appear in Civilian Clothing/Cert of Service (Evans)	Kitts, Hannelore

	Motion to Prohibit Seizure of Evidence/Cert of Service (Evans)	Kitts, Hannelore
	Motion to Close the Preliminary Hearing/Cert of Service (Evans)	Kitts, Hannelore
	Motion for Defense Access to Crime Scenes/Cert of Service (Evans)	Kitts, Hannelore
03/25/ 2004	State's Response to Defendant's Motion for Access to the Crime Scenes/Cert of Service	Kitts, Hannelore
	State's Response to defendant's Notice of Exercise of Rights/Cert of Service	Kitts, Hannelore
	State's Response to Defendant's Motion for Preservation of Physical Evidence/Cert of Service	Kitts, Hannelore
	State's Response to Defendant's Motion to Preserve Police Communications/Cert of Service	Kitts, Hannelore

State's Response to Defendant's Motion ot Preserve and Produce Statements of the Defendant/Cert of Service	Kitts, Hannelore
State's Response to Defendant's Motion to Record and Transcribe All Proceedings/Cert of Service	Kitts, Hannelore
State's Response to Defendant's Motion to Require Investigative Officers to Retain Rough Notes and/or Audiotape Notes/Cert of Service	Kitts, Hannelore
State's Response to Defendant's Motion for the Defendant to Appear at all Proceedings Without Restraints/Cert of Service	Kitts, Hannelore
State's Response to Defendant's Motion for the Defendant to Appear in Civilian Clothing/Cert of Service	Kitts, Hannelore
State's Response to defendant's Motion to Prohibit Seizure of Evidence/Cert of Service	Kitts, Hannelore

	State's Response to defendant's Motion to Close the Preliminary Hearing/Cert of Service	Kitts, Hannelore
03/30/ 2004	Hearing Rescheduled (Motion 03/31/2004 10:00 am)	Kitts, Hannelore
05/03/ 2004	Agreed Order for Continuance (Prelim reset to 8/11/04 from 5/3/04)	Kitts, Hannelore
06/09/ 2004	Confidential Filing	Kitts, Hannelore
Document sealed		
07/09/ 2004	Praecipe for Subpoena; Subpoena Issued to BTSO (Hrg 8/11/04@9am) 07/13/04 Brittany L Fulton- No service	Kitts, Hannelore
	Praecipe for Subpoena; Subpoena Issued to BTSO (Hrg 8/11/04@9am) 07/13/04 Enrique Galindo- PS 7/12/04	Kitts, Hannelore
	Praecipe for Subpoena; Subpoena Issued to BTSO (Hrg 8/11/04@9am) 09/02/04 Duces Tecum: Cory Latham c/oKBI- No service	Kitts, Hannelore

	Praecipe for Subpoena; Subpoena Issued to BTSO (Hrg 8/11/04@9am) 07/26/04 Damien C Thompson c/o Sgt Bellendir- No service (Gleason in Saline Co Jail)	Kitts, Hannelore
07/27/ 2004	Praecipe for Subpoena; Subpoena Issued to Rice Co (Hrg 8/11/04@11am) 08/03/04 J'Ana L Edwards-RS (Irene Gleason) 7/29/04	Kitts, Hannelore
07/28/ 2004	Praecipe for Subpoena; Subpoena Issued to BTSO (Hrg 8/11/04@9am) 07/28/04 Brittany L Fulton-PS 7/28/04	Kitts, Hannelore
08/10/ 2004	Second Amended Complaint/Information	Kitts, Hannelore
08/11/ 2004	Notice of Intent to request Separate Sentencing Proceeding and Notice of Aggravating Circumstances (Phill Kline)	Kitts, Hannelore
	Hearing result for Preliminary Hearing held on 08/11/2004 09:00am: Arraignment, plead not guilty	Kitts, Hannelore
08/12/ 2004	Blue Back to Rice Co Sheriff Office (Damien Thompson)	Kitts, Hannelore

08/27/ 2004	Transcript of Preliminary Hearing (6/11/2004)	Kitts, Hannelore
	Order (8/20/04 - 8/11/2004 Prelim Transcript ordered to be prepared)	Kitts, Hannelore
08/31/ 2004	Motion to Dismiss Charges Within the Amended Complaint (Accused filing #16)	Kitts, Hannelore
	Motion to Bar the Death Penalty (Accused filing #17)	Kitts, Hannelore
09/01/ 2004	Hearing Scheduled (Motion 09/16/2004 09:00am) (Defendant's Motions)	Kitts, Hannelore
	Notice of Hearing: Defendants Motion to Dismiss Charges Within the Amended Complaint and Motion to Bar the Death Penalty (9/16/04@9am)	Kitts, Hannelore
09/08/ 2004	Defendant's Waiver of His Right to Speedy Trial (accused filing #18)	Kitts, Hannelore
09/10/ 2004	State's Response to Defendant's Motion to Dismiss Charges Within the Amended Complaint (Accused filing #16)	Kitts, Hannelore

	State's Response to Defendant's Motion to Bar the Death Penalty (Accused filing #17)	Kitts, Hannelore
09/17/ 2004	Hearing Rescheduled (Motion 09/27/2004 01:30 pm) (Defendant's Motions)(Cont from 9/16/04)	Kitts, Hannelore
	Notice of Hearing - Defendant's Motion to Dismiss Charges Within the Amended Complaint and Motion to Bar the Death Penalty (9/27/04@1:30pm)	Kitts, Hannelore
09/27/ 2004	Information	Kitts, Hannelore
09/28/ 2004	Hearing result for Motion held on 09/27/2004 01:30 pm: Hearing Held (Defendant's Motions)(Cont from 9/16/04)	Kitts, Hannelore
	Order	Kitts, Hannelore
09/30/ 2004	Memorandum in Support of Defense Motion to Dismiss	Kitts, Hannelore
12/13/ 2004	Hearing Scheduled (All Pending Motions 01/11/2005 09:00 am)	Kitts, Hannelore

	Notice of Hearing - All Pending Motions (1/11/05@9am)	Kitts, Hannelore
01/12/ 2005	Hearing result for Motion held on 01/11/2005 09:00 am: Hearing Held	Kitts, Hannelore
02/03/ 2005	Hearing Scheduled (Pretrial 06/08/2005 09:00 am)	Kitts, Hannelore
	Hearing Scheduled (Jury Trial 06/20/2005 09:00 am) 2 weeks	Kitts, Hannelore
02/04/ 2005	Notice of Hearing - Pretrial (6/8/05@9am)	Kitts, Hannelore
	Notice of Hearing - 2 week Jury Trial (6/20/05@9am)	Kitts, Hannelore
03/17/ 2005	Confidential Filing	Kitts, Hannelore
Document sealed		
03/29/ 2005	Confidential Filing	Kitts, Hannelore
Document sealed		
05/20/ 2005	Juror Questionnaire	Kitts, Hannelore

05/23/ 2005	Motion to Remand for Further Preliminary Hearing (Accused Filing #20)	Kitts, Hannelore
	Motion for Discovery (Accused Filing #21)	Kitts, Hannelore
	Motion for Production (Accused Filing #22)	Kitts, Hannelore
05/25/ 2005	State's Response to Defendant's Motion to Remand for Further Preliminary Hearing (Response to Accused Filing #20)	Kitts, Hannelore
	State's Response to Defendant's Motion for Discovery (Response to Accused Filing #21)	Kitts, Hannelore
	State's Response to Defendant's Motion for Production (Response to Accused Filing #22)	Kitts, Hannelore
06/08/ 2005	Order to Release Evidence	Kitts, Hannelore
06/09/ 2005	Hearing result for Pretrial held on 06/08/2005 09:00 am: Continued	Kitts, Hannelore
06/14/ 2005	Journal Entry - 6/8/05 Pretrial Hearing; Trial (11/1/05@9am)	Kitts, Hannelore

06/15/ 2005	Hearing Scheduled (Jury Trial 11/01/2005 09:00 am) 3 weeks	Kitts, Hannelore
06/21/ 2005	Hearing Scheduled (Motion 09/06/2005 09:00 am) All pending motions	Kitts, Hannelore
	Hearing Scheduled (Pretrial 10/19/2005 01:30 pm)	Kitts, Hannelore
	Notice of Hearing - Pretrial (10/19/05@1:30pm)	Kitts, Hannelore
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	Notice of Hearing - All Pending Motions (9/6/05@9am)	Kitts, Hannelore
06/24/ 2005	Memorandum of Decision	Kitts, Hannelore
	Memorandum Decision on Defendant's Motion to Dismiss Charges Within the Amended Complaint	Kitts, Hannelore
08/03/ 2005	Confidential Filing	Kitts, Hannelore
Document sealed		
08/08/ 2005	Hearing Rescheduled (Motion 09/30/2005 09:00 am) All pending motions from 9/6/05	Kitts, Hannelore

08/09/ 2005	Notice of Hearing - All Pending Motions (9/30/05@9am)	Kitts, Hannelore
08/31/ 2005	Motion to Prohibit Antemortem Photographs (Accused Filing #23)	Kitts, Hannelore
	Motion to Preclude Improper Impeachment of Expert Witnesses (Accused Filing #24)	Kitts, Hannelore
	Motion for a Pretrial Hearing Regarding Gruesome Photographs (Accused Filing #25)	Kitts, Hannelore
	Objuction to Proceedings Under K.S.A>.21.4624 as Violative of Sidney Gleason's Rights Under the 6th and 14th Amendments to the Federal Constitution (Accused Filing #26)	Kitts, Hannelore
	Motion to Enjoin the Victim's Family and Friends from Sitting Directly Before the Jury and from Showing Emotion in the Courtroom During the Trial (Accused Filing #27)	Kitts, Hannelore

Motion for Allocution Before the Sentencing Jury (Accused Filing #28)	Kitts, Hannelore
Motion to Bar Victim Impact Evidence (Accused Filing #29)	Kitts, Hannelore
Motion to Determine Duration of Accused's Sentence Before Jury Deliberations in Penalty Phase (Accused Filing #30)	Kitts, Hannelore
Memorandum of Law Re:Capital Voir Dire (Accused Filing #31)	Kitts, Hannelore
Motion to Bar "For Cause" Challenges of Jurors Based on Their Religious Opposition to the Death Penalty (Accused Filing #32)	Kitts, Hannelore
Motion to Regulate State's Reliance on Body Language as a Tationale for a Peremptory Challenge (Accused Filing #33)	Kitts, Hannelore
Motion to Allow Voir Dire Regarding the Cost Effectiveness of the Death Penalty (Accused Filing #34)	Kitts, Hannelore

Motion for Voir Dire Re: Sentence Length (Accused Filing #35)	Kitts, Hannelore
Accused's Trial Brief Regarding Right to Present a Defense and to Confront Witnesses (Accused Filing #36)	Kitts, Hannelore
Motion to Strike K.S.A. 21.4625(2) Aggravating Circumstance that the Defendant Knowingly or Purposefully Killed or Created a Great Risk of Death to More than One Person (Accused Filing #37)	Kitts, Hannelore
Accused's Motion in Limine Regarding Evidence Pursuant to K.S.A. 60.455 (Accused Filing #38)	Kitts, Hannelore
Accused's Motion in Limine Regarding Character Evidence (Accused Filing #39)	Kitts, Hannelore
Accused's Motion in Limine Regarding Criminal History (Accused Filing #40)	Kitts, Hannelore
Accused's Motion in Limine Regarding Post Arrest Silence (Accused Filing #41)	Kitts, Hannelore

Motion to Strike Death Penalty Statute as Unconstitutional (Accused Filing #42)	Kitts, Hannelore
Motion for Notice of and Pretrial Hearing Regarding Admissibility of All Hearsay Statements (Accused Filing #43)	Kitts, Hannelore
Motion to Preclude Evidence of Alleged Gang Membership of Defendant (Accused Filing #44)	Kitts, Hannelore
Motion to Determine Order of Closing Argument at Penalty Phase (Accused Filing #45)	Kitts, Hannelore
Motion for Discovery Re: Mitigating Evidence (Accused Filing #46)	Kitts, Hannelore
Motion to Strike Death Penalty Statute for Improper Weighing of Aggragating and Mitigating Factors (Accused Filing #47)	Kitts, Hannelore
Motion to Strike "Avoid Arrest" Aggravating Circumstance (Accused Filing #48)	Kitts, Hannelore

	Motion Motion for Discovery of "Impeachable Prior Convictions" (Accused Filing #49)	Kitts, Hannelore
	Motion to Reveal Any Deal Made By the State (Accused Filing #50)	Kitts, Hannelore
	Motion for Compensation of Jurors at Current Wages and for Reimbursement to Primary Caregivers for Day Care Costs (Accused Filing #51)	Kitts, Hannelore
	Motion for Continuance (Accused Filing #52)	Kitts, Hannelore
09/16/ 2005	Hearing Rescheduled (Pretrial 01/05/2006 09:00 am) from 10/19/05	Kitts, Hannelore
	Hearing Rescheduled (Jury Trial 04/04/2006 09:00 am) from 11/1/05	Kitts, Hannelore
	Notice of Hearing - Pretrial (1/5/05@9am)	Kitts, Hannelore
	Notice of Hearing - 14 day Jury Trial (4/4/06@9am)	Kitts, Hannelore

09/26/ 2005	State's Response to Defendant's Motion to Determine Order of Closing Argument at Penalty Phase (Response to Accused Filing #21)	Kitts, Hannelore
	State's Response to Defendant's Motion to Prohibit Antemortem Photographs (Response to Accused Filing #23)	Kitts, Hannelore
	State's Response to Defendant's Motion to Preclude Improper Impeachment of Expert Witnesses (Response to Accused Filing #24)	Kitts, Hannelore
	State's Response to Defendant's Motion for a Pretrial Hearing Regarding Gruesome Photographs (Response to Accused Filing #25)	Kitts, Hannelore
	State's Trsponse to Defendant's Objection to Proceeding Under K.S.A. 21.4624 (Response to Accused Filing #26)	Kitts, Hannelore

State's Response to Defendant's Motion for Allocution Before the Sentencing Jury (Response to Accused Filing #28)	Kitts, Hannelore
State's Response to Defendant's Motion to Bar Victim Impact Evidence (Response to Accused Filing #29)	Kitts, Hannelore
State's Response to Defendant's Motion to Determine Duration of Accused's Sentence Before Jury Deliberations in Penalty Phase (Response to Accused Filing #30)	Kitts, Hannelore
State's Response to defendant's Motion to Bar "For Cause" Challenges to Jurors Based on their Religious Opposition to Death Penalty (Response to Accused Filing #32)	Kitts, Hannelore
State's Response to Defendant's Motion to Regulate State's Reliance on Body Language as a Rational for a Preemptory Challenge (Response to Accused Filing #33)	Kitts, Hannelore

State's Response to Defendant's Motion to Allow Voir Dire Regarding the Cost Effectivenes of the Death Penalty (Response to Accused Filing #34)	Kitts, Hannelore
State's Response to Defendant's Motion for Voir Dire: Sentence Length (Response to Accused Filing #35)	Kitts, Hannelore
State's Response to defendant's Motion to Strike K.S.A. 21.4625(2) Aggravating Circumstance that the Defendant Knowingly of Purposefully Killed or Created a Great Risk of Death to More than One Person (Response to Accused Filing #37)	Kitts, Hannelore
State's Responses to Defendant's Motion in Limine Regarding Post Arrest Silence; Motion in Limine Regarding Criminal History; Motion in Limine Regarding Character Evidence; and Motion in Limine Regarding Evidence Pursuant to K.S.A. 60.455 (Responses to Accused Filings #41, #40, #39, #38)	Kitts, Hannelore

State's Response to Defendant's Motion to Strike Death Penalty Stature as Unconstitutional (Response to Accused Filing #42)	Kitts, Hannelore
State's Response to Defendant's Motion for Notice of and Pretrial Hearing Regarding Admissigility of All Hearsay Statements (Rsponse to Accused Filing #43)	Kitts, Hannelore
State's Response to Defendant's Motion to Strike Death Penalty Statute for Improper Weighing of Aggravating and Mitigating Factors (Response to Accused Filing #47)	Kitts, Hannelore
State's Response to Defendant's Motion to Strike "Avoid Arrest" Aggravating Circumstance (Response to Accused Filing #48)	Kitts, Hannelore
State's Response to Defendant's Motion to Preclude Evidence of Alleged Gang Membership of Defendant (Response to Accused Filing #48)	Kitts, Hannelore

	State's Response to Defendant's Motion for Discovery of "Impeachable Prior Convictions" (Response to Accused Filing #49)	Kitts, Hannelore
	State's Response to Defendant's Motion to Reveal Any Deal Made by the State (Response to Accused Filing #50)	Kitts, Hannelore
	State's Response to Defendant's Motion for Compensation of Jurors at Current Wages and for Reimbursement to Primary Caregivers for Day Care Costs (Response to Accused Filing #51)	Kitts, Hannelore
	Journal Entry of Continuance - 9/7/05 Pretrial rescheduled to (1/5/06@9am); Jury Trial (4/4/06@9am)	Kitts, Hannelore
09/28/ 2005	State's Response to Defendant's Motion for Discovery Re: Mitigating Evidence	Kitts, Hannelore
	Attachment for State's Response to Accued's Filing #47	Kitts, Hannelore

12/29/ 2005	Hearing Rescheduled (Pretrial 03/16/2006 01:00 pm) & All Pending Motions from 1/05/06	Kitts, Hannelore
	Notice of Hearing - Pretrial and any Pending Motions (3/16/06@1pm)	Kitts, Hannelore
02/08/ 2006	State's Motion to Endorse Witnesses/Notice of Hearing (3/16/06@1pm)	Kitts, Hannelore
02/21/ 2006	Praecipe for Subpoena; Subpoena Issued to BTSO (Hrg 4/6/06-4/21/06) 2/23/06 Roger Butler PS 2/22/06 2/23/06 Heather Davis - PS (Browne) 2/22/06 2/23/06 Denton Doze - PS 2/22/06 3/6/06 Paul Elliott - PS 3/4/06 2/22/06 Brittany Fulton - No Service 3/20/06 Brittany Fulton 3/8/06 2/23/06 Cory Latham PS 2/22/06 2/27/06 Jason Livingston No Service 3/29/06 Jason Livingston PS 3/27/06 2/23/06 Terry Millard - PS	Kitts, Hannelore

2/22/06
2/23/06 James Newman PS
2/22/06
2/23/06 Val Gene Williams -
No Service
3/20/06 Val Gene Williams -
PS 3/6/06
Subpoena Issued to Shawnee
Co Sheriff
3/6/06 Eric Moore - AS 3/1/06
Kim Howard
3/6/06 Delbert L Hawel AS
3/1/06 Kim Howard
3/22/06 Tracie Ladene
Hatfield No Service
3/6/06 Mark K Hall- PS 3/2/06
3/13/06 David Debenham PS
3/9/06
3/6/06 Amy Coody - AS 3/1/06
Kim Howard
3/6/06 Steve Bundy - AS
3/1/06 Kim Howard
Subpoena Issued to Sedgwick
Co Sheriff
2/28/06 David Klamm RS
(Vikki Buell) 2/23/06
2/28/06 Mary Dudley -RS
(Julia) 2/23/06
Subpoena Issued to Rice Co
Sheriff
3/29/06 J'Anna Lynn Edwards
- No Service
02/24/06 Floyd H Grissom
PS 02/22/06

	Subpoena Issued to Reno Co Sheriff 2/23/06 Damien Charles Thompson PS 2/22/06 Subpoena Returned to Atty (Maxwell) for service 3/22/06 Irma Rodriguez (no address) - PS 3/22/06 Enrique Galindo (no address) - Lora Alvarez (Phoenix, Az) -	
02/22/ 2006	Subpoena Issued to KBI (4/6/06-4/21/06@9am) Tracie Hatfield	Kitts, Hannelore
	Subpoena Issued to KBI (4/6/06-4/21/06@9am) 3/29/06 Janna Edwards - PS 3/27/06	Kitts, Hannelore
02/23/ 2006	Confidential Juror Questionnaire	Kitts, Hannelore
03/16/ 2006	Order - Jason Gleason to be transported from KDOC to Barton Co Detention Facility 4/18/06 4/20/06 - Transported from KDOC to Barton Co Courthouse 4/18/06	Kitts, Hannelore
	Motion for Material Witness Certificate	Kitts, Hannelore

	Certificate	Kitts, Hannelore
	Writ of Habeas Corpus Ad Testificandum	Kitts, Hannelore
	Order for Transport 4/4/06 Enrique Galindo Lansing Correctional Facility Transported to Barton Co Jail 4/3/06 4/4/06 Damien Thompson Hutchinson Corr Facility - Transported to Barton Co Jail 4/3/06	Kitts, Hannelore
	Journal Entry of Motion Hearings - 9/30/05	Kitts, Hannelore
03/21/ 2006	Praecipe for Subpoena; Subpoena Issued to BTSO (Hrg 4/4/06-4/21/06@9am) 3/21/06 Brittany Fulton PS 3/21/06	Kitts, Hannelore
	Order - 3/15/06 - Court Authorizes the transcript of the Hearing held on 9/30/05 be prepared	Kitts, Hannelore
	Transcript of Proceedings (9/30/05)	Kitts, Hannelore

03/22/ 2006	Subpoena Issued to KBI (4/6/06-4/21/06@9am) 3/29/06 Terri Canterburry -PS 3/22/06	Kitts, Hannelore
03/23/ 2006	Hearing result for Pretrial held on 03/16/2006 01:00 pm: Hearing Held & All Pending Motions from 1/05/06	Kitts, Hannelore
03/24/ 2006	Subpoena Issued to KBI (4/6-4/21/06@9am) 3/29/06 Kelsey Grissom- PS 3/24/06	Kitts, Hannelore
03/27/ 2006	Accused's Memorandm of Law in Support of His Motion for Change of Venue	Kitts, Hannelore
	Motion for Change of Venue (Accused Filing #53)	Kitts, Hannelore
03/29/ 2006	Response to Defendant's Motion to Change Venue	Kitts, Hannelore
	Motion for Continuance (Change of Venue)/Notice of Hearing (3/31/06@3pm)/ Exhibit "A"/Exhibit "B" (Accused filing #55)	Kitts, Hannelore
03/31/ 2006	Hearing Scheduled (Motion 03/31/2006 03:00 pm)	Kitts, Hannelore

04/13/ 2006	Praecipe for Subpoena; Subpoena Issued to BTSO (Hrg 4/14/06@9am) 4/19/06 Terry Millard RS (David Bailey) 4/13/06	Kitts, Hannelore
	Praecipe for Subpoena; Subpoena Issued to BTSO (Hrg 4/14/06@9am) 4/19/06 Delbert Hawel KBI RS (Kim Steinert) 4/13/06	Kitts, Hannelore
	Praecipe for Subpoena; Subpoena Issued to BTSO (Hrg 4/14/06@9am) 4/19/06 William Widiger #343 PS 4/13/06	Kitts, Hannelore
	Praecipe for Subpoena; Subpoena Issued to BTSO (Hrg 4/14/06@9am) 4/19/06 Sylvia French aka Sylvia Link PS 4/14/06	Kitts, Hannelore
	Praecipe for Subpoena; Subpoena Issued to BTSO (Hrg 4/14/06@9am) 4/17/06 Dr David L Cooper OD PS 4/17/06	Kitts, Hannelore
04/15/ 2006	State's Response to Defendant's Motion for a Mistrial	Kitts, Hannelore

04/17/ 2006	Hearing result for Motion held on 03/31/2006 03:00 pm: Hearing Held	Kitts, Hannelore
	Accused's Proposed Jury Instructions - First Phase	Kitts, Hannelore
04/19/ 2006	Question from Jury	Kitts, Hannelore
	Jury Trial Instruction l-21	Kitts, Hannelore
	Verdict	Kitts, Hannelore
	Final Panel w/Attached panels 1-4	Kitts, Hannelore
04/21/ 2006	Sentencing Instructions 1-13	Kitts, Hannelore
	Sentencing Verdict	Kitts, Hannelore
	Exhibit File State's Exhitit's 1 -89; 94-102; 105; 107 filed 4/21/06 Defendant Exhibits 2 7	Kitts, Hannelore
04/24/ 2006	Hearing result for Jury Trial held on 04/04/2006 09:00 am: Jury Trial - Guilty, Original Charge from 11/1/05	Kitts, Hannelore

	PSI ordered 04-19-2006	Kitts, Hannelore
04/28/ 2006	Order for Transport	Kitts, Hannelore
	Motion for Arrest fo Judgment (Evans)	Kitts, Hannelore
05/09/ 2006	Hearing Scheduled (Sentencing 08/28/2006 01:30 pm)	Kitts, Hannelore
	Notice of Hearing - Sentencing (8/28/06@1:30pm)	Kitts, Hannelore
	Journal Entry of Jury Trial 4/4/06-4/21/06	Kitts, Hannelore
06/21/ 2006	PSI completed and submitted to Court.	Kitts, Hannelore
06/22/ 2006	Presentence Investigation Report	Kitts, Hannelore
	Confidential PSI Information {CF}	Kitts, Hannelore
Document sealed		
08/21/ 2006	Victim's Impact and Attitude Statement	Kitts, Hannelore
Document sealed		
08/24/ 2006	Motion for New Trial Based on Newly Discovered Evidence/Affidavit	Kitts, Hannelore

	State's Response to Defendant's Motion for a New Trial	Kitts, Hannelore
08/27/ 2006	State's Amended Response to Defendant's Motion for a New Trial	Kitts, Hannelore
08/28/ 2006	Victim Impact Statement (Shirley Tomlin)	Kitts, Hannelore
	Death Warrant (Certified Copy to Sheriff Causey)	Kitts, Hannelore
	KSG Journal Entry of Judgment - 8/28/06 - Count I, Capital Murder, K.S.A. 21.3439(a)(6), off grid person felony; Death Penalty; Restitution $10, 891.03; Court Costs $172.50; BIDS atty fee $100.00; DNA fee $100.00; KBI Lab Fee $400.00; Restitution to be paid to Steve & Becky Wornkey ($5,891.03) and Crime Victim Compensation Board ($5,000.00); Count II, Murder in the First Degree, K.S.A. 21.3401(a) off grid person felony; Mandatory minimum of greater of hard 50 years (600) months; Count III, Agg Kidnapping, K.S.A. 21.3421, level 1 person felony; DOC	Kitts, Hannelore

586 months; Postrelease life; Count IV, K.S.A. 21.3427, level 3 nondrug person felony; 59 months DOC; Count V, Criminal Possession of a Firearm, K.S.A. 21.4202(a)(2), level 8 nonperson felony; 8 months DOC	
Hearing result for Sentencing held on 08/28/2006 01:30 pm: Hearing Held	Kitts, Hannelore
Notice of Appeal	Kitts, Hannelore
Order Appointing Appellate Counsel	Kitts, Hannelore
Order for Transcript	Kitts, Hannelore

RELEVANT DOCKET ENTRIES

Supreme Court of Kansas

Appellate Case No. 97296

State of Kansas v. Sidney J. Gleason

Case Event Detail

Date	Description
06-APR-15	MEMO NOTATION / Mtn proceed in forma pauperis Granted : Petition for Writ of Cert Granted US S/C
09-FEB-15	ORDER BY THE COURT / Noted: Appellee's Status Rpt filed 2/2/15. Due 5/4/2015 Appellee Status Rpt.
02-FEB-15	STATUS REPORT / State of Kansas
29-JAN-15	MEMO NOTATION / Petition for Writ of Certiorari is Denied : U.S. S/C
08-DEC-14	MEMO NOTATION / Petition for Writ of Certiorari placed on 11/26/14 Docket - U.S. S/C
04-NOV-14	ORDER BY THE COURT / Noted-status report. Next status report due 2/2/2015 - State of Kansas
27-OCT-14	MEMO NOTATION / Petition for Writ of Certiorari placed on 10/20/14 Docket - U.S. S/C

22-OCT-14	STATUS REPORT / STATE OF KANSAS
08-SEP-14	ORDER BY THE COURT / Moot Mtn for Judicial Notice of instruct. in other cap cases-Gleason. Resp noted
03-SEP-14	ORDER BY THE COURT / Noted:Aple Notice of Intent to File Writ/Cert. Due 12/2/2014 Status Rpt - State
08-AUG-14	MEMO MOTION / Notice of intent to file Petition for Writ of Certiorari in U.S.S.C. - State
18-JUL-14	PUBLISHED OPINION / 106 pages - $26.50
18-JUL-14	JUDGMENT DOCKETED-OPINION FILED / Affirmed in part, vacated in part, & remanded Per Curiam
08-JUL-14	RULE 6.09(B) LETTER / STATE OF KANSAS
30-DEC-13	RESPONSE- / Judicial Notice Felony Murder Instruction Given In Capital Cases - State
20-DEC-13	MEMO MOTION / Judicial Notice Felony Murder Instruction Given In Capital Cases - S. Gleason
07-NOV-13	SUPPLEMENTAL BRIEF / Resp of State

25-OCT-13	SUPPLEMENTAL BRIEF / SIDNEY J. GLEASON
15-OCT-13	SUPPLEMENTAL BRIEF / State
01-OCT-13	ORDER CONTINUED / Any Appellee reply br due 14 days after service - 10 pg limit
01-OCT-13	ORDER CONTINUED / Gleason Supp br due 30 days after service. (20 pg limit).
01-OCT-13	ORDER - GRANTING MOTION / to file supplemental briefs. Due 10/31/13 State brief 20 pg limit,
18-SEP-13	RULE 6.09(B) LETTER / SIDNEY J. GLEASON
16-SEP-13	RULE 6.09(B) LETTER / STATE OF KANSAS
16-SEP-13	MEMO MOTION / State's Motion for Supplemental Briefing - STATE OF KANSAS
07-NOV-12	RULE 6.09(B) LETTER / STATE OF KANSAS
03-OCT-12	RULE 6.09(B) LETTER / SIDNEY J. GLEASON
02-OCT-12	RULE 6.09(B) LETTER / SIDNEY J. GLEASON
02-OCT-12	RULE 6.09(B) LETTER / SIDNEY J. GLEASON

02-OCT-12	RULE 6.09(B) LETTER / SIDNEY J. GLEASON
02-OCT-12	RULE 6.09(B) LETTER / SIDNEY J. GLEASON
08-AUG-12	CAUSE SCHEDULED FOR HEARING / Set for hearing Friday 10/26/2012 @ 9:00 a.m. (45 mins)
19-JUL-12	REPLY BRIEF / SIDNEY J. GLEASON
21-JUL-12	RECORD RECEIVED - CLERK, DISTRICT COURT / RECEIVED 30 VOLS (3 BOXES), BARTON COUNTY (PER CAROL'S REQUEST)
17-APR-12	BRIEF RECEIVED / State
16-DEC-11	ORDER DENYING EXT. OF TIME FOR BRIEF / as moot-5th ext of time. Due 5/20/12 Br-State, Final ext. Any reply due 7/19/12
16-DEC-11	MEMO ORDER- GRANTING MOTION / To withdraw - Maag for State of Kansas
06-DEC-11	MOTION TO WITHDRAW / Maag For STATE OF KANSAS
06-DEC-11	MEMO ORDER / 12/14/2011 @ 9:30 a.m. telephone status conference with counsel

10-NOV-11	MOT. FOR EXT. OF TIME TO FILE BRIEF / 5th motion State of Kansas
08-SEP-11	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / Brief due 11/21/2011 - STATE OF KANSAS
08-AUG-11	MOT. FOR EXT. OF TIME TO FILE BRIEF / 4th motion - STATE of Kansas
27-MAY-11	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / BRIEF DUE 8/22/2011 STATE OF KANSAS
16-MAY-11	MOT. FOR EXT. OF TIME TO FILE BRIEF / 3RD MOTION - STATE
23-FEB-11	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / BRF DUE 05/23/2011 - STATE OF KANSAS
08-FEB-11	MOT. FOR EXT. OF TIME TO FILE BRIEF / 2ND MOTION STATE OF KANSAS
03-DEC-10	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / DUE 2/21/2011 BR-STATE OF KANSAS
18-NOV-10	MOT. FOR EXT. OF TIME TO FILE BRIEF / 1ST MOTION - STATE
25-AUG-10	BRIEF RECEIVED / SIDNEY J. GLEASON APLE BRF DUE 11/22/2010

25-AUG-10	MEMO ORDER - GRANTING MOTION / IN PART-TO FILE OVERSIZE BR-NOT TO EXCEED 200 PGS--8/10/10 EOT NOTED
10-AUG-10	MEMO MOTION / TO EXCEED BRF PAGE LIMITS - SIDNEY J. GLEASON
10-AUG-10	MOT. FOR EXT. OF TIME TO FILE BRIEF / 15TH MOTION - SIDNEY J. GLEASON
19-JUL-10	CERT. OF COMPLETION OF TRANSCRIPT / FILED 07/19/10 - HERMAN, CSR
25-JUN-10	CSR NOTICE / LTR/RUBIO, CSR - HERMAN CSR FOR 3/5/04 PLEA HRG
25-JUN-10	CERT. OF COMPLETION OF TRANSCRIPT / FILED 06/23/10 - RUBIO, CSR
24-JUN-10	ORDER FOR TRANSCRIPT / DUE 09/27/10 - HERMAN, CSR (3/5/04 GP)
16-JUN-10	ORDER FOR TRANSCRIPT / DUE 09/17/10 - RUBIO, CSR (8/12/04 SENT & 3/5/04 GP)
16-MAR-10	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / DUE 8/10/2010 BR-SIDNEY GLEASON

10-FEB-10	MOT. FOR EXT. OF TIME TO FILE BRIEF / 13TH & 14TH MOTION SIDNEY J. GLEASON
03-SEP-09	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / DUE 2/10/2010 BR-SIDNEY GLEASON
10-AUG-09	MOT. FOR EXT. OF TIME TO FILE BRIEF / 11TH & 12TH MOTION SIDNEY GLEASON
08-JUN-09	MEMO ORDER-DENYING MOTION / TO LIMIT BRIEFS TO 200 PGS, RESPONSE NOTED
03-JUN-09	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / DUE 8/10/2009 BR-SIDNEY GLEASON. RESPONSE NOTED & REQ DENIED. REPLY-NOTED
27-MAY-09	RESPONSE- / TO MTN TO LIMIT BRF SIZE - SIDNEY J. GLEASON
20-MAY-09	MEMO MOTION / TO LIMIT BR LENGTH TO 200 PGS - STATE
18-MAY-09	MEMO NOTATION / REPLY TO RESPONSE OF STATE TO EOT - SIDNEY J. GLEASON
14-MAY-09	RESPONSE- / TO MTN FOR EOT - STATE

11-MAY-09	MOT. FOR EXT. OF TIME TO FILE BRIEF / 10TH MOTION - SIDNEY J. GLEASON
24-FEB-09	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / DUE 5/11/09 BR - SIDNEY GLEASON
09-FEB-09	MOT. FOR EXT. OF TIME TO FILE BRIEF / 9TH MOTION - SIDNEY J. GLEASON
13-JAN-09	MEMO ORDER / NOTED. ENTRY OF APPEARANCE BY AILSLIEGER
13-JAN-09	ENTRY OF APPEARANCE / AILSLIEGER FOR STATE
26-NOV-08	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / DUE 2/9/09 BR - SIDNEY GLEASON
10-NOV-08	MOT. FOR EXT. OF TIME TO FILE BRIEF / 8TH MOTION SIDNEY J. GLEASON
21-AUG-08	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / DUE 11/10/08 BR - SIDNEY GLEASON
11-AUG-08	MOT. FOR EXT. OF TIME TO FILE BRIEF / 7TH MOTION - SIDNEY J. GLEASON
29-MAY-08	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / DUE 8/11/2008 BR- SIDNEY GLEASON

20-MAY-08	MEMO ORDER / NOTED-ENTRY OF APPEARANCE BY JARED MAAG.
19-MAY-08	ENTRY OF APPEARANCE / JARED MAAG FOR STATE OF KANSAS
12-MAY-08	MOT. FOR EXT. OF TIME TO FILE BRIEF / 6TH MOTION SIDNEY GLEASON
22-FEB-08	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / DUE 5/12/08 BR-SIDNEY GLEASON
11-FEB-08	MOT. FOR EXT. OF TIME TO FILE BRIEF / 5TH MOTION SIDNEY GLEASON
26-NOV-07	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / DUE 2/11/2008 BR-SIDNEY GLEASON
13-NOV-07	MOT. FOR EXT. OF TIME TO FILE BRIEF / 4TH MOTION SIDNEY GLEASON
28-AUG-07	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / DUE 11/13/2007 BR-SIDNEY GLEASON
14-AUG-07	MOT. FOR EXT. OF TIME TO FILE BRIEF / 3RD MOTION - SIDNEY GLEASON

30-MAY-07	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / DUE 8/14/07 BR-SIDNEY GLEASON
16-MAY-07	MOT. FOR EXT. OF TIME TO FILE BRIEF / 2ND MOTION SIDNEY GLEASON
05-MAR-07	ORD. GRANTING EXT. OF TIME. TO FILE BRF. / DUE 5/16/07 BR-SIDNEY GLEASON
20-FEB-07	MOT. TO FILE BRIEF OUT OF TIME / 1ST MOTION - SIDNEY GLEASON
17-NOV-06	MEMO NOTATION / DUE 2/15/07-BRF OF APLNT
17-NOV-06	CERT. OF COMPLETION OF TRANSCRIPT / FILED 3/21/06 & 11/14/06 - RUBIO, CSR
18-SEP-06	ORDER FOR TRANSCRIPT / DUE 12/19/06 LINDA RUBIO (9/18/06) - CAPITAL CASE
18-SEP-06	ORDER APPOINTING COUNSEL
18-SEP-06	DISTRICT COURT DOCUMENTS / (3)
18-SEP-06	NOTICE OF APPEAL FILED / SIDNEY J. GLEASON
18-SEP-06	DOCKETING STATEMENT / SIDNEY J. GLEASON

Supreme Court, U.S.
FILED
JUN - 8 2015
OFFICE OF THE CLERK

No. 14-452

In the Supreme Court of the United States

STATE OF KANSAS,

Petitioner,

v.

SIDNEY J. GLEASON,

Respondent.

On Writ of Certiorari to the Supreme Court of Kansas

BRIEF FOR PETITIONER

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CAPITAL CASE

QUESTION PRESENTED

Whether the Eighth Amendment requires that a capital-sentencing jury be *affirmatively instructed* that mitigating circumstances "need not be proven beyond a reasonable doubt," as the Kansas Supreme Court held in this case, or instead whether the Eighth Amendment is satisfied by instructions that, in context, make clear that each juror must individually assess and weigh any mitigating circumstances?

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The decision of the Kansas Supreme Court is reported, *State v. Gleason*, 329 P.3d 1102 (Kan. 2014), and is reproduced in the Petition for Writ of Certiorari as Appendix A.

JURISDICTION

The Kansas Supreme Court decided this case July 18, 2014. This Court has jurisdiction under 28 U.S.C. § 1257(a).

CONSTITUTIONAL PROVISIONS INVOLVED

The Eighth Amendment to the United States Constitution provides in relevant part that "[e]xcessive bail shall not be required, nor excessive fines imposed, nor cruel and unusual punishments inflicted." U.S. Const. amend. VIII.

The Fourteenth Amendment to the United States Constitution provides, in pertinent part, "... nor shall any State deprive any person of life, liberty, or property without due process of law ...." U.S. Const. amend. XIV

STATEMENT OF THE CASE

A. Gleason Commits Violent Crimes Less Than A Month After His Release From Prison

The defendant, Sidney Gleason, was convicted in Kansas state court of capital murder and first degree murder for the double-murder of Darren Wornkey and Miki Martinez, and was sentenced to death.

On February 12, 2004, only 14 days after Gleason had been released on parole after serving a little more

than two-and-a-half years in prison for attempted voluntary manslaughter for shooting a man three times, Gleason participated in the robbery and stabbing of Paul Elliott, an elderly man, in Great Bend, Kansas. Gleason was accompanied in the robbery by Damien Thompson, Ricky Galindo, Brittany Fulton, and Mikiala "Miki" Martinez. Pet. App. 12, 15-16, 21.

After the robbery, the conspirators argued among themselves, and the three men grew suspicious of the two women, believing that the women had found money in Elliott's home and were hiding it. Pet. App. 16, 22. At the direction of Thompson, the women were forced to strip to prove they were not hiding any money. Pet. App. 16, 22.

The conspirators also were concerned about the police finding out who committed the robbery. They discussed this possibility and the importance of no one talking to the police. Pet. App. 22. Threats were made against anyone who said anything to the police, with Gleason in particular declaring that "if somebody talked to the cops, somebody would disappear." Pet. App. 22. The men were concerned about both Brittany and Miki, but especially Miki because they did not know her very well. Pet. App. 16.

Investigation of the robbery eventually led police to the two women, and police questioned both Brittany and Miki. When the local news media later reported additional information about the robbery, the men in the group believed that one or both of the women may have assisted the police investigation. Pet. App. 16, 22.

As a result, the men interrogated Brittany and Miki. Although Miki denied saying anything to the

police, the men did not believe her because her story kept changing. Pet. App. 22. Finally, in frustration, Miki threatened to tell the police everything. Pet. App. 22-23. This angered Gleason, and he repeated his statement that if someone talked to the police, someone would disappear. Pet. App. 23.

Thompson, Galindo, and Gleason then discussed what to do. In particular, they discussed Miki. Pet. App. 23. Gleason made a gesture drawing his finger across his throat, suggesting they kill Miki. Pet. App. 23. The men discussed a plan to kill Miki and, if necessary, to kill her boyfriend, Darren Wornkey, too, if he got in the way. Pet. App. 23.

A few days later, on the night of February 20, 2004, Thompson and Gleason drove from Lyons, Kansas, to Great Bend, Kansas, where Miki lived. Both were armed, Thompson with a 9mm pistol and Gleason with a .22 caliber revolver. Pet. App. 23. They made their way to Miki's house and stopped outside. Shortly thereafter, Darren Wornkey and Miki arrived in Darren's jeep and stopped in front of the house. As soon as the jeep parked, Gleason walked to the driver's side and began shooting into the vehicle, hitting Darren several times, killing him, and wounding Miki in the leg. Pet. App. 17-18. Miki got out of the jeep, screaming. Gleason ordered her into the men's car, Pet. App. 17, and the three of them drove out of Great Bend into the country, stopping in a rural area. Pet. App 18.

When they stopped, Gleason, Thompson, and Miki exited the car, and Thompson attempted to shoot Miki, but his gun malfunctioned. Pet. App. 18. Gleason handed the .22 revolver to Thompson and took Thompson's 9mm pistol. Pet. App. 18. Thompson hit

Miki with the revolver, knocking her down, and then attempted to strangle her. Pet. App. 18. While Thompson strangled Miki, Gleason watched and cleared the malfunction in the 9mm pistol. When Thompson gave up his effort to strangle Miki, he took the 9mm weapon from Gleason and shot Miki, so that he and Gleason would be "even" when it came to killing. Pet. App. 19. The two men left the murder scene, but returned a day later to hide Miki's body. Pet. App. 20.

The police investigation soon connected the Elliott robbery to the murder of Darren Wornkey and the disappearance of Miki Martinez. Police questioned several people, eventually focusing on Thompson and Gleason. Both men ultimately were arrested, and charged with capital murder. Pet. App. 13.

Later, Thompson entered a plea agreement with the State, agreeing to lead police to Miki's body and to testify against Gleason in exchange for a reduced charge (first degree premeditated murder instead of capital murder). Pet. App. 13. Thompson eventually received a sentence of life imprisonment with no possibility of parole for 25 years. Pet. App. 14.

Gleason went to trial. The jury convicted Gleason of capital murder, first degree murder, aggravated kidnapping, aggravated robbery, and criminal possession of a firearm.

B. The Sentencing Phase Evidence, Jury Instructions, And Arguments Result In A Death Sentence For Gleason.

In the penalty phase, the State presented two witnesses (a Kansas Bureau of Investigation agent and a state prosecutor) to support the first aggravating factor below, and relied solely on the guilt-phase evidence to support the other three aggravating factors:

1. That Sidney Gleason was previously convicted of a felony in which Sidney Gleason inflicted great bodily harm or disfigurement on another; and

2. That Sidney Gleason knowingly or purposely killed or created a great risk of death to more than one person; and

3. That Sidney Gleason committed the crime in order to avoid or prevent a lawful arrest or prosecution; and

4. That the victim was killed while engaging in, or because of the victim's performance or prospective performance of, the victim's duties as a witness in a criminal proceeding.

Pet. App. 130-131.

Gleason asserted the following factors as mitigation: (1) his impaired capacity to appreciate the criminality of his conduct; (2) his age at the time of the crime; (3) imprisonment was sufficient to protect the public; (4) his codefendant was significantly involved in the crimes; (5) his codefendant received a life sentence with parole eligibility in less than 23 years; (6) his mother

went to prison when he was young; (7) both of his brothers are in custody; (8) when living with his aunt he was obedient and an excellent student; and (9) his family loves him. Pet. App. 131-133.

To support these factors, Gleason presented five witnesses (his mother, his two brothers, his aunt, and a pastor) but no expert or other medical/scientific evidence regarding his alleged lack of capacity. The State did not cross-examine any of Gleason's witnesses at any length (no more than one or two pages of transcript per witness), and did not genuinely contest anything those witnesses said.

At the close of the evidence, the jury was instructed on both aggravating and mitigating circumstances. For aggravating circumstances, the jury was instructed on the four alleged by the State. Pet. App. 130-131. For mitigating circumstances, the jury was instructed on the nine asserted by Gleason, and also as follows:

> Mitigating circumstances are those which in fairness may be considered as extenuating or reducing the degree of moral culpability or blame or which justify a sentence of less than death, even though they do not justify or excuse the offense.
>
> The appropriateness of exercising mercy can itself be a mitigating factor in determining whether the State has proved beyond a reasonable doubt that the death penalty should be imposed.
>
> The determination of what are mitigating circumstances is for you as jurors to decide under the facts and circumstances of the case.

> Mitigating circumstances are to be determined by each individual juror when deciding whether the State has proved beyond a reasonable doubt that the death penalty should be imposed. The same mitigating circumstances do not need to be found by all members of the jury in order to be considered by an individual juror in arriving at his or her sentencing decision.

Pet. App. 131-133. The jury also was instructed that

> You may further consider as a mitigating circumstance any other aspect of the defendant's character, background or record, and any other aspect of the offense which was *presented* in either the guilt or penalty phase which you find may serve as a basis for imposing a sentence less than death. Each of you must consider every mitigating circumstance found to exist.

Id. at 133 (emphasis added).[1]

Kansas does not impose any burden of proof on capital defendants to establish the existence of mitigating circumstances, as the "presented" language in the instruction above makes clear. Pet. App. 100-101 (citing K.S.A. 21-4624(e) and *Kansas v. Marsh*, 548 U.S. 163, 173 (2006)). With respect to burden of proof, the jury was instructed:

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[1] Gleason did not propose a mitigation instruction that included comment on or any language regarding the burden of proof, nor did he object to the primary mitigation instruction in any respect (Instruction No. 7).

> The State has the burden to prove beyond a reasonable doubt that there are one or more aggravating circumstances and that they are not outweighed by any mitigating circumstances found to exist.
>
> *****
>
> If you find unanimously beyond a reasonable doubt that one or more aggravating circumstances exist and that they are not outweighed by any mitigating circumstances found to exist, then you shall impose a sentence of death. If you sentence Sidney Gleason to death, you must designate upon the appropriate verdict form with particularity the aggravating circumstances which you unanimously found beyond a reasonable doubt.
>
> However, if one or more jurors is not persuaded beyond a reasonable doubt on the burden of proof in the paragraph above, then you should sign the appropriate alternative verdict form indicating the jury is unable to reach a unanimous verdict sentencing Sidney Gleason to death. In that event, Sidney Gleason will not be sentenced to death but will be sentenced by the court as otherwise provided by law.

Pet. App. 133-134.

In closing arguments, the State did not genuinely contest the existence of any of Gleason's asserted mitigating factors except his alleged lack of capacity, and instead argued only about the weight they should be given. For instance, with respect to Gleason's age, the participation of his codefendant, his codefendant's

sentence (after a plea bargain), the imprisonment of his mother and brothers, and the love of his family, the State readily conceded those factors. *See, e.g.*, Pet. App. 148 (acknowledging Gleason was 24), 149 ("There's no question about" his codefendant's participation), 149 ("Absolutely. Okay", his codefendant got a life sentence with parole eligibility), 149 ("not a dispute" that Gleason's mother went to prison), 150 ("all three of her sons in custody. No question. They are."), 150 ("Everyone in the world has someone who loves them.")

The only factor the State even arguably challenged was Gleason's capacity to understand his crimes. On that factor, the State responded simply and briefly: "Did you hear any evidence about that?"[2] Pet. App. 148.

Thus, the State's discussion of mitigation generally did not deny the existence of Gleason's evidence but instead argued the *weight* such evidence should be given. For example, the State noted Gleason's age and asked "Is that mitigating in your mind?" *Id.* Or, if life in prison would adequately protect the public, "how much weight do you want to give it?" *Id.* Comparing Gleason to his codefendant, the State observed that the codefendant confessed and led police to the body of Miki Martinez, while Gleason was a "defendant who shot someone, goes to prison for it, gets out and 14 days later robs and stabs an old man, and 23 days later kills two people to keep them silent." *Id.* at 149. The State

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[2] With respect to alleged lack of capacity, Gleason's counsel argued that "It's not a mental illness. It's life. It's life and how he has come to know life over time and the capacity that that has placed him in." Pet. App. 158. Gleason presented no expert or other medical/scientific evidence to support his alleged lack of capacity.

acknowledged that Gleason's brothers were in prison, that Gleason did well when living with his aunt, and that his family loved him, but asked "Does that mitigate his brutal murders?" *Id.* at 150.

Furthermore, the State's closing argument emphasized rather than undermined each juror's role to act individually and independently to consider all mitigating evidence. Indeed, the State began its closing argument by reminding the jury that "you should consider and weigh *everything* admitted into evidence during the guilt or penalty phase that bears on the aggravating or mitigating circumstances." Pet. App. 142 (emphasis added). The State then discussed each of the four aggravating factors it had alleged, *id.* at 143-146, and argued that the State had proven them beyond a reasonable doubt. But the State told the jury that, only if it decided one or more of the aggravating factors had been proven beyond a reasonable doubt would the jury proceed to the next step. *Id.* at 146.

That next step, or the "next instruction" as the prosecutor phrased it, is that, "[y]ou go to the mitigating instruction, and ladies and gentlemen, please take this seriously. This is a man's life that is at stake." Pet. App. 146. The State emphasized that determining mitigating circumstances and whether to exercise mercy is "all up to you. It's to your individual decisions whether or not you want to grant mercy. Your decision." Pet. App. 147. The State went on:

> The determination is your individual choice, which is this section. You don't all have to agree what's mitigating or what's not, and there's no formula for it. You can say this is mitigating. You can say this is mitigating, and I'll give you

> an example in a minute, but it's your individual choice of what to do here.

Pet. App. 147.

After discussing the mitigating evidence, the State reminded the jurors that the State had the burden to prove aggravating factors beyond a reasonable doubt, Pet. App. 150 ("The State has to prove that – the burden to prove beyond a reasonable doubt that there are one or more aggravating circumstances ...."), but that with respect to mitigating circumstances, they are to be considered by each juror: "Individually, you put whatever weight you want on the mitigating circumstances individually or collectively or however you want to do it in your own individual minds ...." *Id.*

Defense counsel also emphasized the jurors' obligation to consider mitigating circumstances individually:

> You're also told in that instruction that mitigators do not have to be proven unanimously. You all have to consider them, but if you believe something is a mitigator, it goes on your scale, it doesn't matter if anyone else places it on theirs. Likewise, you independently weigh those mitigators. Give them the weight, 5 pounds, 10 pounds, 15 pounds, whatever you believe appropriate.

Pet. App. 155. Gleason's counsel closed by arguing that "there's a presumption of life in a capital case in Kansas," *id.* at 161, and "[a]ny one of you who says no, I think that there's mitigation, be it mercy, be it something on the list, be it something of your own that

outweighs aggravation guarantees Sidney life." *Id.* at 162.

The jury was given two alternative verdict forms. The first was quite short, and was to be used if "We, the jury, impaneled and sworn, do upon our oath or affirmation, state that we are unable to reach a unanimous verdict sentencing Sidney Gleason to death." Pet. App. 136.

The second verdict form was two paragraphs. The first paragraph stated that "We, the jury, impaneled and sworn, do upon our oath, or affirmation, unanimously find beyond a reasonable doubt that the following aggravating circumstances have been established by the evidence and that they are not outweighed by any mitigating circumstances found to exist." Pet. App. 137. The second paragraph then listed the four aggravating circumstances the State alleged, and provided a box for the jury to check whether it found each aggravator proven beyond a reasonable doubt. *Id.* at 136-137. The second form concluded with the phrase "and so, therefore, unanimously sentence the defendant to death." *Id.* at 137.

The jury completed the second verdict form, explicitly finding that the State had proven the existence of all four aggravating circumstances beyond a reasonable doubt. Pet. App. 137.[3] The jury further found that these aggravating circumstances were not outweighed by any mitigating circumstances, *id.* at

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[3] On appeal, the Kansas Supreme Court concluded that all four aggravating factors were supported by the evidence. Pet. App. 90-92.

136, and unanimously voted to sentence Gleason to death. *Id.* at 137.

C. The Kansas Supreme Court Invalidates Gleason's Death Sentence Because The Jury Was Not Affirmatively Instructed That Mitigating Circumstances Need Not Be Proved Beyond A Reasonable Doubt.

On appeal, the Kansas Supreme Court affirmed Gleason's capital murder conviction, but reversed his death sentence, Pet. App. 12, finding constitutional error in the jury instructions regarding mitigating circumstances. Pet. App. 93-103. Specifically, the Kansas Supreme Court held that the trial court's failure "to affirmatively inform the jury that mitigating circumstances need not be proved beyond a reasonable doubt" violated the Eighth Amendment to the United States Constitution. Pet. App. 103.

1. The Majority Finds An Eighth Amendment Violation.

The Kansas Supreme Court began its discussion by correctly recognizing that the constitutional standard is set forth in *Boyde v. California*, 494 U.S. 370, 380 (1990): "whether there is a reasonable likelihood that the jury has applied the challenged instruction in a way that prevents the consideration of constitutionally relevant evidence." Pet. App. 94. After briefly discussing the "individualized sentencing" requirement in this Court's cases, *id.* at 94-95, the Kansas court quickly turned to Kansas law and pointed out that the court previously had held that jurors should be informed mitigating circumstances "need to be proved only to the satisfaction of the individual juror in the

juror's sentencing decision and not beyond a reasonable doubt." *Id.* at 96.

The Kansas Supreme Court then opined that this "recommended statement[]" from its prior decisions "implicate[s] the broader Eighth Amendment principle prohibiting barriers that preclude a sentencer's consideration of all relevant mitigating evidence." Pet. App. 99-100. The Kansas court acknowledged that this Court "has explained that its Eighth Amendment jurisprudence on capital sentencing should not be interpreted as creating any constitutional requirements as to how or whether a capital jury should be instructed on the burden of proof for mitigating circumstances." *Id.* at 100 (citing *Walton v. Arizona*, 497 U.S. 639, 649-651 (1990)). The Kansas court further recognized this Court's precedents hold that "[s]o long as a State's method of allocating the burdens of proof does not lessen the State's burden to prove ... aggravating circumstances, a defendant's constitutional rights are not violated by placing on him the burden of proving mitigating circumstances sufficiently substantial to call for leniency." *Id.* (quoting *Walton*, 497 U.S. at 650).

Nevertheless, the Kansas Supreme Court opined that "Kansas' capital sentencing statute differs distinctly from the statute at issue in *Walton*, and that distinction is critical to our analysis here." Pet. App. 100. The "distinction" is that the Kansas "statute is silent as to any burden of proof for mitigating circumstances," *id.* at 101, while the Arizona statute in *Walton* imposed a preponderance of the evidence burden of proof on mitigation. Recognizing, as this Court also has, that "[t]his distinction operates in favor

of Kansas capital defendants," *id.* (quoting *Kansas v. Marsh*, 548 U.S. 163, 173 (2006)), the Kansas court apparently believed that the benefit to defendants is lost unless the jury is explicitly instructed that the mitigating circumstances need not be proven beyond a reasonable doubt.

The Kansas court then concluded that "the instructions as a whole in this case exacerbated rather than cured the instructional error." Pet. App. 102. In particular, the instructions "repeatedly emphasized the State's burden to prove the existence of aggravating circumstances beyond a reasonable doubt," but "never informed or explained to the jury that no particular burden of proof applied to mitigating circumstances." *Id.* In the court's view, the "jury was left to speculate as to the correct burden of proof" and "reasonable jurors *might* have believed they could not consider mitigating circumstances not proven beyond a reasonable doubt." *Id.* (emphasis added). The court held the instructions implicated Gleason's Eighth Amendment right to an individualized sentencing determination and were invalid under the *Boyde* standard. *Id.* at 102-103.

2. The Dissent Finds No Eighth Amendment Violation.

Two justices dissented, because "[t]he majority's conclusion defies the United States Supreme Court's established Eighth Amendment jurisprudence and lacks any persuasive analysis articulating why the circumstances in this case justify a departure from that precedent." Pet. App. 120-121 (Biles, J. dissenting, joined by Moritz, J.). The majority paid "only passing lip service" to the test set forth in *Boyde v. California*, 494 U.S. 370, 380 (1990), before concluding, on "rank

speculation," that an Eighth Amendment violation occurred in this case. *Id.* at 121. The dissent observed:

> The majority's conclusion appears to be that *a per se violation* of the Eighth Amendment occurs if a jury instruction correctly states that the State bears the burden of proving aggravating circumstances beyond a reasonable doubt but fails to affirmatively state that mitigation evidence need not be proved beyond a reasonable doubt. But this alone cannot justify reversal under controlling Eighth Amendment precedent.

Id. at 121-122.

Identifying "[a] fundamental defect in the majority's analysis" as "its failure to distinguish between the Eighth Amendment's constitutional requirements and the Kansas capital sentencing scheme's statutory requirements," Pet. App. 122, the dissent emphasized that even "the majority acknowledges [its] conclusion is inconsistent with *Walton*, which the majority further admits 'should not be interpreted as creating any constitutional requirements as to how or whether a capital jury should be instructed on the burden of proof for mitigating circumstances.'" *Id. (citing Walton,* 497 U.S. at 649-651). The dissent emphasized that the Kansas statute places no burden of proof on mitigation, unlike the Arizona statute in *Walton*, and the dissent was perplexed by the conclusion the majority drew from that distinction: "I fail to see how this distinction is 'critical'—as the majority portrays it—for Eighth Amendment purposes. State law does not define the scope of federal constitutional guarantees." *Id.* at 123.

Pointing out that the majority could only say the instructions "might" or "may" have caused jurors to believe they could not consider mitigating evidence, Pet. App. at 124, the dissent instead analyzed the mitigation evidence presented, the instructions as a whole, and the parties' closing arguments. *Id.* at 124-127. With regard to the evidence, the dissent listed the nine circumstances that Gleason asserted and emphasized that "there was little, if any, dispute about the facts establishing their existence." Pet. App. 124-125. Notably, observed the dissent, the State did not contest the existence of any but possibly one (alleged mental incapacity), instead at most arguing about the weight they should be given. *Id.* at 126.

Looking at the instructions as a whole, the dissent saw "nothing from which to conclude the jury was bewildered by them, or that there is a reasonable likelihood the jurors applied them in a way that prevented their full consideration of Gleason's mitigating factors evidence." *Id.* at 125-126.

Finally, the dissent observed that the "parties' closing arguments further dispel the notion that there is a reasonable likelihood the jury would have applied the instruction in a way that prevented consideration of constitutionally relevant evidence." Pet. App. 126. "In its closing argument, the State repeatedly told the jury it would be each juror's 'individual choice' to decide whether mitigating factors exist based upon 'any evidence' to support a particular factor"; the State "never suggested mitigation had to be proved beyond a reasonable doubt or even under the lower preponderance of the evidence standard." *Id.* Defense counsel, likewise, emphasized that mitigating

circumstances were up to each individual juror to determine, and that any single juror alone could find mercy or some other mitigating circumstance weighed in favor of a life sentence rather than death. Pet. App. 126-127.

Ultimately,

> [t]he instructions, evidence, and arguments of counsel all pointed the jury to do what it was supposed to do in the penalty phase – consider all of the mitigating factors supported by the evidence, as well as mercy for the defendant, and then render a decision on whether the death penalty should be imposed .... There is nothing to show a reasonable likelihood that the jury applied the challenged instructions in a way that prevented consideration of constitutionally relevant evidence.

Pet. App. 127-128. Thus, "the majority's rationale for reversing the sentence fails to conform to Eighth Amendment jurisprudence." *Id.* at 128.

SUMMARY OF THE ARGUMENT

Nothing in this Court's precedents holds that the Eighth Amendment mandates an instruction affirmatively informing a capital sentencing jury that mitigating circumstances are *not* subject to any particular burden of proof. The Constitution only requires that capital sentencing juries be allowed to consider and give effect to all relevant mitigating evidence. *Blystone v. Pennsylvania*, 494 U.S. 299, 307 (1990). So long as the States do not foreclose the consideration of relevant mitigation, they "are free to determine the manner in which a jury may consider mitigating evidence." *Kansas v. Marsh*, 548 U.S. 163, 171 (2006). Thus, to the extent the Kansas Supreme Court held that the Eighth Amendment requires a jury in a capital sentencing proceeding to be *affirmatively instructed* that mitigating circumstances need not be proven beyond a reasonable doubt, that ruling is erroneous.

Even if such an instruction were required as a matter of Kansas law, that circumstance would not establish an Eighth Amendment violation. Instead, the controlling constitutional standard here was articulated in *Boyde v. California*, 494 U.S. 370 (1990), where the Court declared that "the proper inquiry in such a case is whether there is a reasonable likelihood that the jury has applied the challenged instruction in a way that prevents the consideration of constitutionally relevant evidence." *Id.* Applying *Boyde* to this case readily demonstrates the Kansas Supreme Court's error.

The *Boyde* Court relied on three factors to decide whether the jury likely understood the instruction to

prevent the consideration of mitigating evidence. *First*, the Court analyzed the language of the instructions and concluded that there was no reasonable likelihood the jurors would have applied the challenged instruction to prevent consideration of any mitigating circumstances. *Id.* at 381-382. *Second*, the Court considered the actual evidence presented, pointing out the defendant had offered considerable mitigating evidence with no opposition from the prosecution. *Id.* at 384. *Third*, the Court considered the arguments of counsel, and pointed out the prosecutor never suggested the mitigation evidence in question could not be considered. *Id.* at 385. Putting these considerations together, the Court concluded there was no reasonable likelihood the jury read the instructions to prevent the consideration of relevant mitigating evidence.

The same conclusion results in this case when the *Boyde* factors are considered. First, the instructions plainly imposed a beyond a reasonable doubt standard on the State to prove both (1) the existence of aggravating factors and (2) that such factors were not outweighed by any mitigation. But the instructions just as plainly did not impose *any* burden of proof on the defendant to prove mitigating circumstances. Indeed, Instruction No. 7 told the jury that it could consider "any" mitigation "which was presented." Pet. App. 133. The instruction said nothing about considering only mitigation which was "proven," much less proven beyond a reasonable doubt.

In *Buchanan v. Angelone*, 522 U.S. 269 (1998), the Court made clear that the Eighth Amendment does not require detailed instructions on mitigation, or that any particular requirements for mitigation be included in

the jury instructions. Instead, the Court emphasized that, with respect to mitigation, the Eighth Amendment only requires "that the sentencer may not be precluded from considering, and may not refuse to consider, any constitutionally relevant evidence." 522 U.S. at 276. The Court reiterated that principle in *Weeks v. Angelone*, 528 U.S. 225 (2000).

Thus, the Court's decisions make clear that there is no constitutional requirement that states give detailed instructions on mitigation, that they adopt a particular burden of proof for mitigation, that they give explicit instructions that there is no burden of proof for mitigating circumstances, or that the mitigating circumstances need not be proved beyond a reasonable doubt. State law may address those matters, but the Eighth Amendment does not. Instead, the Eighth Amendment simply requires that the jury be permitted to consider and give effect to all relevant mitigating evidence. The jury instructions in this case satisfied that requirement.

Second, as in *Boyde*, the State here did not genuinely contest the existence of the mitigating circumstances presented. Instead, the State readily conceded all but possibly one of the nine circumstances Gleason asserted, and focused its argument on the *weight* the jury should give the proposed mitigators. Finally, the parties' closing arguments, the third factor under *Boyde*, properly and repeatedly emphasized each juror's role to act individually and independently to consider any and all mitigating evidence, further dispelling any possible perception that mitigation was subject to any burden of proof requirement.

Applying the *Boyde* standard, there was no reasonable likelihood that jurors would have applied the instructions in this case to prevent the consideration of any relevant mitigating evidence, or to prevent them from giving whatever individual effect each juror chose to give such evidence. No reasonable juror would have understood these instructions to require proof of mitigating circumstance beyond a reasonable doubt. The Kansas Supreme Court's holding to the contrary is error.

ARGUMENT

I. The Jury Instructions Did Not Violate The Eighth Amendment.

The Kansas Supreme Court is by no means the first court to address the question presented here. But it is the *only* court in the country to hold that the Eighth Amendment mandates an instruction affirmatively informing the jury that mitigating circumstances need *not* be proven beyond a reasonable doubt. That conclusion is erroneous on its face as a matter of general constitutional principles. Furthermore, in finding a reasonable likelihood that the jurors would have read the instructions here to prevent them from considering all mitigating evidence, the Kansas Supreme Court misapplied the *Boyde* standard.

A. The Eighth Amendment Does Not Require Capital Sentencing Juries To Be Instructed That Mitigating Circumstances Need Not Be Proven By Any Particular Standard.

The Eighth Amendment permits a State to impose the death penalty when a jury finds at least one "aggravating factor" and then finds that "the aggravating factors are not outweighed by mitigating circumstances." *Kansas v. Marsh*, 548 U.S. 163, 165 (2006). This Court has held that States have broad leeway in structuring how mitigating circumstances are presented to, considered, and weighed by the jury.

More specifically, the Constitution requires only that capital sentencing juries be allowed "to consider all relevant mitigating evidence," broadly defined. *Blystone v. Pennsylvania*, 494 U.S. 299, 307 (1990); *Graham v. Collins*, 506 U.S. 461, 490 (1993) (Thomas,

J., concurring) ("Our early mitigating cases may thus be read as doing little more than safeguarding the adversary process in sentencing proceedings by conferring on the defendant an affirmative right to place his relevant evidence before the sentencer."). So long as the States do not foreclose the consideration of relevant mitigation, they "are free to determine the manner in which a jury may consider mitigating evidence." *Kansas v. Marsh*, 548 U.S. 163, 171 (2006); *Boyde v. California*, 494 U.S. 370, 374 (1990)). Nothing in Kansas law or the instructions given in this case prevented the jury from considering all relevant mitigating evidence here.

The States' "free[dom] to determine the manner in which a jury may consider mitigating evidence" includes the freedom not to assign a burden of proof for mitigating factors. This Court has never held or suggested that the Eighth Amendment requires the States to assign a particular burden of proof for mitigation. *Blue v. Thaler*, 665 F.3d 647, 668 (5th Cir. 2011) ("No Supreme Court or Circuit precedent constitutionally requires that Texas's mitigation special issue be assigned a burden of proof."). In fact, the Court has held that States may place the burden upon a criminal defendant to prove the existence of mitigating circumstances. *Walton v. Arizona*, 497 U.S. 639, 650-651 (1990), *overruled on other grounds by Ring v. Arizona*, 536 U.S. 584 (2002) ("So long as a State's method of allocating the burdens of proof does not lessen the State's burden to prove every element of the offense charged, or in this case to prove the existence of aggravating circumstances," the State may place the burden to prove mitigation on the defendant.).

The Court likewise has never held or suggested that the Eighth Amendment requires capital sentencing juries to be instructed explicitly and affirmatively that mitigating circumstances need *not* be proven beyond a reasonable doubt. Nor has the Court required an explicit instruction on the lack of any particular burden of proof for mitigation.

The only time such an instruction might be required is where the instructions as a whole otherwise would (*i.e.*, without such an affirmative instruction) create a reasonable likelihood that the jury would understand the instructions to prevent it from considering mitigating circumstances. *Boyde v. California*, 494 U.S. 370 (1990). The instructions here did not create that risk. The Kansas Supreme Court flipped settled constitutional principles on their head when it held that—separate and apart from any purported confusion in the particular instructions given—capital defendants in Kansas suffer constitutional harm when their juries are not instructed explicitly that the mitigating circumstances need *not* be proven beyond a reasonable doubt.

This Court has made clear that the Eighth Amendment does not require that detailed instructions on mitigation be given, or that any particular requirements for mitigation be included in the jury instructions. In *Buchanan v. Angelone*, 522 U.S. 269 (1998), for example, the Court considered and rejected Eighth Amendment challenges to Virginia capital sentencing instructions that neither defined the concept of mitigating evidence nor listed specific categories or examples of mitigating evidence. *Id.* at 272-274. The defendant there argued that the Eighth

Amendment "requires the court to instruct the jury on its obligation and authority to consider mitigating evidence, and on particular mitigating factors deemed relevant by the State." *Id.* at 275. This Court flatly disagreed: "No such rule has ever been adopted by this Court." *Id.*

The Court emphasized that, with respect to mitigation, the Eighth Amendment requires only "that the sentencer may not be precluded from considering, and may not refuse to consider, any constitutionally relevant evidence." 522 U.S. at 276. Otherwise, "the state may shape and structure the jury's consideration of mitigation so long as it does not preclude the jury from giving effect to any relevant mitigating evidence." *Id.* After emphasizing the importance of the *Boyde* standard in the context of challenged mitigation instructions, the Court declared that "we have never gone further and held that the state must affirmatively structure in a particular way the manner in which juries must consider mitigating evidence." *Id.*

Two years after *Buchanan*, this Court again considered—and again rejected—a challenge to Virginia jury instructions on mitigation. In *Weeks v. Angelone*, 528 U.S. 225 (2000), however, unlike *Buchanan*, the jury was not only instructed to consider any mitigating circumstances but also that "[y]ou must consider a mitigating circumstance if you find there is evidence to support it." *Id.* at 232. The Court concluded that these instructions were even more favorable to the defendant than the instructions upheld in *Buchanan* and, thus, "their sufficiency here follows *a fortiori* from *Buchanan*." *Id.* at 233.

In *Weeks*, the trial judge had answered a question from the jury about the effect of mitigating circumstances by directing the jury to reread its instructions on mitigation, but the defendant challenged that response as error. This Court observed that the "jury was adequately instructed, and given that the trial judge responded to the jury's question by directing its attention to the precise paragraph of the constitutionally adequate instruction that answer[ed] its inquiry, the question becomes whether the Constitution requires anything more." 528 U.S. at 234. The Court readily concluded "that it does not." *Id.* Instead, the Court emphasized that a "jury is presumed to follow its instructions," *id.*, and "is presumed to understand a judge's answer to its question." *Id.*

In this case, Gleason's jury never asked the trial court any questions about the instructions, nor did it in any other way indicate or even remotely suggest it had any confusion about the instructions' meaning with respect to mitigation. Moreover, the instructions here were more explicit and favorable to the defendant regarding mitigation than the instructions upheld in *Buchanan*, and at least as favorable as the instructions upheld in *Weeks*.

The Kansas Supreme Court's holding also is undermined by *Kansas v. Marsh*, 548 U.S. 163 (2006). In finding that Kansas' death penalty law satisfies constitutional requirements in *Marsh*, the Court considered, among other things, jury instructions very similar in language and substance to those at issue here. *Compare* Pet. App. 131-133 (jury instructions given here) *with Marsh*, 548 U.S. at 176 (quoting jury instructions given there). The *Marsh* Court observed

that "a Kansas jury is permitted to consider *any* evidence relating to *any* mitigating circumstance in determining the appropriate sentence for a capital defendant, so long as that evidence is relevant." 548 U.S. at 176. The Court further noted that "[t]he [Kansas] mercy jury instruction alone forecloses the possibility of *Furman*-type error as it 'eliminate[s] the risk that a death sentence will be imposed in spite of facts calling for a lesser penalty." 548 U.S. at 176 n.3. In *Marsh*, the Court correctly observed that, under Kansas' law, a defendant "appropriately bears the burden of proffering mitigating circumstances – a burden of production," but never bears a burden of proof. 548 U.S. at 178; *see also* 548 U.S. at 173 ("the Kansas statute ... places no additional evidentiary burden on the capital defendant.").

In the end, the conclusion is inescapable that there is no constitutional requirement that the States give detailed instructions on mitigation, that they adopt a particular burden of proof for mitigation, or that they give explicit instructions that mitigating circumstances need not be proven beyond a reasonable doubt. Instead, the bottom-line constitutional requirement is simply that the jury be permitted to consider and give effect to all relevant mitigating evidence. The jury instructions in this case satisfied that requirement.

The Kansas Supreme Court's largely unexplained and completely unsupported holding to the contrary cannot be squared with this Court's precedent.

B. The Controlling Constitutional Standard For This Case Is Whether There Was A Reasonable Likelihood The Jury Applied The Instructions In A Way That Prevented Consideration Of Mitigating Circumstances.

The controlling constitutional standard here is the one the Court articulated twenty-five years ago in *Boyde v. California*, 494 U.S. 370 (1990), and has applied numerous times since then. In *Boyde*, the Court acknowledged that its prior decisions evaluating jury instructions in capital cases had not always been consistent, 494 U.S. at 378-379, but recognized that "it is important to settle upon a single formulation for this Court and other courts to employ in deciding this kind of federal question." *Id.* at 379.

Like this case, the Court in *Boyde* considered a challenge to "a single jury instruction" that was "not concededly erroneous." *Id.* at 380. Instead, again like this case, the "claim is that the instruction is ambiguous and therefore subject to an erroneous interpretation." *Id.* The Court declared that "the proper inquiry in such a case is whether there is a reasonable likelihood that the jury has applied the challenged instruction in a way that prevents the consideration of constitutionally relevant evidence." *Id.* The Kansas Supreme Court purported to recognize the *Boyde* standard as applicable here, but utterly failed to follow and apply that standard in a way consistent with this Court's precedents.

A review of this Court's analysis in *Boyde* itself demonstrates the Kansas Supreme Court's error. In *Boyde*, a defendant challenged as constitutional error

a California jury instruction on mitigation that did not explicitly reference "background and character" as mitigating evidence but which did inform the jury it could consider "[a]ny other circumstance which extenuates the gravity of the crime even though it is not a legal excuse for the crime." 494 U.S. at 374. In its analysis, the Court relied on three factors to decide whether the jury likely understood the instruction to prevent the consideration of mitigating evidence. Those same factors are all present in this case and were analyzed by the dissenting opinion in the Kansas Supreme Court, but not the majority opinion.

First, the Court in *Boyde* analyzed the language of the instructions and concluded that there was no reasonable likelihood that jurors would have read the challenged instruction to prevent consideration of the defendant's background and character, because such factors obviously may be "circumstance[s] which extenuate[] the gravity of the crime." *Id.* at 381-382. *Second*, the Court considered the evidence presented and the State's response to it, pointing out that the defendant had offered considerable background and character evidence with no opposition from the prosecution: "the introduction without objection of volumes of mitigating evidence certainly is relevant to deciding how a jury would understand an instruction which is at worst ambiguous." *Id.* at 384. *Third*, the Court considered the arguments of counsel, and pointed out that the prosecutor "never suggested that the background and character evidence could not be considered." *Id.* at 385. Instead, he "explicitly assumed that petitioner's character evidence was a proper factor ... but argued that it was minimal in relation to the aggravating circumstances ...." *Id.*

Putting these three considerations together, the Court in *Boyde* concluded that there was no reasonable likelihood the jury read the instructions to prevent the consideration of relevant mitigating evidence. The Court has applied the three factors in other cases challenging mitigation instructions. For example, in *Buchanan v. Angelone*, 522 U.S. 269, 277-279 (1998), the Court first pointed out that the language of the Virginia instructions "did not foreclose the jury's consideration of any mitigating evidence," 522 U.S. at 277, and indeed told the jury to base its decision on "all the evidence." *Id.* Next, the Court observed "there were two days of testimony relating to petitioner's family background and mental and emotional problems," *id.* at 278, concluding that "[i]t is not likely that the jury would disregard this extensive testimony in making its decision, particularly given the instruction to consider 'all the evidence.'" *Id.* The Court then addressed the third factor: "Further buttressing this conclusion are the extensive arguments of both defense counsel and the prosecutor .... The parties in effect agreed that there was substantial mitigating evidence and that the jury had to weigh that evidence ... in making a discretionary decision ...." *Id.* at 278-279.

The same conclusion results in this case when the *Boyde* factors are considered, as addressed in detail in Section I.C. below.

C. There Is No Reasonable Likelihood The Jury Understood The Instructions Here To Prevent Consideration Of Mitigating Circumstances.

The Kansas Supreme Court's analysis of the jury instructions under the *Boyde* standard is deeply flawed. The instructions here did not impose *any* burden of proof on the defendant to prove mitigating circumstances; they certainly did not impose a "beyond a reasonable doubt" standard. Instead, the instructions as a whole, the evidence presented to the jury, and the arguments of counsel did not create any reasonable likelihood the jury would have understood the instructions to prevent the jury from considering any of the mitigating circumstances unless it found Gleason proved them beyond a reasonable doubt.

1. The Jury Instructions Here Did Not Confuse The Jurors, Nor Preclude Individual Jurors From Considering Any And All Mitigation.

The first *Boyde* factor is to analyze the actual language of the instructions. The instructions here imposed a beyond a reasonable doubt standard on the State to prove both (1) the existence of aggravating factors and (2) that such factors were not outweighed by mitigating circumstances. But the instructions plainly did not impose *any* burden on the defendant to prove mitigating circumstances.

For mitigating circumstances, the jury was instructed on the nine circumstances Gleason asserted, and also told it could consider essentially anything as

mitigation, including "mercy," and do so as individual jurors:

> Mitigating circumstances are those which in fairness may be considered as extenuating or reducing the degree of moral culpability or blame or which justify a sentence of less than death, even though they do not justify or excuse the offense.
>
> *****
>
> Mitigating circumstances are to be determined by each individual juror when deciding whether the State has proved beyond a reasonable doubt that the death penalty should be imposed. The same mitigating circumstances do not need to be found by all members of the jury in order to be considered by an individual juror in arriving at his or her sentencing decision.

Pet. App. 131-133. Notably, the jury also was instructed that it could consider "any" mitigation "presented":

> You may further consider as a mitigating circumstance *any* other aspect of the defendant's character, background or record, and *any* other aspect of the offense which was *presented* in either the guilt or penalty phase which you find may serve as a basis for imposing a sentence less than death.

Id. at 133 (emphasis added). The jury was never told that it could consider mitigation only if such circumstances were "proven," much less proven beyond a reasonable doubt.

The instructions on their face thus emphasized that the jurors could consider "any" evidence "presented" as possible mitigation, including "mercy," and that such circumstances were "to be determined by each individual juror." Nowhere do the instructions ever suggest that a burden of proof applies to mitigation. To the contrary, the fairest reading of them is that determining and considering mitigation is within the completely unfettered discretion of each individual juror. Indeed, there does not even have to be evidence *at all*, to the extent a juror is willing to exercise mercy. The instructions would permit a juror to vote for a life sentence even if no mitigation evidence was presented, so long as the juror concluded that "mercy" outweighed any aggravating factors found to exist.

Other state supreme courts uniformly have rejected the reading the Kansas Supreme Court gave the jury instructions here. For example, in *People v. Welch*, 976 P.2d 754 (Cal. 1999), the California Supreme Court rejected the rationale the Kansas Supreme Court relied on in this case: "because the trial court instructed specifically that the reasonable doubt standard applied (partially erroneously) to aggravating factors, and mentioned nothing about mitigating factors, the reasonable juror would infer that no such reasonable doubt standard applied to mitigating factors." 976 P.2d at 797.

Likewise, in *Dawson v. State*, 637 A.2d 57, 64-65 (Del. 1994), the Delaware Supreme Court held that jury instructions that included a "beyond a reasonable doubt" standard for aggravating circumstances but were silent with respect to the burden for mitigating circumstances were not ambiguous and would not be

understood by the jury to prevent consideration of mitigating circumstances unless proven beyond a reasonable doubt. "[I]n the absence of express guidance concerning the proper burden of proof to establish the existence of mitigating circumstances," courts should *not* "assume that the jury applied the same 'beyond a reasonable doubt' standard of proof that it was instructed to use in determining whether the State had established the existence of statutory aggravating circumstances," 637 A.2d at 64-65.

The Indiana Supreme Court also rejected the Kansas Supreme Court's reading of materially indistinguishable instructions in *Matheney v. State*, 688 N.E.2d 883, 902 (Ind. 1997). Indiana places on capital defendants the burden of proving mitigating circumstances by a preponderance of the evidence, but in *Matheny*, the trial court failed to give an instruction on that standard. 688 N.E.2d at 902. The Indiana Supreme Court found no federal constitutional error, observing that "the absence of an instruction so stating, without more, does not necessarily suggest to jurors that mitigating circumstances need be proven beyond a reasonable doubt." 688 N.E.2d at 902. In Indiana, as in Kansas, "[a]ll instructions to a jury on reasonable doubt place that burden on the State." 688 N.E.2d at 902. Thus, "[t]here is no inference in any portion of a trial that defendant's evidence comes under that scrutiny." 688 N.E.2d at 902. Nor might a jury mistakenly assume that a beyond a reasonable doubt standard applies to mitigating circumstances in that context "[w]ithout something specific in the given instructions which would clearly lead a jury to such a misunderstanding." 688 N.E.2d at 902.

In fact, the instructions here are similar in many key respects to instructions the Court upheld against an analogous challenge in *Smith v. Spisak*, 558 U.S. 139 (2010), a federal habeas case. In *Spisak*, the trial court instructed the jury on specific aggravating circumstances, told the jury it had to find such circumstances proven beyond a reasonable doubt, discussed the concept of "mitigating factors," told the jury it could take account of any mitigating factors relevant to sentencing, and then reiterated that the State had the burdens to prove beyond a reasonable doubt (1) the existence of aggravating factors and (2) that any such factors outweighed mitigating factors. *Id.* at 146-147. The instructions did not say that mitigating circumstances had to be found unanimously.

This Court rejected the defendant's argument that the jury might have read the instructions to require jury unanimity with respect to mitigation, pointing out that "the instructions did not say that the jury must determine the existence of each individual mitigating factor unanimously." 558 U.S. at 148. "Neither the instructions nor the forms said anything about how—nor even whether—the jury should make individual determinations that each particular mitigating circumstance existed." *Id.* Instead, the instructions and verdict forms (as they did for Gleason here), "focused only on the overall balancing question." *Id.* The Court concluded that "the instructions and verdict forms did not clearly bring about, either through what they said or what they implied," any constitutional error cognizable under AEDPA. *Id.* at 148-149.

Finally, and notably, the United States Military capital sentencing procedures also make no provision for any burden of proof regarding mitigating circumstances, but, like here, they do impose a "beyond a reasonable doubt" standard on aggravating factors. Rule of Court Martial 1004 is the relevant rule. *See, e.g.*, RCM 1004(b)(3) *Evidence in extenuation and mitigation*. ("The accused shall be given broad latitude to present evidence in extenuation and mitigation."); *id.* at (b)(4)(C) *Necessary findings*. ("All members concur that any extenuating or mitigating circumstances are substantially outweighed by any aggravating circumstances ...."); *id.* at (b)(6) *Instructions*. (* * * The military judge shall instruct the members that they must consider all evidence in extenuation in mitigation before they may adjudge death."); *cf. id.* at (c) *Aggravating factors*. ("Death may be adjudged only if the members find, beyond a reasonable doubt, one or more of the following aggravating factors ....").[4]

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[4] Unlike the U.S. military provisions, the rule in federal, non-military, death penalty cases is to explicitly instruct the jury that mitigating circumstances must be established by a preponderance standard. But that rule is imposed by a statutory requirement, not by any decision declaring it to be an Eighth Amendment mandate. *See* 18 U.S.C. § 3593(c) ("The burden of establishing the existence of any mitigating factor is on the defendant, and is not satisfied unless the evidence of such a factor is established by a preponderance of the information."); Tenth Circuit Pattern Crim. Jury Instr. 3.10 *Mitigating Factors* ("The defendant need only prove mitigating factors by a preponderance of the evidence."); Eighth Circuit Model Crim. Jury Instr. 12.02 *Burden of Proof* ("It is the defendant's burden to establish any mitigating factors, by the [preponderance][greater weight] of the evidence.").

The Kansas Supreme Court stands alone on the question presented. In a jurisdiction like Kansas, which imposes no burden of proof at all on mitigating circumstances, the Kansas Supreme Court's asserted discovery of an Eighth Amendment requirement that juries be affirmatively and explicitly instructed that mitigating circumstances need *not* be proven beyond a reasonable doubt is an outlier. The Kansas Court's misguided attempt to turn this Court's well-settled Eighth Amendment principles on their head is both legally and logically unsupportable.

2. The State Did Not Contest Gleason's Mitigation Evidence, And The Parties' Closing Arguments Properly Emphasized Each Individual Juror's Obligation To Consider Any And All Mitigation.

The second *Boyde* factor to consider in determining whether there was a reasonable likelihood the jury read its instructions to prevent consideration of mitigating circumstances is the mitigation evidence the defendant actually presented and the State's response to that evidence. A third factor, which may well intertwine with the second, is the parties' closing arguments about the evidence and the instructions. Here, Gleason asserted nine factors as mitigation, but the State logically could not have contested any of them except one. In particular, the State could not have contested (and did not contest) factors such as Gleason's age, the participation of his codefendant, his codefendant's sentence, the imprisonment of his mother and brothers, and the love of his family. Instead, the State readily conceded those factors. *See, e.g.*, Pet. App. 148 (acknowledging Gleason was 24), 149 ("There's no

question about" his codefendant's participation), 149 ("Absolutely. Okay", his codefendant got a life sentence with parole eligibility), 149 ("not a dispute" that Gleason' mother went to prison), 150 ("all three of her sons in custody. No question. They are."), 150 ("Everyone in the world has someone who loves them.").

The only factor the State even arguably challenged was Gleason's alleged lack of capacity to understand his crimes. On that factor, the State responded simply and briefly: "Did you hear any evidence about that?" Pet. App. 148, a question entirely consistent with the burden of production this Court has recognized a capital defendant in Kansas bears in the penalty phase. *Marsh*, 548 U.S. at 173, 178. And, in fact, Gleason offered *no* expert witness, or any other medical/scientific evidence to support his alleged lack of capacity. Even Gleason's counsel readily acknowledged that Gleason was not claiming mental illness or anything of that nature; instead Gleason was just arguing that his life had included many bad experiences that affected his judgment. Pet. App. 158.

In closing arguments, the third factor under *Boyde*, the State's discussion of mitigation did not deny the existence of Gleason's evidence but instead argued the *weight* such evidence should be given. For example, the State noted Gleason's age and asked "Is that mitigating in your mind?" *Id*. Or, if life in prison would adequately protect the public, "how much weight do you want to give it?" *Id*. Comparing Gleason to his codefendant, the State observed that the codefendant confessed and led police to the body of Miki Martinez, while Gleason was a "defendant who shot someone, goes to prison for it,

gets out and 14 days later robs and stabs an old man, and 23 days later kills two people to keep them silent." *Id.* at 149. The State acknowledged Gleason's brothers were in prison, that Gleason did well when living with his aunt, and his family loved him, but asked "Does that mitigate his brutal murders?" *Id.* at 150.

Further, the parties' closing arguments emphasized rather than undermined each juror's role to act individually and independently to consider all mitigating evidence. Indeed, the State began its closing argument by reminding the jury that "you should consider and weigh *everything* admitted into evidence during the guilt or penalty phase that bears on the aggravating or mitigating circumstances." Pet. App. 142 (emphasis added). The State then discussed each of the four aggravating factors it had alleged, *id.* at 143-146, and argued that the State had proven them beyond a reasonable doubt. But the State told the jury that only if it decided one of more of those factors had been proven beyond a reasonable doubt would the jury proceed to the "next instruction." *Id.* at 146.

That "next instruction" was that "[y]ou go to the mitigating instruction, and ladies and gentlemen, please take this seriously. This is a man's life that is at stake." Pet. App. 146. The State emphasized that determining mitigating circumstances and whether to exercise mercy is "all up to you. It's to your individual decisions whether or not you want to grant mercy. *** Your decision." Pet. App. 147. The State went on:

> The determination is your individual choice, which is this section. You don't all have to agree what's mitigating or what's not, and there's no formula for it. You can say this is mitigating.

> You can say this is mitigating, and I'll give you an example in a minute, but it's your individual choice of what to do here.

Pet. App. 147.

After discussing the mitigating evidence, the State reminded the jurors that the State had the burden to prove aggravating factors beyond a reasonable doubt, Pet. App. 150, but that with respect to mitigating circumstances, they are to be considered by each juror: "Individually, you put whatever weight you want on the mitigating circumstances individually or collectively or however you want to do it in your own individual minds ...." *Id.*

Gleason's counsel also emphasized the jurors' duty to consider mitigating circumstances individually:

> You're also told in that instruction that mitigators do not have to be proven unanimously. You all have to consider them, but if you believe something is a mitigator, it goes on your scale, it doesn't matter if anyone else places it on theirs. Likewise, you independently weigh those mitigators. Give them the weight, 5 pounds, 10 pounds, 15 pounds, whatever you believe appropriate.

Pet. App. 155. His counsel closed by arguing that "there's a presumption of life in a capital case in Kansas," *id.* at 161, and "[a]ny one of you who says no, I think that there's mitigation, be it mercy, be it something on the list, be it something of your own that outweighs aggravation guarantees Sidney life." *Id.* at 162.

Based on the extensive evidence of mitigation actually presented without challenge, and the parties' closing arguments, there simply is no reasonable likelihood that jurors would have understood the instructions in this case to prevent the consideration of mitigating evidence, or to prevent the jurors from giving whatever individual effect each of them chose to give such evidence. The Eighth Amendment requires no more. Certainly, no reasonable juror would have understood these instructions to require proof of mitigating circumstance beyond a reasonable doubt. The Kansas Supreme Court's unique holding to the contrary is legally insupportable and contradicted by the record in this case.

CONCLUSION

The State of Kansas requests that the Court reverse the decision of the Kansas Supreme Court.

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June 2015

FILED
AUG - 3 2015
OFFICE OF THE CLER

No. 14-452

IN THE

Supreme Court of the United States

STATE OF KANSAS,

Petitioner,

v.

SIDNEY J. GLEASON,

Respondent.

On Writ of Certiorari to the Supreme Court of Kansas

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CAPITAL CASE

QUESTION PRESENTED

Whether jury instructions that repeatedly emphasize the jury's obligation to make findings as to both aggravating and mitigating circumstances, repeatedly instruct that the jury must "weigh" those circumstances, and repeatedly identify the evidentiary standard for aggravating circumstances as "beyond a reasonable doubt" while remaining wholly silent as to the defendant's burden of proof regarding mitigation evidence, are reasonably likely to confuse the jury and prompt it to disregard relevant mitigation evidence in violation of the Eighth Amendment.

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INTRODUCTION

The narrow question presented is whether the penalty phase jury in this case, deciding between life and death, had to be instructed as to the applicable burden of proof for mitigation evidence. Respondent Sidney J. Gleason does not assert that trial courts must give such instructions in each and every capital sentencing proceeding. Instead, such instructions must be given where there exists a reasonable likelihood of confusion because those same jurors are repeatedly instructed that they are to weigh aggravating evidence against mitigating evidence and that they must make findings as to both aggravating and mitigating evidence, but the only burden of proof mentioned with respect to *both* findings is "beyond a reasonable doubt."

The instructions to Mr. Gleason's penalty phase jury were silent as to his burden of proof for mitigating evidence. This created a substantial risk that those jurors reached the common-sense conclusion that 'like must be compared with like' in the weighing process and thus dismissed mitigating facts that the defense had not proved to the same degree as the prosecution had proved the aggravating circumstances. And the prosecutor's closing arguments exacerbated that risk by repeatedly challenging the defense's proof of mitigating factors, asking the jury whether the defense had in fact proffered evidence proving several of the mitigating factors or whether, on the basis of the evidence presented, a mitigating circumstance could be found to exist.

Petitioner Kansas attacks a straw man in its brief. Mr. Gleason's position is emphatically not that "the Eighth Amendment . . require[s] capital sentencing juries to be instructed that mitigating circumstances need not be proven by any particular standard." Pet'r

Br. 23 (capitalizations omitted). Nor was this the reasoning or holding of the Kansas Supreme Court. Instead, Mr. Gleason relies upon this Court's precedents holding that jurors must be able to give meaningful effect to a defendant's mitigation evidence. Thus, jury instructions that prevent them from doing so—expressly or through misleading ambiguity—are unacceptable. And where a particular set of jury instructions is flawed to a degree that arbitrariness or capriciousness may result, even from the jury's careful attention to its instructions, resentencing is necessary to ensure that a sentence of death is founded upon unassailable grounds.[1]

STATEMENT OF THE CASE

1. *Background.*

In view of the narrow question presented, Mr. Gleason sees no purpose in burdening the Court with a counterstatement of the facts. Mr. Gleason will discuss the relevant facts as he presents his arguments. An exhaustive account of the underlying facts can be found in Mr. Gleason's opening brief before the Kansas Supreme Court (No. 06-97296-S) at 3-42.

2. *The Penalty Phase Instructions.*

The penalty phase instructions were just thirteen in number and they are reproduced in the Appendix to this brief. See App. 1a-7a. Those instructions were remarkably consistent in obligating the jury to make findings regarding mitigating evidence, and ut-

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[1] The question presented here is also present in *Kansas* v. *Jonathan D. Carr*, Docket No. 14-449 (filed Aug. 3, 2015). Jonathan respectfully joins (and has incorporated) all of the arguments presented herein. *See* Brief for Respondent Jonathan D. Carr at 44-46.

terly silent as to what the applicable evidentiary burden, if any, would be.

Six of the thirteen instructions expressly refer to a process of making findings with respect to mitigating circumstances, and four of those instructions use the same phrase: "mitigating circumstance[s] found to exist." (Instruction Nos. 7, 8, 10 & 12) See App. 3a-5a. The two others (Instruction Nos. 1 & 9) refer to mitigating facts "shown" or "found" to exist, or to facts as you "find" them. See App. 1a, 5a. Instruction No. 7 uses this construction as well. *Id.* at 3a-4a. The one instruction (Instruction No. 6) that is solely devoted to defining aggravating circumstances and to specifying those alleged against Mr. Gleason makes no mention of the evidentiary burden at all. *Id.* at 2a-3a.

Yet each of the three instructions on the weighing process that *does* specify the use of a "beyond a reasonable doubt" evidentiary standard for aggravating circumstances mentions, in the very same sentence, the process of finding mitigating circumstances as well. See App. 4a-6a (Instruction Nos. 8, 10, 12); see also Pet. App. 137 (Verdict Form). Instruction No. 8, for example, is the critical instruction concerning the burden of proof and states, *in toto*:

> The State has the burden to prove beyond a reasonable doubt that there are one or more aggravating circumstances and that they are not outweighed by any mitigating circumstance found to exist.

App. 4a-5a.

The next instruction describes weighing, but makes no attempt at any distinction between aggravators and mitigators: "[i]n making the determination whether aggravating circumstances exist that are not

outweighed by any mitigating circumstances found to exist," the jury's decision should not be determined simply "by the number of aggravating or mitigating circumstances shown to exist." App. 5a (Instruction No. 9).

The instruction that defines "mitigating circumstances" and specifies those offered by Mr. Gleason (Instruction No. 7), contains no statement about the applicable burden of proof. See App. 3a-4a. While the instruction does caution that "[t]he same mitigating circumstances do not need to be found by all members of the jury in order to be considered by an individual juror," it continues to emphasize the fact-finding process: "[e]ach of you must consider every mitigating circumstance *found to exist*." *Id.* at 4a (emphasis added).

Instruction No. 10 again restates the evidentiary burden for finding aggravating circumstances in the weighing process against mitigating circumstances also found to exist, but makes no distinction between the fact-finding exercises: "[i]f you find unanimously beyond a reasonable doubt that one or more of the aggravating circumstances exist and that they are not outweighed by any mitigating circumstances found to exist, then you shall impose a sentence of death." App. 5a. The first option specified in Instruction No. 12 (imposing a death sentence) is worded in the same fashion, *id.* at 6a, as is the verdict form itself. Pet. App. 137.

3. *The Closing Arguments.*

The prosecutor's closing argument in Mr. Gleason's case only served to exacerbate the risk that jurors would consider aggravating circumstances and mitigating circumstances in parallel and under the same standard of proof. For example, in addressing Mr.

Gleason's proffered mitigating circumstances as set forth in Instruction No. 7, the prosecutor stated: "[t]he defense contends some of these are mitigating. Okay. Now, this is what they contend. The question for you is do they exist? *Was there evidence to prove them?*" Pet. App. 147 (emphasis added).

With respect to some of the specific mitigating facts, the prosecutor again challenged whether the defense had brought forth proof, or sufficient proof. "Did you hear any evidence that [Mr. Gleason] somehow impaired his ability to follow society's laws .?" Pet. App. 148. With respect to a term of imprisonment being sufficient to protect the public, the prosecutor queried the jury: "[c]an you find that one to exist based upon the evidence? Did you hear any evidence of that?" *Id.* Even the prosecutor's description of the weighing process did nothing more than entrench the ambiguity of the jury instructions themselves: "[a]nd here's how you do it. The State has to prove that—the burden to prove beyond a reasonable doubt that there are one or more aggravating circumstances and that they are not outweighed by any mitigating circumstances found to exist." *Id.* at 150.

4. *The Kansas Supreme Court's Decision.*

The Kansas Supreme Court began with its prior decisions in *State* v. *Kleypas*, 40 P.3d 139 (Kan. 2001), and *State* v. *Scott*, 183 P.3d 801 (Kan. 2008). Those opinions interpreted the State's capital sentencing statute, Kan. Stat. Ann. § 21-4624(e), to require sentencing juries to be instructed that "mitigating circumstances need only be proven to the satisfaction of the individual juror and not beyond a reasonable doubt." Pet. App. 96-97. The Kansas court recognized that this Court's precedents "should not be interpreted as creating any constitutional requirements as to how or whether a capital jury should be instructed on

the burden of proof for mitigating circumstances." *Id.* at 100 (citing *Walton* v. *Arizona*, 497 U.S. 639, 649-51 (1990)). But the Kansas court noted, as had this Court, that the Kansas capital sentencing statute was more favorable than Arizona's in the *Walton* case because a Kansas defendant bears no burden with respect to proving mitigating evidence. *Id.* at 100-01 (citing *Kansas* v. *Marsh*, 548 U.S. 163, 173 (2006)). The Kansas court explained that the explicit burden-of-proof instruction required by *Kleypas* and *Scott* "preserves the statute's favorable distinction" as noted in *Marsh*. A failure to give the instruction is therefore inconsistent with the statute, and also "implicate[s]" the Eighth Amendment's protections. *Id.* at 102.

The Kansas Supreme Court further concluded that, taking the jury instructions in Mr. Gleason's case as a whole, the instructional error had been "exacerbated" rather than mitigated. Pet. App. 102. That is because the instructions repeatedly emphasized the "beyond a reasonable doubt" standard for aggravating circumstances, but "never informed or explained to the jury that no particular burden of proof applied to mitigating circumstances." *Id.* Thus, Mr. "Gleason's jury was left to speculate as to the correct burden of proof for mitigating circumstances and reasonable jurors might have believed they could not consider mitigating circumstances not proven beyond a reasonable doubt." *Id.*

"Because K.S.A. 21-4624 expressly burdens the State with proving the existence of aggravating circumstances beyond a reasonable doubt," the Kansas Court explained, "but places no evidentiary burden regarding the existence of mitigating circumstances on the defendant beyond the burden of production, we reiterate our holding in *Kleypas* and *Scott* that capi-

tal juries in Kansas must be informed that mitigating circumstances need not be proven beyond a reasonable doubt." Pet. App. 102.

SUMMARY OF ARGUMENT

1. Capital punishment is unlike any other form of criminal sanction. Because of the death penalty's unique nature, the Eighth Amendment imposes certain procedural requirements on capital sentencing trials that are aimed at protecting two crucial values.

First, because an execution is irrevocable, the Constitution demands a high degree of confidence that death is the appropriate penalty in a given case. *Mills* v. *Maryland*, 486 U.S. 367, 383-84 (1988). Arbitrariness, confusion, and inconsistency in the imposition of a capital sentence cannot be tolerated. *Beck* v. *Alabama*, 447 U.S. 625, 643 (1980).

Second, the death penalty's difference in kind from other forms of punishment "underscores the need for individualized consideration as a constitutional requirement." *Lockett* v. *Ohio*, 438 U.S. 586, 605 (1978) (plurality). Only when a sentencing jury has considered all relevant evidence bearing on why a death sentence should *not* be imposed can society be confident that the jury has made a reliable determination that death is the appropriate punishment. *Penry* v. *Lynaugh* (*Penry I*), 492 U.S. 302, 319 (1989), *abrogated on other grounds by Atkins* v. *Virginia*, 536 U.S. 304 (2002).

This Court has given effect to these principles by holding that a sentencing jury may "not be precluded from considering, *as a mitigating factor*, any aspect of a defendant's character or record and any of the circumstances of the offense that the defendant proffers as a basis for a sentence less than death." *Mills*, 486

U.S. at 374 (citation omitted). This requirement is violated by jury instructions that preclude consideration of relevant mitigating evidence. That includes jury instructions that, although susceptible to a permissible construction, create a reasonable likelihood that the jury will believe it is precluded from giving effect to mitigating evidence offered by the defendant. See *Boyde* v. *California*, 494 U.S. 370, 379-80 (1990); *Mills*, 486 U.S. at 384.

2. The jury instructions in this case flunk that test. In Kansas, no burden of proof applies to the defendant's mitigation evidence; the jury can consider and weigh any and all mitigating evidence the defendant introduces. Pet. App. 102. But the instructions in this case were totally silent on that point. Instead, they repeatedly emphasized the "beyond a reasonable doubt" standard that applies to the State—including when discussing how the jurors should find and weigh both aggravating *and* mitigating circumstances. By repeating only one burden of proof while instructing the jury to make findings as to both sets of factors, the instructions created a reasonable likelihood that the jurors would conclude that the evidence had to support mitigating circumstances to the same degree as aggravating circumstances before the jury could consider them in the weighing process. The instructions exacerbated this problem by suggesting, in, other ways that mitigating circumstances and aggravating circumstances should be evaluated the same way, and implying that mitigating circumstances must meet *some* threshold of proof before they can be considered. Read as a whole, the instructions were reasonably likely, at a minimum, to preclude the jury from giving effect to relevant mitigating evidence.

3. Nothing in the presentation of evidence or the parties' arguments served to alleviate these prob-

lems. Setting aside the fact that these other considerations cannot trump the language of the instructions themselves, the prosecutor's arguments merely bolstered the perception that the jury could not consider Mr. Gleason's proposed mitigating circumstances unless there was sufficient "evidence to prove them." Pet. App. 147. Indeed, the prosecutor directly challenged Mr. Gleason's ability to "prove" some of his mitigators. Likewise, the mere fact that mitigation evidence was introduced without objection establishes nothing, because the problem here is whether the jury understood that such evidence need not be "prove[d]" against any particular standard before it could be weighed against the aggravating circumstances.

4. Contrary to the State's dire predictions, affirming the Kansas Supreme Court's judgment in this case would not threaten the integrity of existing death sentences and capital punishment regimes. Most American jurisdictions, including the federal courts, already affirmatively instruct juries on the defendant's burden of proof to show mitigating circumstances. Those jurisdictions will not be affected by the Court's ruling in this case. In any event, affirmance does not require a novel constitutional rule, as the State contends, but merely a straightforward application of this Court's decisions in *Boyde* and *Mills* to the specific instructions at issue here. Other jury instructions that do not mention the defendant's burden of proof may pass constitutional muster if they do not share the problematic features of the instructions in this case.

5. In the alternative, the Court should decline to reach the merits of the Eighth Amendment issue because the judgment below rests on an adequate and independent state ground. The Kansas Supreme

Court's decision was based on its prior cases holding that state law requires an affirmative jury instruction on the defendant's burden of proof. Despite the fact that this trial took place five years after the Kansas Court's initial decision in *Kleypas*, the trial court in this case failed to give that instruction. Vacatur was therefore justified as a matter of state law.

To be sure, the Kansas Supreme Court concluded (correctly) that the Eighth Amendment was also "implicated" by the trial court's failure to give the required instruction, but state law provides an adequate and independent basis for the result reached below. Reviewing that judgment will not change the outcome on remand—resulting in an advisory opinion—and will also interfere with the People of Kansas' right to afford criminal defendants different or greater protections than the federal Constitution may require.

ARGUMENT

I. THE JURY INSTRUCTIONS CREATED A REASONABLE LIKELIHOOD THAT JURORS WOULD NOT GIVE EFFECT TO MITIGATING CIRCUMSTANCES.

A. Capital Sentencing Juries Must Be Able To Consider And Give Effect To All Relevant Mitigating Evidence.

Jury instructions that are reasonably likely to prevent the jury from considering or giving effect to all relevant mitigating evidence violate the Eighth Amendment. This rule stems from the unique nature of capital punishment, which requires both scrupulous adherence to procedural requirements and an individualized assessment of the defendant's crime, characteristics, and culpability.

1. A "sentence of death differs in kind from any sentence of imprisonment, no matter how long." *Rummel* v. *Estelle*, 445 U.S. 263, 272 (1980). "From the point of view of society, the action of the sovereign in taking the life of one of its citizens also differs dramatically from any other legitimate state action." *Gardner* v. *Florida*, 430 U.S. 349, 357-58 (1977) (plurality). Capital sentencing therefore requires a higher degree of confidence in the integrity of the process and a far lower tolerance for confusion or error than is acceptable in other contexts. See *California* v. *Ramos*, 463 U.S. 992, 998-99 (1983); *Ake* v. *Oklahoma*, 470 U.S. 68, 87 (1985). "The decision to exercise the power of the State to execute a defendant is unlike any other decision citizens and public officials are called upon to make. Evolving standards of societal decency have imposed a correspondingly high requirement of reliability on the determination that death is the appropriate penalty in a particular case." *Mills*, 486 U.S. at 383-84; see also *Ford* v. *Wainwright*, 477 U.S. 399, 411 (1986) (plurality) ("[i]n capital proceedings generally, this Court has demanded that factfinding procedures aspire to a heightened standard of reliability. This especial concern is a natural consequence of the knowledge that execution is the most irremediable and unfathomable of penalties; that death is different."). Rules and practices that "introduce a level of uncertainty and unreliability cannot be tolerated in a capital case." *Beck*, 447 U.S. at 643.

2. In addition, the death penalty's difference in kind from other forms of punishment "underscores the need for individualized consideration as a constitutional requirement." *Lockett*, 438 U.S. at 605. "The need for treating each defendant in a capital case with the degree of respect due the uniqueness of the

individual is far more important than in noncapital cases." *Id.* Accordingly, a "jury must be allowed to consider on the basis of all relevant evidence not only why a death sentence should be imposed, but also why it should not be imposed." *Blystone* v. *Pennsylvania*, 494 U.S. 299, 304 (1990) (citation omitted). "Only then can we be sure that the sentencer has treated the defendant as a 'uniquely individual human bein[g]' and has made a reliable determination that death is the appropriate sentence." *Penry I*, 492 U.S. at 319 (alteration in original). As a result, "[t]he Constitution *requires* States to allow consideration of mitigating evidence in capital cases. Any barrier to such consideration must therefore fall." *McKoy* v. *North Carolina*, 494 U.S. 433, 442 (1990).

However, "it is not enough simply to allow the defendant to present mitigating evidence to the sentencer." *Penry I*, 492 U.S. at 319. "The sentencer must also be able to consider and give effect to that evidence in imposing sentence." *Id.* Thus, "in a capital case 'the sentencer [may] not be precluded from considering, *as a mitigating factor*, any aspect of a defendant's character or record and any of the circumstances of the offense that the defendant proffers as a basis for a sentence less than death,'" and, as a corollary to that principle, cannot be "precluded from considering any relevant mitigating evidence." *Mills*, 486 U.S. at 374-75 (emphasis omitted) (citation omitted); see *State* v. *Marsh*, 548 U.S. 163, 171 (2006) ("[a]s a requirement of individualized sentencing, a jury must have the opportunity to consider all evidence relevant to mitigation . . ."). And "it is not relevant whether the barrier to the sentencer's consideration of all mitigating evidence is interposed by statute, by the sentencing court, or by an evidentiary ruling." *Mills*, 486 U.S. at 375 (citations omitted); see,

e.g., *Skipper* v. *South Carolina*, 476 U.S. 1, 4-8 (1986) (vacating death sentence where defendant was prevented from putting on testimony relevant to mitigation).

It is further established that this requirement is violated by jury instructions that preclude the jury from considering or giving effect to relevant mitigating evidence. *E.g.*, *Penry I*, 492 U.S. at 320 (vacating death sentence where the "jury was never instructed that it could consider the evidence offered by Penry as *mitigating* evidence and that it could give mitigating effect to that evidence in imposing sentence"). This proscription includes jury instructions that, although susceptible to a permissible construction, are reasonably likely to be applied by the jurors in a way that prevents them from giving effect to mitigating evidence offered by the defendant. See, *e.g.*, *Mills*, 486 U.S. at 384 (vacating death sentence where there was "a substantial probability that reasonable jurors . well may have [erroneously] thought they were precluded from considering any mitigating evidence unless all 12 jurors agreed on the existence of a particular such circumstance").

Where an ambiguous jury instruction may have had this effect, "the proper inquiry is whether there is a reasonable likelihood that the jury has applied the challenged instruction in a way that prevents the consideration of constitutionally relevant evidence." *Boyde*, 494 U.S. at 380. Under this standard, the mere "possibility" of such confusion does not violate the Eighth Amendment, but "a defendant need not establish that the jury was more likely than not to have been impermissibly inhibited by the instruction." *Id.*; *accord Johnson* v. *Texas*, 509 U.S. 350, 367 (1993). In conducting this inquiry, the Court "do[es] not engage in a technical parsing of this lan-

guage of the instructions, but instead approach[es] the instructions in the same way that the jury would," with a "commonsense" view. *Johnson*, 509 U.S. at 367; see, *e.g.*, *Penry* v. *Johnson* (*Penry II*), 532 U.S. 782, 800 (2001) (confusing and contradictory instructions created a reasonable likelihood that the jury did not give effect to mitigating evidence); *Smith* v. *Texas*, 543 U.S. 37, 48 (2004) (per curiam) (same).

3. The State reads *Boyde* as creating a three-factor test, under which the actual language of the jury instructions stands on equal footing with the evidence presented by the parties and the arguments of counsel. See Pet'r Br. 30. This reinterpretation is misguided. It is true that the *Boyde* court considered not only the language of the challenged instructions, but also the "context of the proceedings," 494 U.S. at 383, which included a review of the evidence and the parties' arguments. But, when asking whether the jury "has applied the challenged instruction in a way that prevents the consideration of constitutionally relevant evidence," *id.* at 380, the instructions themselves are the natural and proper focal point of the inquiry, see *id.* at 381–83 (looking to "context" only to confirm the result of the Court's analysis of the challenged instruction "standing alone"); *Mills*, 486 U.S. at 375–76 ("The critical question . . is whether [the erroneous understanding] is one a reasonable jury could have drawn from the instructions given by the trial judge . . ."). This is true both because juries are generally (although not always) presumed to follow their instructions, see *Penry II*, 532 U.S. at 799; *Francis* v. *Franklin*, 471 U.S. 307, 324 n.9 (1985); *Bruton* v. *United States*, 391 U.S. 123, 135 (1968), and because the Court cannot determine the likely effect of an *ambiguous* instruction without first ascer-

taining how the jury would have understood the instruction itself.

In any event, even if the State's reading of *Boyde* were correct, neither counsel's arguments nor the evidence presented by the parties helps the State here. As explained below, the instructions themselves were fundamentally misleading, and the prosecutor's arguments simply made things worse.

B. It Is Reasonably Likely That The Jurors In This Case Applied The Instructions In A Way That Prevented Them From Giving Effect To All Relevant Mitigating Evidence.

The instructions given in this case created at least a reasonable likelihood that some or all of the jurors understood that Mr. Gleason had to establish his mitigating factors beyond a reasonable doubt before they could be weighed against the aggravating factors. In particular, the instructions' repeated emphasis on the "beyond a reasonable doubt" standard while instructing the jury to make findings as to both aggravating *and* mitigating circumstances, combined with their total silence on Mr. Gleason's burden of proof regarding mitigation evidence, created a reasonable likelihood that the jury would disregard relevant mitigating evidence for failing to meet that demanding standard. And other features of the instructions exacerbated this problem.

1. There is no dispute that the jury instructions in this case failed to inform the jurors that, under Kansas law, mitigating circumstances need not be proved beyond a reasonable doubt, let alone that the defendant bears "no evidentiary burden" at all as to mitigating circumstances. Pet. App. 102. By itself, that failure might be constitutionally problematic, but the in-

structions here went beyond mere silence. They repeatedly instructed the jurors that both "aggravating circumstances" and "mitigating circumstances" must be "found," and in the same breath gave them only one standard to apply: "beyond a reasonable doubt." Indeed, that was the only standard of proof that was mentioned anywhere in the instructions. The instructions also indicated in various places and various ways that the two types of circumstances were to be evaluated in parallel. This was more than sufficient to create a reasonable likelihood of juror confusion about the burden of proof for mitigating circumstances.

Reading the relevant instructions in sequence demonstrates this likely confusion. First, Instruction No. 6 defines the term "aggravating circumstances" and lists the four aggravators that the State argued were established by the evidence. This instruction mentions no burden of proof. App. 2a-3a.

Next, Instruction No. 7 addresses mitigating circumstances. The instruction first provides that "mitigating circumstances" are "those which in fairness may be considered as extenuating or reducing the degree of moral culpability or blame or which justify a sentence of less than death, even though they do not justify or excuse the offense." App. 3a. This definition, of course, makes no mention of any burden of proof. But Instruction No. 7 goes on to use the phrase "beyond a reasonable doubt" not once, but twice. See *id.* at 3a ("The appropriateness of exercising mercy can itself be a mitigating factor in determining whether the State has proved beyond a reasonable doubt that the death penalty should be imposed. . . Mitigating circumstances are to be determined by each individual juror when deciding whether the State has proved beyond a reasonable doubt

that the death penalty should be imposed."). The presence of this phrase *in the instruction on mitigating factors*, along with its absence from the instruction describing the aggravating factors, was likely to prompt the jury to associate the "beyond a reasonable doubt" standard with mitigating circumstances.

Instruction No. 7 then lists the nine mitigators proposed by Mr. Gleason and notes that the jury can "consider" other mitigators as well. App. 3a-4a. Finally, it instructs: "[e]ach of you must consider every mitigating circumstance found to exist." *Id.* at 4a. The State emphasizes that this instruction permits the jury to "consider" any and all mitigation evidence. Pet'r Br. 33-34. However, when the instructions are read as a whole, it is clear that they do not use the word "consider" to mean "weigh." On the contrary, the very first instruction tells the jury to "*consider aggravating or mitigating circumstances* relevant to the question of the sentence." App. 1a (emphasis added). In context, then, the jury would have understood this instruction to mean no more than that it could test any and all *potentially* mitigating evidence against the applicable standard of proof, just as the instruction to "consider aggravating circumstances" required it to do. *Id.*

Likewise, the phrase "found to exist," App. 4a, necessarily implies that the jury must apply some standard to determine whether or not any given mitigator can be "found." And the only standard identified in this instruction (or any other) is "beyond a reasonable doubt." The natural inference is that the instructions do not mention a separate standard for mitigation evidence not because *no* standard applies, but because the *same* standard applies.

The following instructions further confuse matters. Instruction Nos. 8-11 consistently discuss both ag-

gravating and mitigating circumstances alongside the "beyond a reasonable doubt" standard while instructing the jury to weigh the two sets of circumstances against each other. See App. 4a-6a. These instructions not only repeatedly emphasize the "beyond a reasonable doubt" standard without identifying the standard that applies to mitigating evidence, they also explicitly tie the "beyond a reasonable doubt" standard to the consideration of mitigating evidence by requiring the jury to find "beyond a reasonable doubt" that aggravators "are not outweighed" by mitigators: "[i]f you find unanimously beyond a reasonable doubt that one or more aggravating circumstances exist and that they are not outweighed by any mitigating circumstances found to exist, then you shall impose a sentence of death." *Id.* at 5a (Instruction No. 10). And these instructions again refer to "aggravating or mitigating circumstances that are shown to exist," without once clarifying that very different standards dictate whether the two types of factors have been "shown to exist." *Id.* (Instruction No. 9).

By telling the jury that both sets of factors must be "found" or "shown" before they can be balanced against each other, and by providing only one standard of proof in describing both sets of factors, these instructions indicate to the jury that it must assess the factors using the same standard of proof—the only standard that has been provided—in order to include evidence on both sides of the scale.

Reading the instructions as a whole does nothing to minimize this risk, as nowhere in the instructions is the jury told that it need not find mitigating circumstances beyond a reasonable doubt. Instead, the jury instructions elsewhere appear to treat aggravating and mitigating circumstances identically. As noted,

for example, Instruction No. 1 informs the jury that both sets of circumstances should be considered in parallel. App. 1a. The command that the jury "shall consider" both types of circumstances gives no hint that they are subject to radically different burdens of proof.[2]

Viewed in the commonsense manner that this Court's precedents dictate, *e.g.*, *Johnson*, 509 U.S. at 367, these instructions create at least a reasonable likelihood of juror confusion and error. In all, the instructions refer to the "beyond a reasonable doubt" standard seven times, without once mentioning the standard that applies to mitigating circumstances. Thus, the only burden of proof mentioned with regard to the demonstration and weighing of both aggravating *and* mitigating circumstances is "beyond a reasonable doubt." Having been told both that aggravators must be proved beyond a reasonable doubt and that such aggravators must be proved beyond a reasonable doubt to outweigh mitigating factors—and having been told nothing else about the burden of proof for mitigating circumstances—reasonable jurors likely would infer that 'like should be treated as like' and so mitigating circumstances must be assessed according to the same threshold.[3]

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[2] Of course, it is not "constitutionally sufficient to inform the jury [merely] that it may 'consider' mitigating circumstances in deciding the appropriate sentence." *Penry II*, 532 U.S. at 797.

[3] *Smith* v. *Spisak*, 558 U.S. 139 (2010), on which the State relies, *see* Pet'r Br. 36, is readily distinguishable. *Smith* is an AEDPA case; the question there was simply whether the state court's application of *Mills* to decide a question about juror unanimity regarding mitigating factors was "contrary to, or . . . an unreasonable application of, clearly established Federal law." 558 U.S. at 143 (quoting 28 U.S.C. § 2254(d)(1)). Moreover, *Boyde* makes clear that the specific jury instructions at issue

Finally, as in *Mills*, "[o]ne additional bit of evidence about the natural interpretation of the [instructions] has become available." 486 U.S. at 381. The Kansas model jury instructions have now been revised to incorporate the instruction called for by the Kansas Supreme Court in *Kleypas*, *Scott*, and this case. See Pet. App. 98 ("Notably, the current PIK instruction on mitigating circumstances . incorporates both of *Kleypas*' recommended statements and correctly instructs the jury that '[m]itigating circumstances need not be proved beyond a reasonable doubt.'"). Although the Court cannot "infer too much" from these revisions, it can and should "infer from these changes at least *some* concern that juries could misunderstand the previous instructions as to . . . the consideration of mitigating evidence by individual jurors." *Mills*, 486 U.S. at 382.

2. Contrary to the State's arguments, these grave deficiencies cannot be overcome by either the arguments of counsel or the fact that mitigating evidence was introduced without challenge. See Pet'r Br. 38–42. Those considerations are not "factors" that *Boyde* places on par with the language of the actual jury instructions. See, *supra*, at 14–15. And even if they were, they exacerbated rather than alleviated the constitutional infirmities of the jury instructions in this case.

First, the State is wrong to claim that the closing arguments must have clarified the jurors' confusion. The State points to the prosecutor's statements in closing that the jury "should consider and weigh *eve-*

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must be considered in context; the fact that another case did not find cognizable error (under the deferential AEDPA standard) based on the silence of the instructions at issue on unanimity establishes nothing about the effect of the silence of the instructions in this case on the burden of proof.

rything admitted into evidence . that bears on the aggravating or mitigating circumstances," and that the jurors should "put whatever weight [they] want on the mitigating circumstances individually or collectively or however [they] want to do it in [their] own individual minds." Pet'r Br. 40-41. These statements do nothing to clarify the standard of proof against which mitigating evidence must be tested. Indeed, by telling the jurors to "consider and weigh *everything* . that bears on" both aggravating *and* mitigating circumstances, the prosecutor again indicated to the jury that the two types of factors were to be evaluated in the same way. (This was also just a repetition of Instruction No. 2, see App. 1a.) And the prosecutor's latter statement merely suggests that the jurors can give a particular mitigating factor whatever weight they feel appropriate—*after* they have "found [it] to exist."

The prosecutor's argument on this point should be read in its full context. The prosecutor argued:

> The State has to prove beyond a reasonable doubt that there are one or more aggravating circumstances and that they are not outweighed by any mitigating circumstances found to exist. So you got the aggravating circumstances. You got the mitigating circumstances. Individually, you put whatever weight you want on the mitigating circumstances individually or collectively or however you want to do it in your own individual minds and then ask yourself the question are any of those mitigating circumstances alleged by the defense, do any of those . outweigh the aggravators, because they have to outweigh them.

Pet. App. 150-51.

In context, the prosecutor's argument provides no more clarification than the jury instructions themselves, because he skips a step: how the jury is to go about finding *which* mitigating circumstances exist. Instead, just like the instructions, the prosecutor discusses aggravating and mitigating circumstances and the "beyond a reasonable doubt" standard in the same breath. The prosecutor then provides the same basic instruction as do Jury Instructions 8 through 11: take the aggravators and the mitigators, and weigh them against each other. The prosecutor at no point said that mitigating factors need not be found beyond a reasonable doubt.

The prosecutor's remaining statements were not just unhelpful, but affirmatively misleading. The State makes much of the fact that the language of the instruction on mitigation (Instruction No. 7, App. 3a-4a) "said nothing about considering only mitigation which was 'proven.'" Pet'r Br. 20. The same, however, cannot be said for the prosecutor's arguments, which repeatedly emphasized that mitigating circumstances had to be proved before they could be considered: "[t]he defense contends some of these [circumstances] are mitigating. Okay. Now, this is what they contend. The question for you is *do they exist? Was there evidence to prove them?*" Pet. App. 147 (emphasis added). In every-day usage, and certainly in a courtroom, the word "prove" carries a connotation of certainty, and thus comports with the rigorous "beyond a reasonable doubt" standard.[4] Consequently, even more so than the phrases "found to exist" or "shown to exist" in the instructions, this argument strongly suggested that the jury must assess whether suffi-

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[4] *See, e.g.*, Oxford English Dictionary (3d ed. 2007) ("1. *trans.* To establish as true; *to make certain*; to demonstrate the truth of by evidence . . ." (emphasis added)).

cient evidence was introduced to prove definitively the asserted mitigating factors—and the only available standard to make that determination was "beyond a reasonable doubt." Certainly, asking whether "there [was] evidence to prove them" does not even hint at the *correct* standard, under which each juror can consider any mitigator that the defense has proffered.

The State also points to the statements of both the prosecutor and defense counsel that "mitigators do not have to be proven unanimously" and suggests that this somehow clears up any confusion with respect to the proper burden of proof for those factors. Pet'r Br. 40-41. But the clarification that the jurors need not find a mitigating factor unanimously does nothing to lessen the reasonable likelihood that the jurors will believe they must find that each mitigating factor, individually, has been proven beyond a reasonable doubt before it can be included in the subsequent weighing process.

Further, as the State admits, the prosecution *did* challenge Mr. Gleason's attempt to establish certain mitigating circumstances (although it does not admit the full scope of that challenge). See Pet'r Br. 39. The prosecutor emphasized Mr. Gleason's supposed failure of proof as to his inability to appreciate the nature of his actions:

> The first [mitigating factor is that] the capacity of Sidney Gleason to appreciate the criminality of his conduct or to conform his conduct to the requirements of the law was substantially impaired. Did you hear any evidence about that? Did you hear any evidence that he had somehow impaired his ability to follow society's laws or to —that he was somehow had some mental problem that he couldn't conform his conduct to our

> laws? Did you hear any evidence of that alleged mitigating factor? Did you?

Pet. App. 148. Similarly, as to the ability of a term of imprisonment to protect the public, the prosecutor asked: "[c]an you find that one to exist based upon the evidence? Did you hear any evidence of that?" *Id.* There is no way to understand these arguments other than as a direct challenge to Mr. Gleason's evidentiary showing, which necessarily implicates the burden of proof against which that showing must be measured.

Finally, unlike in *Boyde*, the unchallenged introduction of mitigation evidence, by itself, cannot help assuage this concern. There, "the introduction without objection of volumes of mitigating evidence" bolstered the Court's conclusion that the jurors would not have applied the challenged instructions in a way that required them to simply ignore all of that evidence. See 494 U.S. at 383-84. But here, that same fact establishes nothing, because the question in this case is not whether the jury thought it could even *consider* the mitigating evidence that was introduced, but rather what burden of proof that evidence was to be measured against. As noted above, the jury was instructed that it "should consider everything admitted into evidence . . that bears on either an aggravating or a mitigating circumstance." App. 1a. Thus, the jury may well have understood from the fact that mitigation evidence was presented without objection that it did not have to ignore that evidence outright, but the other jury instructions made it reasonably likely that the jurors tested that evidence against the highest burden of proof known to the legal system. See *Addington* v. *Texas*, 441 U.S. 418, 423-24 (1979) (noting the rigor of the "beyond a reasonable doubt" standard). Nor, unlike in *Boyde*, could

anyone reasonably contend that Mr. Gleason presented "volumes" of mitigating evidence. Thus, the mere introduction of mitigation evidence proves nothing.

* * *

The State does not dispute that the erroneous application of the "beyond a reasonable doubt" standard creates a reasonable likelihood that the jury would not give effect to relevant mitigation evidence. Nor could it. "[T]he standard of proof is a crucial component of legal process," *Santosky* v. *Kramer*, 455 U.S. 745, 785 (1982), and the "beyond a reasonable doubt" standard is "designed to exclude as nearly as possible" a judgment based on insufficient proof, *Addington*, 441 U.S. at 423-24, by "impress[ing] on the trier of fact the necessity of reaching a subjective state of certitude of the facts in issue," *In re Winship*, 397 U.S. 358, 364 (1970) (citation omitted). The vast gulf between "utmost certainty," *id.*, and *no burden of proof at all* is more than sufficient to prevent a jury from giving effect to relevant mitigation evidence, and the State does not suggest otherwise. And that constitutional problem is compounded where the instructions' ambiguity means that some juries will apply the higher standard, and some the lower. See *Mills*, 486 U.S. at 383-84.

3. The problems detailed above are not the sort of "technical hairsplitting" that the Court cautioned against in *Boyde*. 494 U.S. at 381. On the contrary, they are amply supported by empirical research on jury confusion regarding mitigation evidence, and especially the applicable burden of proof. Moreover, the judgment below is consistent with the practice of a clear majority of other jurisdictions, including both civilian and military federal courts, which already instruct juries as to the defendant's burden of proof on

mitigating factors. Given that a jury's task "can be of great difficulty even when instructions [on the burden of proof] are altogether clear," jurors should not be left to guess at the meaning of instructions that are "malleable" or "obscure," *Victor* v. *Nebraska*, 511 U.S. 1, 23 (1994) (Kennedy, J., concurring), much less affirmatively misleading.

For more than two decades, scholars have documented that jurors' "lack of understanding of the standards of proof applicable to mitigating circumstances hamper the decisionmaking process." Theodore Eisenberg & Martin T. Wells, *Deadly Confusion: Juror Instructions in Capital Cases*, 79 Cornell L. Rev. 1, 9 (1993). For example, in South Carolina (as in Kansas) mitigating evidence need not meet any particular standard of proof, but (unlike in Kansas) the state courts had not adopted instructions saying so. *Id.* at 10. Research related to South Carolina capital juries reveals that "jurors do not infer the correct legal standard" in the face of silence from the trial judge. *Id.* Instead, fully *half* of the jurors "incorrectly believe that a mitigating factor must be proven beyond a reasonable doubt," and "[l]ess than a third of jurors understand that mitigating factors need only be proved to the juror's personal satisfaction." *Id.* at 11. The problem is not merely the instructions' silence; it is also the "general prominence" of "the traditional criminal law standard of proof"—beyond a reasonable doubt. *Id.* at 10-11.

Other research supports these conclusions. See Ursula Bentele & William J. Bowers, *How Jurors Decide On Death: Guilt Is Overwhelming; Aggravation Requires Death; And Mitigation Is No Excuse*, 66 Brook. L. Rev. 1011, 1045 (2001) ("Jurors in some of the cases also seemed to find that the evidence offered did not adequately prove the mitigating facts, perhaps

because of common misperceptions about the burden of proof on such evidence."); James Frank & Brandon K. Applegate, *Assessing Juror Understanding of Capital-Sentencing Instructions*, 44 Crime & Delinquency 412 (1998) (finding that jurors were more likely to misunderstand mitigation factors than aggravating factors). Interviews with former jurors have revealed significant confusion regarding their instructions, the language used to define their role, and the parameters guiding their capital sentencing decisions. See John Robert Barner, *Life Or Death Decision Making: Qualitative Analysis Of Death Penalty Jurors*, 13 Qualitative Social Work 842 (2013). Taken together, this research, the ambiguous and misleading jury instructions, and the prosecutor's closing arguments show that the Kansas Supreme Court's concerns in this case were well-founded.

It should be unsurprising, then, that the clear majority of other jurisdictions do provide affirmative instructions on the burden of proof for mitigating circumstances. Of the thirty-one states that have the death penalty, at least twenty-four explicitly announce the defendant's burden of proof for mitigating factors via statute or case law,[5] and most of those call for an express instruction on this point, often both identifying the defendant's burden and distinguishing it from the prosecution's greater burden to prove ag-

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[5] *See* Colo. Rev. Stat. § 18-1.3-1201(d) (no burden of proof); *Weeks* v. *State*, 653 A.2d 266, 271–72 (Del. 1995) (preponderance); *Bivins* v. *State*, 642 N.E.2d 928, 949–50 (Ind. 1994) (preponderance); *Delo* v. *Lashley*, 507 U.S. 272, 276–77 (1993) (per curiam) (Missouri; no burden); N.H. Rev. Stat. § 630:5.III (preponderance); *State* v. *Rhines*, 548 N.W.2d 415, 437 (S.D. 1996) (no burden); *State* v. *Lafferty*, 20 P.3d 342, 376 (Utah 2001) (no burden); *Olsen* v. *State*, 67 P.3d 536, 589 (Wyo. 2003) (preponderance).

gravating factors. See, *e.g.*, Fla. Standard Jury Instr. (Criminal) 7.11 (2015) ("A mitigating circumstance need not be proved beyond a reasonable doubt by the defendant. A mitigating circumstance need only be proved by the greater weight of the evidence, which means evidence that more likely than not tends to prove the existence of a mitigating circumstance. If you determine by the greater weight of the evidence that a mitigating circumstance exists, you may consider it established and give that evidence such weight as you determine it should receive ").[6]

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[6] *See also* Order Amending Ala. Pattern Jury Instrs., App'x (Ala. Sup. Ct. Nov. 9, 2007) ("The defendant does not bear a burden of proof in this regard. All the defendant must do is simply present the evidence."); Ariz. Jury Instrs., – Criminal, Capital Case 2.6 (2014) ("The defendant bears the burden of proving the existence of any mitigating circumstance . . . by a preponderance of the evidence. . . . [T]he defendant need not prove its existence beyond a reasonable doubt . . . ."); 1-10 Ark. Model Jury Instructions – Criminal, AMCI 2d 1008 (2014) ("Unlike an aggravating circumstance, you are not required to be convinced of the existence of a mitigating circumstance beyond a reasonable doubt. A mitigating circumstance is shown if you believe from the evidence that it probably exists."); *Stinski* v. *State*, 691 S.E.2d 854, 873 (Ga. 2010) (approving pattern instructions explaining "that no particular burden of proof rests on the defendant to show mitigating circumstances"); Idaho Criminal Jury Instructions – ICJI 1718, Jury Deliberations (2010) ("The existence of mitigating circumstances need not be proven beyond a reasonable doubt."); *State* v. *Langley*, 711 So.2d 651, 675 (La. 1998) (approving instruction: "[t]he law does not provide for a burden of proof with respect to mitigating circumstances."); N.C.P.I – Criminal 150.11, Death Penalty – Peremtory Instruction – Statutory Mitigating Circumstance(s) (1991) ("The defendant has the burden of establishing this mitigating circumstance by the preponderance of the evidence ...."); Ohio Jury Instructions – Criminal, 2-CR 503 OJI CR 503.011 (2014) ("The defendant does not have any burden of proof."); Okla. Jury Instructions – Criminal, OUJI-CR § 4-78 (2009) ("mitigating circumstances do not have to be proved beyond a

Likewise, the federal courts explicitly instruct juries on the defendant's burden to prove mitigating circumstances, and expressly "describe [to the jury] how this burden differs from the government's." Molly Treadway Johnson & Laural L. Hooper, *Resource Guide For Managing Capital Cases, Volume 1: Federal Death Penalty Trials* 58 (2001). The federal death penalty statute requires the defendant to establish mitigators "by a preponderance of the information." 18 U.S.C. § 3593(c). As the State concedes, Pet'r Br. 37 n.4, federal jury instructions implementing this provision include explicit instruction on the defendant's burden of proof. For example, Judge Sand's authoritative compilation of model instructions offers this example:

> There are some important distinctions that I want to highlight for you with respect to the proof of mitigating factors. *The Defendant has the burden of proving any mitigating factors.* However, there is a *different* standard of proof as to mitigating factors. The Defendant is *not re-*

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reasonable doubt in order for you to consider them"); Or. Unif. Criminal Jury Instr., Or. UCrJI No. 1322 (2014) ("[t]here is no burden of proof for mitigating circumstances"); Pa. Suggested Criminal Jury Instrs., Pa. SSJI (Crim) 15.2502F (2014) ("the defendant only has to prove [a mitigating circumstance] by a preponderance of the evidence, that is, by the greater weight of the evidence"); *State* v. *Hicks*, 499 S.E.2d 209, 215 (S.C. 1998) (instruction read: "*it is not necessary that you find beyond a reasonable doubt any mitigating circumstances existed*"); Tenn. Pattern Jury Instrs. – Criminal, T.P.I. Criminal 7.04(a) (2014) ("The defendant does not have the burden of proving a mitigating circumstance."); Texas Criminal Jury Charges § 6:390 (2014) ("No burden of proof exists for either the State or defendant to prove or disprove mitigation."); 11 Wash. Prac., Pattern Jury Instrs. Criminal 31.05 (3d ed.) (2008) ("The defendant does not have to prove the existence of any mitigating circumstances or the sufficiency of any mitigating circumstances.").

> *quired to prove beyond a reasonable doubt* the existence of a mitigating factor; he need only establish its existence by a *preponderance of the evidence*. That is to say, you need only be convinced that it is more likely true than not true in order to find that the mitigating factor exists.

Leonard B. Sand et al., Modern Federal Jury Instructions 9A-8 (emphases added).[7] And federal courts instructing capital sentencing juries in recent years have uniformly provided express instructions regarding the defendant's burden.[8]

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[7] *See also* Fed. Judicial Ctr., *Benchbook for U.S. District Court Judges* 120 (6th ed. 2013) ("A mitigating factor should be taken as true if it has been established by a preponderance of the evidence. *Distinguish between the reasonable doubt and preponderance tests.*" (emphasis added)); Criminal Pattern Jury Instr. Comm. of the United States Court of Appeals for the Tenth Circuit, *Criminal Pattern Jury Instructions* 364 (2011 ed.) ("[T]he defense is under no obligation to establish the existence of any mitigating factors . . . . The defendant need only prove these mitigating factors by a preponderance of the evidence . . . ."); Judicial Comm. on Model Jury Instrs. for the Eighth Circuit, *Manual of Model Criminal Jury Instructions For The District Courts Of The Eighth Circuit* 776 (2014 ed.) ("It is the defendant's burden to establish any mitigating factors, by a preponderance of the evidence. To prove something by the preponderance of the evidence is to prove that it is more likely true than not true.").

[8] Since 2009, federal juries have sentenced fourteen people to death. Of the twelve for whom transcripts or filed instructions are electronically accessible, every one has had the benefit of an express instruction regarding the burden for mitigators. *See* Penalty Phase Verdict Sheet at 16, *United States* v. *Tsarnaev*, No. 13-cr-10200 (D. Mass. May 13, 2015), ECF No. 1417; Trial Tr. Vol. 17 at 2253:11–2254:9, *United States* v. *Torrez*, No. 11-cr-115 (E.D. Va. Dec. 8, 2014), ECF No. 450; Penalty Phase Jury Instructions at 8, 21, *United States* v. *Coonce*, No. 10-cr-03029 (W.D. Mo. May 30, 2014), ECF No. 807; Sentencing Hr'g Tr. at 16:19–17:15, *United States* v. *Lewis*, No. 07-cr-550 (E.D. Pa. June 3, 2013), ECF No. 1428; Trial Tr. Vol. XXII at 4470:16–

The same practice governs—contrary to the State's claims, see Pet'r Br. 37—in capital courts martial in the military justice system. It is true that the defendant in a military capital case has no burden to prove mitigation. See R.C.M. 1004(b). It is also true, as the State contends, that the Rules for Courts Martial do not expressly require informing the members of the panel that the defendant need not prove mitigating circumstances beyond a reasonable doubt. But the Rules achieve the same result: by requiring the military judge to "instruct the members that they *must* consider *all* evidence in extenuation and mitigation before they may adjudge death." *Id.* (emphases added). And the model instructions in the Military Judges' Benchbook do just that, directing the members to consider *all* applicable mitigating circumstances. See Dep't of the Army, *Military Judges' Benchbook* 1189 (2014) ("[Y]ou should consider the following extenuating and mitigating circumstances: [listing all applicable circumstances]"). Because members "*must* consider *all*" mitigating evidence in any event, they need not make any findings at all with respect to mitigation. See *United States* v. *Curtis*, 32 M.J. 252, 268 (C.M.A. 1991) (noting that by eliminating any requirement to find mitigating factors, Rule 1004 "avoids the problem posed in" *Mills*, *i.e.*, that jurors might believe they were required to agree on the existence of particular circumstances).

4472:5, *United States* v. *Aquart*, No. 06-cr-160 (D. Conn. May 11, 2012), ECF No. 1160; Eligibility/Sentencing Phase Tr. at 89:4–9, *United States* v. *Ayala*, No. 08-cr-134 (W.D.N.C. Jan. 30, 2011), ECF No. 1347; Trial Tr. Vol. 8 at 1507:16–25, *United States* v. *Snarr*, No. 9-cr-15 (E.D. Tex. Oct 6, 2010), ECF No. 411; Trial Tr. Vol. 4 at 761:5–762:5, *United States* v. *Ebron*, No. 08-cr-36 (E.D. Tex. Sept. 2, 2009), ECF No. 256; Penalty Phase Tr. at 7914:17–7915:11, *United States* v. *Varela*, No. 06-cr-80171 (S.D. Fla. Mar. 17, 2009), ECF No. 818.

This broadly-employed practice reflects a consensus that jurors should not be left to speculate as to the burden of proof for mitigating circumstances. As this case demonstrates, that is especially true when the instructions repeatedly emphasize only one burden of proof and do so in a way that suggests aggravating and mitigating circumstances should be evaluated the same way.

4. The State urges that a victory for Mr. Gleason here will call into question the capital sentences of numerous other defendants, and open the sentencing regimes of other states to constitutional attack. See Pet. App. 14, 30; Pet'r Br. 34-38. Not so.

First, as described above, see, *supra*, at 27-31, many other jurisdictions already instruct on the burden of proof for mitigating circumstances. There is no way for the Court's ruling in this case to impact the sentences of defendants sentenced in those jurisdictions.

Second, contrary to the State's assertions, *e.g.*, Pet'r Br. 23, the Kansas court did not adopt, and affirmance does not require, a rule that the Eighth Amendment always requires an affirmative instruction on the burden for mitigating circumstances. On the contrary, the issue here is a narrow one: whether *these* jury instructions, as given in *this* case, created a reasonable likelihood that *this* jury thought it was barred from giving effect to relevant mitigating evidence. Resolving this question requires nothing more than a straightforward application of *Boyde* and *Mills*. A different set of jury instructions that is silent on the burden for mitigating evidence, but that does not share the specific problematic features of the

instructions in this case, may pass constitutional muster.[9]

In turn, it is irrelevant that the Eighth Amendment gives the States some discretion to adopt a specific burden of proof (or lack thereof) for mitigating evidence. See Pet'r Br. 24 (citing *Marsh*, 548 U.S. at 171). What matters here is that, through their legislature, the people of Kansas' have chosen not to require capital defendants to meet any particular burden of proof. Having done so, Kansas cannot adopt jury instructions that, through opacity and ambiguity, arbitrarily deny some defendants (but not others) the benefit of that decision. Indeed, the State concedes that an affirmative instruction on the burden of proof would "be required where the instructions as a whole otherwise would . create a reasonable likelihood that the jury would understand the instructions to prevent it from considering mitigating circumstances." Pet'r Br. 25. That is precisely what happened here. As a result, "[t]here is no principled way to distinguish this case, in which the death penalty was imposed, from the many cases in which it was not." *Godfrey* v. *Georgia*, 446 U.S. 420, 433 (1980).

II. THE DECISION BELOW RESTS ON AN ADEQUATE AND INDEPENDENT STATE GROUND.

In the alternative, the Court should decline to reach the merits of the Eighth Amendment issue and instead hold that the decision below rests on an ade-

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[9] Further, the Court's ruling in this case—insofar as it announces a new rule at all—may well be deemed procedural rather than substantive, and therefore would not be retroactive. *Cf. Schriro* v. *Summerlin*, 542 U.S. 348, 353 (2004). Thus, there is even less force to the State's dire predictions of disruption.

quate and independent state ground (or, barring that, dismiss the writ of certiorari as improvidently granted). The driving force behind the Kansas Supreme Court's decision in this case was the enforcement of its command in *Kleypas*, 40 P.3d 139, reiterated in *Scott*, 183 P.3d, that "any instruction dealing with the consideration of mitigating circumstances should state [that] they need to be proved only to the satisfaction of the individual juror in the juror's sentencing decision and not beyond a reasonable doubt." *Kleypas*, 40 P.3d at 268. Although the court below said that this issue also "implicate[s]" the Eighth Amendment's protections, Pet. App. 102, the decision independently rests on the state court's understanding of the Kansas capital punishment statute, which would dictate the same result on remand. Thus, there is an adequate and independent state ground for the decision. In the interests of respecting the State's sovereignty and avoiding an advisory opinion, the Court should decline to reach the merits of this case.

1. The Kansas Supreme Court first considered this issue in *Kleypas*. There, the defendant argued (among other things) that the instructions in his case "prevented the jury from considering any mitigating circumstance that the jury did not unanimously find existed," in violation of *Mills* and *McKoy*. See 40 P.3d at 266–67. The court disagreed; it held that part of the challenged instruction was impermissible because it allowed the imposition of the death penalty where aggravators and mitigators were in equipoise,[10] but found the instruction relating to juror unanimity to be "a correct statement of law [that] satisfies the *Mills* and *McKoy* requirements." *Id.* at 268.

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[10] This holding was reversed in *Marsh*, 548 U.S. at 173.

The *Kleypas* court separately declared, however, that "any instruction dealing with the consideration of mitigating circumstances should state they need to be proved only to the satisfaction of the individual juror in the juror's sentencing decision and not beyond a reasonable doubt." *Id.* This holding simply reflects the allocation of burdens in the State's capital punishment statute, which requires the jury to find "beyond a reasonable doubt that one or more . aggravating circumstances . . exist and, further, that the existence of such aggravating circumstances is not outweighed by any mitigating circumstances which are found to exist." Kan. Stat. Ann. § 21-6617(e).

Seven years later, the Kansas high court reiterated this holding in *Scott*, which again considered a claim regarding juror unanimity as to mitigating circumstances. See 183 P.3d at 836–38. After finding the challenged instruction improper on unanimity grounds under *Mills* and *Boyde*, the court *again* cautioned that any instruction dealing with mitigating circumstances should provide that "they need to be proved only to the satisfaction of the individual juror in the juror's sentencing decision and not beyond a reasonable doubt." *Id.* at 837 (quoting *Kleypas*, 40 P.3d at 268). As in *Kleypas*, this statement was not part of the court's federal constitutional holding, which related only to juror unanimity rather than the applicable burden of proof. See *id.* Instead, it was based upon the Court's construction of the State's statutory scheme and the court's precedent.

Here, the Kansas court merely applied these two prior rulings. It discussed *Kleypas* and *Scott*, noting that the State's model jury instructions were "inexplicably" not amended to include the burden-of-proof instruction required by those cases. Pet. App. 96.

And, "despite th[e] court's repeated recognition of the required content of penalty-phase mitigating circumstances instructions," the trial court in this case erroneously used this deficient model instruction. *Id.* at 99.

The court acknowledged that this Court "has explained that its Eighth Amendment jurisprudence on capital sentencing should not be interpreted as creating any constitutional requirements as to how or whether a capital jury should be instructed on the burden of proof for mitigating circumstances." Pet. App. 100 (citing *Walton* v. *Arizona*, 497 U.S. 639, 649-51 (1990)). Thus, the court's analysis was not predicated on the Eighth Amendment but rather on the "critical" fact that (as recognized in *Kleypas* and *Scott*) Kansas's death penalty statute favors defendants by imposing no burden of proof for mitigating circumstances. *Id.* The court held that the jury instructions in this case were improper because they failed to comport with that statutory allocation of burdens:

> Because K.S.A. 21-4624 expressly burdens the State with proving the existence of aggravating circumstances beyond a reasonable doubt but places no evidentiary burden regarding the existence of mitigating circumstances on the defendant beyond the burden of production, we reiterate our holding in *Kleypas* and *Scott* that capital juries in Kansas must be informed that mitigating circumstances need not be proven beyond a reasonable doubt. Because the instruction given in this case failed to do so, it was erroneous.

Pet. App. 102.

2. Two separate considerations militate against reaching the merits here. *First*, "where the judgment

of a state court rests upon two grounds, one of which is federal and the other non-federal in character, [this Court's] jurisdiction fails if the non-federal ground is independent of the federal ground and adequate to support the judgment." *Michigan* v. *Long*, 463 U.S. 1032, 1038 n.4 (1983) (citation omitted). The court below merely applied its prior cases construing the State's death penalty statute to require a particular jury instruction. See Pet. App. 99-102. That holding, based on a state statute and prior state court decisions, is neither "interwoven with" nor dependent on federal law. See *Long*, 463 U.S. at 1040-41.

A comparison to *Long* is instructive. There, the state court merely cited the state constitution twice (once in a footnote); otherwise, the court "relied *exclusively* on its understanding of . federal cases. Not a single state case was cited to support the state court's holding ." *Id.* at 1037 n.3, 1043. Here, by contrast, the court below relied squarely and expressly on *Kleypas* and *Scott*. There can be no question that the Kansas Supreme Court's decision was based on the State's death penalty statute as construed in those cases. And the Kansas court expressly *disclaimed* that it was adopting the very federal constitutional rule the State now claims it embraced. See Pet. App. 100-101 (discussing *Walton*, 497 U.S. at 649-51).

It is true that, having resolved the issue on state law grounds, the court also discussed this Court's Eighth Amendment precedents and considered whether the instructions in this case posed a constitutional problem. See Pet. App. 94-95, 102-03. But the mere mention, or even lengthy discussion, of federal law in a state court opinion does not mean the case was not resolved on state law grounds. Cf. *Coleman* v. *Thompson*, 501 U.S. 722, 732 (1991)

("State court opinions will, at times, discuss federal questions at length and mention a state law basis for decision only briefly."); *Herb* v. *Pitcairn*, 324 U.S. 117, 127 (1945) (noting that state courts often discuss state and federal law in tandem "because it is not necessary to their functions to make a sharp separation of the two").

Here, the court's Eighth Amendment analysis was at most an alternative holding. See Pet. App. 101 (explaining that the instruction required in *Kleypas* "*both* preserves the statute's favorable distinction *and* protects a capital defendant's Eighth Amendment right to individualized sentencing" (emphasis added)). And this Court has made clear that, "[b]y its very definition, the adequate and independent state ground doctrine requires the federal court to honor a state holding that is a sufficient basis for the state court's judgment, even when the state court also relies on federal law." *Harris* v. *Reed*, 489 U.S. 255, 264 n.10 (1989). Thus, "a state court need not fear reaching the merits of a federal claim in an *alternative* holding." *Id.* That would remain true even if the Kansas court were wrong about the constitutional question here; as this Court has long held, where the state law issues "actually decided by the State court ... are sufficient to maintain the judgment of that court, notwithstanding the error in deciding the Federal question," the Court "would not be justified in reversing the judgment of the State court." *Murdock* v. *City of Memphis*, 87 U.S. 590, 635 (1874).

Because the decision below was amply supported by state law grounds, a reversal by this Court on federal grounds will not change the result. Under Kansas law, even if no federal constitutional right is implicated, an erroneous jury instruction requires reversal unless the "Kansas court [is] persuaded that there is

no reasonable probability that the error" affected the verdict. *State* v. *Ward*, 256 P.3d 801, 818 (Kan. 2011); see *State* v. *Bolze-Sann*, No. 105, 297, 2015 WL 3814861, at *10 (Kan. June 19, 2015); cf. *State* v. *Smith-Parker*, 340 P.3d 485, 506-07 (Kan. 2014) (instruction misstating jury's obligation to convict if elements of the crime were proven beyond a reasonable doubt was erroneous). In the Kansas Supreme Court's view, the instructions given in this case were inconsistent with the State's death penalty statute, as explicated in its prior decisions. Pet App. 95-102. Its construction of that statute is not a novel one for the Kansas court; it is firmly entrenched. See *id.* Thus, the same result can and will obtain on remand, making any decision from this Court purely advisory. See *Long*, 463 U.S. at 1042 ("[I]f the same judgment would be rendered by the state court after we corrected its views of federal laws, our review could amount to nothing more than an advisory opinion."). "When this Court reviews a state court decision on direct review pursuant to 28 U.S.C. § 1257, it is reviewing the *judgment*; if resolution of a federal question cannot affect the judgment, there is nothing for the Court to do." *Coleman*, 501 U.S. at 730.

Second, this alternative ground for affirmance is reinforced here by interests of comity and federalism. Cf. *Kelly* v. *Robinson*, 479 U.S. 36, 49 (1986) (noting "the States' interest in administering their criminal justice systems free from federal interference"); *Juidice* v. *Vail*, 430 U.S. 327, 335-36 (1977) (similar). Kansas, like every other State, has the right to afford its criminal defendants more robust protections than are strictly required by the federal Constitution or adopted by other States. See *Oregon* v. *Hass*, 420 U.S. 714, 719 (1975) (noting that "a State is free as a matter of its own law to impose greater restrictions

... than those this Court holds to be necessary upon federal constitutional standards").

Kansas has chosen to do so in this context. Cf. *Marsh*, 548 U.S. at 173 (unlike Arizona's death penalty statute, "the Kansas statute requires the State to bear the burden of proving to the jury, beyond a reasonable doubt, that aggravators are not outweighed by mitigators and that a sentence of death is therefore appropriate; it places no additional evidentiary burden on the capital defendant. This distinction operates in favor of Kansas capital defendants."). The Court should not interfere with the State Supreme Court's interpretation and application of the State capital punishment statute, which is based on the State's choice to strike a particular balance between the prosecution's goals in pursuing capital punishment and the defendant's interests. Cf. *Bond* v. *United States*, 131 S. Ct. 2355, 2366 (2011) ("Impermissible interference with state sovereignty is not within the enumerated powers of the National Government, and action that exceeds the National Government's enumerated powers undermines the sovereign interests of States." (citation omitted)).

CONCLUSION

For the foregoing reasons, the judgment of the Kansas Supreme Court should be affirmed.

Respectfully submitted,

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August 3, 2015

APPENDIX

[Dated April 21, 2006]

INSTRUCTION NO. 1

The laws of Kansas provide that a separate sentencing proceeding shall be conducted when a defendant has been found guilty of capital murder to determine whether the defendant shall be sentenced to death. At the hearing, the trial jury shall consider aggravating or mitigating circumstances relevant to the question of the sentence.

It is my duty to instruct you in the law that applies to this sentencing proceeding, and it is your duty to consider and follow all of the instructions. You must decide the question of the sentence by applying these instructions to the facts as you find them.

INSTRUCTION NO. 2

In your determination of sentence, you should consider and weigh everything admitted into evidence during the guilt phase or the penalty phase of this trial that bears on either an aggravating or a mitigating circumstance. This includes testimony of witnesses, admissions or stipulations of the parties, and any admitted exhibits. You must disregard any testimony or exhibit which I did not admit into evidence.

INSTRUCTION NO. 3

At times during the trial, I have ruled upon the admissibility of evidence. You must not concern yourself with the reasons for these rulings. I have not meant to indicate any opinion as to what your verdict should be by any ruling that I have made or anything that I have said or done.

INSTRUCTION NO. 4

Statements, arguments and remarks of counsel are intended to help you in understanding the evidence and in applying the law, but they are not evidence. If any statements are made that are not supported by evidence, they should be disregarded.

INSTRUCTION NO. 5

It is for you to determine the weight and credit to be given the testimony of each witness. You have a right to use common knowledge and experience in regard to the matter about which a witness has testified.

INSTRUCTION NO. 6

Aggravating circumstances are those which increase the guilt or enormity of the crime or add to its injurious consequences, but which are above or beyond the elements of the crime itself.

The State of Kansas contends that the following aggravating circumstances are shown from the evidence:

1. That Sidney Gleason was previously convicted of a felony in which Sidney Gleason inflicted great bodily harm or disfigurement on another; and

2. That Sidney Gleason knowingly or purposely killed or created a great risk of death to more than one person; and

3. That Sidney Gleason committed the crime in order to avoid or prevent a lawful arrest or prosecution; and

4. That the victim was killed while engaging in, or

because of the victim's performance or prospective performance of, the victim's duties as a witness in a criminal proceeding.

In your determination of sentence, you may consider only those aggravating circumstances set forth in this instruction.

INSTRUCTION NO. 7

Mitigating circumstances are those which in fairness may be considered as extenuating or reducing the degree of moral culpability or blame or which justify a sentence of less than death, even though they do not justify or excuse the offense.

The appropriateness of exercising mercy can itself be a mitigating factor in determining whether the State has proved beyond a reasonable doubt that the death penalty should be imposed.

The determination of what are mitigating circumstances is for you as jurors to decide under the facts and circumstances of the case. Mitigating circumstances are to be determined by each individual juror when deciding whether the State has proved beyond a reasonable doubt that the death penalty should be imposed. The same mitigating circumstances do not need to be found by all members of the jury in order to be considered by an individual juror in arriving at his or her sentencing decision.

Sidney Gleason contends that mitigating circumstances include, but are not limited to, the following:

1. The capacity of Sidney Gleason to appreciate the criminality of his conduct or to conform his conduct to the requirements of law was substantially impaired.

2. The age of Sidney Gleason at the time of the crime.

3. A term of imprisonment is sufficient to defend and protect the people's safety from Sidney Gleason.

4. Crimes related to this case include significant participation and planning on the part of Damian Thompson.

5. Damian Thompson has received a life sentence that will make him eligible for parole in less than 23 years.

6. Sidney Gleason's mother, Irene Gleason, was sent to prison when he was a young boy.

7. All three of Irene Gleason's sons are in custody.

8. When living with Betty Cornelius, Sidney Gleason was an obedient child and an excellent student.

9. His mother, his brothers, and Aunt Betty love Sidney Gleason.

You may further consider as a mitigating circumstance any other aspect of the defendant's character, background or record, and any other aspect of the offense which was presented in either the guilt or penalty phase which you find may serve as a basis for imposing a sentence less than death. Each of you must consider every mitigating circumstance found to exist.

INSTRUCTION NO. 8

The State has the burden to prove beyond a reasonable doubt that there are one or more aggravating circumstances and that they are not outweighed by

any mitigating circumstances found to exist.

INSTRUCTION NO. 9

In making the determination whether aggravating circumstances exist that are not outweighed by any mitigating circumstances found to exist, you should keep in mind that your decision should not be determined by the number of aggravating or mitigating circumstances that are shown to exist.

INSTRUCTION NO. 10

If you find unanimously beyond a reasonable doubt that one or more aggravating circumstances exist and that they are not outweighed by any mitigating circumstances found to exist, then you shall impose a sentence of death. If you sentence Sidney Gleason to death, you must designate upon the appropriate verdict form with particularity the aggravating circumstances which you unanimously found beyond a reasonable doubt.

However, if one or more jurors is not persuaded beyond a reasonable doubt on the burden of proof in the paragraph above, then you should sign the appropriate alternative verdict form indicating the jury is unable to reach a unanimous verdict sentencing Sidney Gleason to death. In that event, Sidney Gleason will not be sentenced to death but will be sentenced by the court as otherwise provided by law.

INSTRUCTION NO. 11

If, at the conclusion of your deliberations, the jury finds that the mitigating circumstances outweigh the aggravating circumstances, then the Court will sen-

tence Sidney Gleason pursuant to the Kansas Sentencing Guidelines Act as follows:

Count One — Capital murder: Life imprisonment with parole eligibility of 25 years or 50 years;

Count Two — Murder in the first degree: Imprisonment for life with parole eligibility of 25 years or 50 years;

Count Three — Aggravated kidnapping: 258 to 285 months imprisonment;

Count Four — Aggravated robbery: 55 to 61 months imprisonment; and

Count Five — Criminal possession of firearm: 7 to 9 months imprisonment.

All of the sentences may be imposed to run concurrently or consecutively with each other within the discretion of the Court.

INSTRUCTION NO. 12

At the conclusion of your deliberations, you shall sign the verdict form upon which you agree.

You have been provided verdict forms which provide the following alternative verdicts:

A. Finding unanimously beyond a reasonable doubt that there are one or more aggravating circumstances and that they are not outweighed by any mitigating circumstances found to exist, and sentencing Sidney Gleason to death;

OR

B. Stating that the jury is unable to reach a unanimous verdict sentencing Sidney Gleason to death.

INSTRUCTION NO. 13

When you retire to the jury room you will first select one of your members as Presiding Juror. The person selected will preside over your deliberations, will speak for the jury in Court, and will sign the verdict upon which you agree.

Your verdict must be founded entirely upon the evidence admitted and the law as given in these instructions.

Your agreement upon a verdict sentencing Sidney Gleason to death must be unanimous.

/s/ Hannelore Kitts
HANNELORE KITTS
District Judge, Div II, Twentieth Judicial District

4-21-06
Date

Supreme Court, U.S.
FILED
SEP 2 - 2015
OFFICE OF THE CLERK

No. 14-452

In the Supreme Court of the United States

STATE OF KANSAS,

Petitioner,

v.

SIDNEY J. GLEASON,

Respondent.

On Writ of Certiorari to the Supreme Court of Kansas

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SUMMARY OF ARGUMENT[1]

All three Respondents make two arguments: (1) the jury instructions in these cases fail the *Boyde v. California* standard because these instructions were ambiguous, creating a reasonable likelihood jurors might have understood the instructions to preclude them from giving effect to mitigating circumstances; Gleason Br. 10-33; RC Br. 37-48, 47-51, 55-58; JC Br. 43; and (2) the Kansas Supreme Court's decision rested on an adequate and independent state ground. Gleason Br. 33-40; RC Br. 43 n.5; JC Br. 43. Respondents' arguments lack merit and fail to address the question actually presented: whether the Eighth Amendment requires a capital-sentencing jury be *affirmatively instructed* that mitigating circumstances "need not be proven beyond a reasonable doubt."

The Kansas Supreme Court adopted a *per se* Eighth Amendment rule requiring affirmative instructions on the burden of proof (or lack thereof) regarding mitigating circumstances, and that is the question Kansas presented to this Court. Specifically, the Kansas Supreme Court held that the trial court's failure "to affirmatively inform the jury that mitigating circumstances need not be proved beyond a reasonable doubt" violated the Eighth Amendment. Gleason App. 103. The dissent below perceived the majority as holding "that *a per se violation* of the Eighth

---

[1] Because the Court desires to address the "mitigation instruction" question in the first hour of oral argument and the "severance" question in the second hour, this reply addresses the mitigation instruction arguments of Respondents Gleason, J. Carr (14-449), and R. Carr (14-450) in these overlapping cases.

Amendment occurs if a jury instruction ... fails to affirmatively state that mitigation evidence need not be proved beyond a reasonable doubt." *Id.* at 121-122. That the Kansas Supreme Court adopted a *per se* rule is demonstrated conclusively by its summary finding of error on this basis in both *Carr* cases. In those cases, the court simply reversed without analysis, citing *Gleason*. RC App. 446; JC App. 47. On the merits, a *per se* rule cannot withstand scrutiny. The Court's Eighth Amendment cases consistently reject the imposition of *per se* requirements for States' rules for the consideration of mitigation evidence. *Zant v. Stephens*, 462 U.S. 862, 890 (1983) ("the Constitution does not require a state to adopt specific standards"); *Boyde v. California*, 494 U.S. 370, 377 (1990) ("States are free to structure and shape consideration of mitigating evidence"); *Walton v. Arizona*, 497 U.S. 639, 649 (1990) (the State is not "precluded from specifying how mitigating circumstances are to be proved").

Respondents do not directly address the question presented, and they further pretend not to defend a *per se* rule. Instead, they purport to argue for a case-specific analysis under *Boyde*. Their arguments, however, effectively seek a *per se* instructional rule. Tellingly, they do not (and cannot) offer any alternative satisfactory instructions to cure their complaints *other than* an instruction explicitly informing the jury there is no burden of proof for mitigation under Kansas law. Even an instruction that stated mitigation "need not be proven beyond a reasonable doubt" likely would not satisfy them. No doubt they would then argue the instruction was misleading because it did not explicitly inform the jury there is *no* burden of proof.

Moreover, on these records, the State satisfied the *Boyde* analysis. In all three cases, the instructions repeatedly informed the jury it had broad discretion to consider any and all mitigation evidence. Nowhere did the instructions impose, suggest, or imply that mitigation was subject to a burden of proof. Indeed, in Kansas the jury is given an instruction that explicitly informs the jury it can consider "mercy" as mitigation sufficient to decline to impose the death penalty, irrespective of any mitigation evidence presented, much less proven.

The State's response to the mitigation evidence in all three cases was to (1) question whether *any* evidence had been produced about *some* alleged mitigating circumstances and (2) argue that mitigation evidence did not outweigh the strong and unrebutted proof of aggravating factors. In none of these cases did the State argue, suggest, or imply Respondents had failed to meet a burden of proof for mitigation. In all three cases, counsel for both the State and Respondents argued repeatedly that the jury had unfettered discretion to determine what constituted mitigation and what weight or effect to give that evidence.

The Kansas Supreme Court's decisions must be reversed.

ARGUMENT

I. Respondents fail to defend the Kansas Supreme Court's actual holding that the Eighth Amendment requires a *per se* rule that capital sentencing juries be affirmatively instructed that mitigating circumstances need not be proven beyond a reasonable doubt.

A. Contrary to Respondents' claims, the Kansas Supreme Court mandated a *per se* rule for instructing juries on mitigating circumstances, and the court did so entirely to satisfy the Eighth Amendment, not Kansas law.

1. The Kansas Supreme Court adopted a *per se* rule.

Respondents argue the Kansas Supreme Court did not adopt a *per se* rule. Gleason Br. 1-2; RC Br. (i), 35-55; JC Br. 43. They are wrong.

First, in *Gleason* the court *said* it had adopted such a rule. The court emphasized its "repeated *recognition of the required content* of penalty phase mitigating circumstances instructions" that mitigation "need[s] to be proved only to the satisfaction of the individual juror in the juror's sentencing decision and *not beyond a reasonable doubt*." Gleason App. 99 (emphasis added). The court "recogni[zed]" this "required content" because it "implicate[s] the broader Eighth Amendment principle prohibiting barriers that preclude a sentencer's consideration of all relevant mitigating evidence." *Id.* at 99-100. As a result, the Kansas court held categorically "that capital juries in Kansas must be informed that mitigating circumstances need not be

proven beyond a reasonable doubt," *id.* at 103, and any failure to give that express instruction requires reversal. *Id.*; see also RC App. 446; JC App. 47.

Second, the *Gleason* dissent captured the majority's holding:

> The majority's conclusion appears to be that a per se violation of the Eighth Amendment occurs if a jury instruction correctly states that the State bears the burden of proving aggravating circumstances beyond a reasonable doubt but fails to affirmatively state that mitigation evidence need not be proved beyond a reasonable doubt.

Gleason App. 121. The same dissenter applied that assessment to both *Carr* cases. RC App. 481-483; JC App. 63-65.

Third, the Kansas Supreme Court's summary disposition of this issue in both *Carr* cases convincingly demonstrates a *per se* rule at work. In those opinions, issued one week after *Gleason*, the court found the same "mitigation instruction" error without conducting *any* case-specific or fact-specific analysis under *Boyde v. California*, 494 U.S. 370 (1990). RC App. 446; JC App. 47. The court did not evaluate the instructions given in the *Carr* cases, the parties' closing arguments or the actual mitigation evidence presented. Instead, the Kansas Supreme Court simply referenced *Gleason* and concluded:

> When nothing in the instructions mentions any burden other than "beyond a reasonable doubt," jurors may be "prevented from giving meaningful effect or a reasoned moral response

> to" mitigating evidence, implicating a defendant's right to individualized sentencing under the Eighth Amendment. *Gleason*, 299 Kan. at ___, 329 P.3d 1102, 1148 (citing *Scott*, 286 Kan. at 107, 183 P.3d 801). This is unacceptable.
>
> Were we not already vacating ... Carr's death sentence on Count 2 and remanding the case because of Judge Clark's failure to sever the penalty phase, error on this issue would have forced us to do so.

RC App. 446; JC App. 512. That is a *per se* rule.

Fourth, in none of these cases did the Kansas Supreme Court conduct anything resembling a *Boyde* analysis; it did the most (though not much) in *Gleason*, but even then it offered only conclusory statements. Gleason App. 103.

The deafening silence of all three Respondents' refusal to defend the *per se* rule the Kansas Supreme Court actually applied in each of these cases confirms the indefensibility of the novel decisions below.

2. The Kansas Supreme Court's *per se* rule rests entirely on federal constitutional grounds.

Contrary to Respondents' assertions, the Kansas Supreme Court's *per se* rule is entirely grounded in that court's interpretation of the Eighth Amendment, not in state law. Respondents' so-called "adequate and independent state grounds" arguments—previously advanced unsuccessfully in opposition to the grants of certiorari in these cases—in fact *demonstrate* the

Kansas court's federal constitutional error. The Kansas Supreme Court first announced the capital sentencing rule at issue here in *State v. Kleypas*, 40 P.3d 139 (Kan. 2001). There, the court declared:

> [A]ny instruction dealing with the consideration of mitigating circumstances should state (1) they need to be proved only to the satisfaction of the individual juror in the juror's sentencing decision *and not beyond a reasonable doubt* ....

Id. at 268 (emphasis added). The court merely relied on the emphasized language above in finding error in *State v. Scott*, 183 P.3d 801, 837 (Kan. 2008). The court then cited *Kleypas* and *Scott* in identifying purported federal constitutional error in *Gleason*, Gleason App. 101, 103, and still later cited *Gleason* in reversing both *Carr* cases.

Contrary to Respondents' assertions, the Kansas Supreme Court constructed this *per se* rule entirely from its understanding of Eighth Amendment requirements.[2] *Kleypas* and *Scott* refer to potential jury unanimity requirement concerns, and the Kansas court discusses and relies upon this Court's decisions in *Mills v. Maryland*, 486 U.S. 367 (1988), and *McKoy v. North Carolina*, 494 U.S. 433 (1990), in reaching its conclusions. *See Kleypas*, 40 P.3d at 267-268 (discussing and applying *Mills* and *McKoy*); *Scott*, 183 P.3d at 837-838 (referring to *Kleypas* and *Mills*). This Court previously rejected this pyramid-scheme

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[2] In *Gleason*, the Kansas Supreme Court repeatedly invoked the Eighth Amendment. Gleason App. 99-100 (eight mentions by majority), *id.* at 120-24, 127-28 (eighteen mentions by dissent).

approach to asserting an adequate and independent state ground because "*Kleypas*, itself, rested on federal law." *Kansas v. Marsh*, 548 U.S. 163, 169 (2006).

In fact, the Kansas Supreme Court itself acknowledged that *Kleypas* is grounded in the Eighth Amendment:

> Notably, Gleason's argument rests on *Kleypas*' first statement regarding the required content of mitigating instructions, while only the second *Kleypas* statement implicates the *Mills/McKoy* prohibition against a jury unanimity requirement as discussed in *Kleypas* and *Scott*. But we find this to be a distinction without a difference because *both* recommended statements from *Kleypas* implicate the *broader Eighth Amendment principle* prohibiting barriers that preclude a sentencer's consideration of all relevant mitigating evidence.

Gleason App. 99-100 (emphasis added).[3]

Thus, *Gleason*'s *per se* rule rests on the Eighth Amendment, not Kansas law. This Court has jurisdiction to review the Kansas Supreme Court's *per se* Eighth Amendment rule.[4]

---

[3] The inevitable consequence of failing to follow the Kansas court's "recommended" method of complying with the "broader Eighth Amendment principle" is automatic reversal of any death sentence imposed. That, of course, is how *per se* rules operate.

[4] Counsel for the capital defendant in *Kansas v. Marsh*, 548 U.S. at 169, unsuccessfully made the same erroneous argument that *Kleypas* rests on state grounds:

Persistent repetition of a misguided argument cannot transform Eighth Amendment error into an "adequate and independent state ground." The Kansas Supreme Court's increasingly cursory application of its *per se* rule instead of the *Boyde* analysis is precisely the reason this Court should repudiate the Kansas court's approach.[5]

> Marsh maintains that the Kansas Supreme Court's decision was based [on] ... state law, and not the constitutionality of that provision under federal law, the latter issue having been resolved by the Kansas Supreme Court in *State v. Kleypas* .... Marsh's argument fails.
>
> *Kleypas*, itself, rested on federal law. In rendering its determination here, the Kansas Supreme Court observed that *Kleypas*, "held that the weighing equation in K.S.A. 21-4624(e) as written was unconstitutional under the Eighth and Fourteenth Amendments" .... As in *Kleypas*, the Kansas Supreme Court clearly rested its decision here on the Eighth and Fourteenth Amendments to the United States Constitution. We, therefore, have jurisdiction to review its decision. *See Michigan v. Long*, 463 U.S. 1032, 1040–1041 (1983) (several internal citations omitted).

[5] Respondents argue that U.S. military procedures for considering mitigation differ from the situations here, but that assertion is far from self-evident. The *only* instruction U.S. military procedures require with respect to consideration of mitigation is that the members "must consider all evidence in ... mitigation," R.C.M. 1004(b)(6), which is indistinguishable from the charge here to "consider and weigh everything admitted into evidence ... that bears on ... a mitigating circumstance." Gleason App. 129. The U.S. military does *not* require (nor it appears, give) an affirmative instruction that mitigation *need not be proven beyond a reasonable doubt*, nor an instruction that mitigation is *not subject to any burden of proof*. Respondents' cited case is not to the contrary and effectively countenances the Kansas approach. Gleason Br. 31; RC Br. 54 n.8.

B. The Eighth Amendment does not impose a *per se* rule requiring burden of proof (or the lack thereof) instructions for mitigating circumstances when a state imposes no burden of proof.

A *per se* rule here cannot be squared with the Court's precedent. Respondents claim not to defend a *per se* rule, instead dismissing it as a "straw man," Gleason Br. 1, rewriting the question presented to avoid it, RC Br. (i), 35-55, or both, JC Br. 43. The Court consistently has rejected claims that the Eighth Amendment imposes any *per se* rules on how states structure jury consideration of mitigating circumstances in capital cases. For example, in *Buchanan v. Angelone*, 522 U.S. 269, 275-276 (1998), the Court emphasized that the Eighth Amendment does not require any particular instructions regarding a jury's consideration of mitigating evidence, and reiterated that "the state may shape and structure the jury's consideration of mitigation so long as it does not preclude the jury from giving effect to mitigating evidence." *Buchanan* further observed that the Court had "never gone further and held that the state must affirmatively structure in a particular way the manner in which juries consider mitigating evidence." 522 U.S. at 276; *see also Weeks v. Angelone*, 528 U.S. 225, 233 (2000) (following *Buchanan*). In *Kansas v. Marsh*, 548 U.S. 163, 171 (2006), the Court again emphasized "the States are free to determine the manner in which a jury may consider mitigating evidence."[6]

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[6] Reginald Carr's argument that a State could not require a capital defendant to prove mitigating circumstances beyond a reasonable doubt, RC Br. 43-44, is thus foreclosed by precedent and in any

Respondents' attempts to distinguish these cases fail. Their records and underlying state laws may have differed from here, but these cases stand for the proposition that the Eighth Amendment imposes no *per se* requirement for jury instructions on mitigation.

C. Respondents effectively urge this Court to adopt the *per se* rule they purport to disavow.

Respondents conspicuously fail to offer a cure for their complaints about these jury instructions. Nor can they. Short of an affirmative instruction, what other instructions would satisfy Respondents here? The logical conclusion of Respondents' arguments is that the Eighth Amendment will *always* be violated unless the jury is affirmatively instructed on the burden of proof (or lack thereof) for mitigation.

Gleason complains of "the instructions' repeated emphasis on the 'beyond a reasonable doubt' standard" in contrast with "their total silence on Mr. Gleason's burden of proof regarding mitigation evidence." Gleason Br. 15. But since *every* capital sentencing jury *must* be instructed on the government's burden to prove aggravating circumstances beyond a reasonable doubt, Gleason's argument countenances only mitigation instructions that avoid "total silence"—presumably through an *affirmative* instruction.

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event not a question presented here. This Court certainly never has adopted such a rule, and should not do so now. Kansas imposes *no* burden of proof on mitigation, only a burden of production. *Marsh*, 548 U.S. at 178.

Reginald Carr's "arbitrariness" response makes matters worse for Respondents. RC Br. 55-58. Like the Kansas Supreme Court in *Gleason*, he posits jurors may not be "left to speculate," but he goes further and claims unconstitutional arbitrariness from different jury interpretations of mitigation evidence as it relates to different defendants, thus "impos[ing] such a burden on *some* defendants but not others." RC Br. 55. Logically, the only *possible* cure for the harm he claims is a uniform, affirmative jury instruction about the burden of proof applicable to mitigation for all defendants in all cases.

Gleason's extensive discussion of academic studies and other jurisdictions' practices further urges this Court to adopt a *per se* rule. Gleason Br. 25-32. Academic studies having no connection to these cases are irrelevant to whether the *Boyde* standard was violated here. Likewise, that other jurisdictions may by local policy require juries be affirmatively instructed is irrelevant to these cases except to urge this Court to transform those policies into a federal constitutional imperative.

Thus, although Respondents ostensibly argue they are not seeking a *per se* rule, they offer nothing short of such a rule to cure their complaints. Adopting such a rule would require the Court to turn its back on nearly thirty-five years of precedent, a step not justified here.

II. The jury instructions were constitutionally sound under *Boyde v. California*, because there is no reasonable likelihood these juries applied them in a manner that precluded giving effect to all relevant mitigating evidence.

Instead of defending the *per se* rule the Kansas Supreme Court *actually* used to decide these cases, Respondents argue ways the Kansas court *might* have found *these* instructions, on *these* records, to be unconstitutional. Their straw man arguments are unconvincing.

A. The instructions in all three cases satisfied the *Boyde* standard.

The *Boyde* analysis demonstrates the instructions in all three cases here were constitutional. The standard is "whether there is a reasonable likelihood that the jury has applied the challenged instruction in a way that prevents the consideration of constitutionally relevant evidence." *Boyde*, 494 U.S. at 380. The standard is *not* whether there is *no* chance of confusion under any possible hypothetical circumstance, *id.*, nor is it whether there is *any* possibility of a mistaken interpretation. *Weeks*, 528 U.S. at 236. Reversal is not warranted "where the claimed error amounts to no more than speculation." *Boyde*, 491 U.S. at 380. The instructions should not be "pars[ed]" for "subtle shades of meaning" through "technical hairsplitting." *Id.* at 380-381.

In the present cases, the language of the instructions, the State's responses to Respondents' mitigation evidence, and the parties' closing arguments

all informed jurors they could consider any and all relevant mitigation that had been presented. Contrary to Respondents' newfound post-trial complaints, no burden of proof for mitigation was argued, suggested, or implied by the trial courts, the State, or Respondents' own counsel. Instead, the records as a whole properly and repeatedly emphasized the open-ended nature of the juries' consideration of mitigation evidence.

First, no counsel for any Respondent objected to any instruction given in these cases on the ground it was ambiguous, misleading, or confusing with respect to the burden of proof for mitigation. No one proposed a burden of proof instruction. Two experienced trial judges, several prosecutors, and several seasoned capital defense attorneys reviewed the instructions and failed to see the problem about which Respondents now vigorously complain in hindsight.

Second, despite Respondents' tortured attempts to contrive potential confusion, the instructions made clear the jury's ability to consider any and all mitigating evidence. For instance, Instruction No. 2 in *Gleason* directed the jury that "you should consider ... *everything admitted into evidence* ... that bears on ... a mitigating circumstance." Gleason App. 129 (emphasis added). Importantly, *Gleason* Instruction No. 7 emphasizes the jury's open-ended ability to consider and evaluate mitigation. *See id.* at 131. These instructions directed the jury that it was to "decide"—not to find proven—what counts as mitigation, and do so based on "the facts and circumstances of the case," not even on the evidence presented (much less on proven circumstances). *Id.* at

132 ("You may further consider as a mitigating circumstance any other aspect ... which was presented ....").

Moreover, this instruction repeatedly directed the jury to make a "determination" of what is mitigation; it did not say mitigating circumstances must be *proven* or *established*. The juxtaposition of a burden of proof for the State to establish aggravating factors beyond a reasonable doubt versus the instruction that mitigation is merely to be determined by each juror helps make clear, not murky, that the State bears a burden of proof while Respondents bore none. Instruction No. 7 informed jurors in six separate references to "consider" mitigating information "presented" in order to "determine" whether the State had proven the death penalty "should be imposed." This instruction did what it was supposed to do—inform the jury the State must prove the existence of aggravators, a fact question, and further prove the death penalty "should be imposed"—a value judgment and moral conclusion. Only once, in its seventh reference to mitigation, does Instruction No. 7 mention mitigation "found to exist." *See Jones v. United States*, 527 U.S. 373 (1999) (Despite "absence of an explicit instruction," there was no "inference that the jury was confused" because "[o]ur decisions repeatedly have cautioned that instructions must be evaluated not in isolation but in the context of the entire charge."). Thus, despite Respondents' "technical hairsplitting," the *Gleason* instructions could hardly have been more clear (absent, of course, the *per se* instruction Respondents disclaim they are seeking).

The *Carr* instructions are virtually indistinguishable from the *Gleason* instructions. *See* JA

378-383 (Reginald) and JA 385-390 (Jonathan). Moreover, in the *Carr* cases, the trial court further instructed the jury at the start of the sentencing proceeding that the jury "*shall consider* aggravating or mitigating circumstances *relevant* to the question of the sentence..."). JA 60 (emphasis added). The court also told the jury that lawyers would "present" information to the jury and discuss things "they are going to *bring up* for your consideration in mitigation." JA 71. The court notably used words such as "present" and "bring up"; never did it say or suggest that the Carrs had to "prove" mitigation.

Respondents' complaint about infrequent reference in the instructions (or in closing arguments) to mitigating circumstances "found to exist" or "shown to exist," Gleason Br. 17-18, RC Br. 44-45, 54; JC Br. 44, falls far short of demonstrating a reasonable likelihood the juries believed the instructions imposed *any* burden of proof (much less an incorrect burden) on mitigation. Instead, such language reflects common sense: in what world would a jury reasonably consider mitigating circumstances that *do not exist*? The "to exist" language does not imply any burden of proof, nor is it confusing or ambiguous, especially when combined with the language that "[t]he *determination* of what are mitigating circumstances is for you as jurors to decide under the facts and circumstances of the case," and "[m]itigating circumstances are to be *determined* by each individual juror." Gleason App. 131; JC App. 570; RC App. 507 (emphasis added).

Third, Respondents all mischaracterize the State's responses to and comments about the mitigation evidence. In fact, in all three cases the prosecutors

questioned whether Respondents had *presented any evidence* in support of *some* alleged mitigating circumstances. In many respects, however, the State did not challenge the mitigation presented at all, and instead only argued whether it was relevant and outweighed the aggravating factors. Indeed, Reginald's counsel specifically acknowledged the State did not challenge the existence of many of the presented mitigators. JA 419 ("After we have talked about all the bad things that happened in Reggie's life, I mean, you didn't see any witnesses from the State of Kansas come in here and say I grew up and I lived next to these guys, they had a wonderful home. ... You didn't see a forensic psychologist come in here and say the studies from the Department of Justice and the Surgeon General's Office and the FBI, they really apply in this particular case because those are all different. You didn't see anybody come in here and do that.").

For the State to ask such questions is proper as a matter of law and does not equate to arguing Respondents failed to meet a non-existent burden of proof. As this Court recognized in *Marsh*, Kansas law does not impose a burden of proof on mitigation, but it does impose a *burden of production*, and properly so. *Marsh*, 548 U.S. at 178. Furthermore, this Court's cases long have recognized that mitigation evidence must be "relevant" before its consideration is constitutionally required. *See Johnson v. Texas*, 509 U.S. 350, 362 (1993).

In context, the prosecutors here responded to the mitigation evidence with production, relevance, and weight in mind, well within the bounds of proper commentary. In all three cases, the prosecution's

primary approach was to question whether Respondents had produced *any* relevant evidence in support of *some* alleged mitigating circumstances, or to argue that the mitigation evidence carried little *weight* in comparison to the aggravating circumstances. *E.g.* JA at 64 ("That is the responsibility of the defendants, to *bring that evidence to you* so that you may weigh and measure it ...."); *id.* at 194-202 (questioning "relevance" of the brain scan evidence); *id.* at 435 ("Mitigating circumstances under the law as you remember are those that reduce the degree of moral culpability. How has anything that they have *said* done that?") (emphasis added); Gleason App. 148 ("Did you hear *any* evidence about that?") (emphasis added). At no point did the State argue or imply the jury should not consider relevant evidence the Respondents presented.

Fourth, the closing arguments of *all* parties emphasized the jury's open-ended ability to consider all mitigation evidence presented, as well as to determine how to evaluate that evidence. In no instance did the State tell, suggest, or imply to these juries that mitigation was subject to a burden of proof beyond merely *producing something* the jury could consider. *See, e.g.*, JA 62 (aggravators "must outweigh any mitigators that are *presented* by the defendants ....") (emphasis added); *id.* at 392 (sentencing phase is a chance for the defendants to *present* information to you as a jury in some way to respond to the question of whether or not the death penalty is appropriate, to mitigate, to lessen, to look at them in a different light. And I believe that the evidence has been *quite a bit of evidence that has been presented by the defendants.*") (emphasis added); *id.* at 396 ("And the question is what mitigates punishment? Something for the jury to

decide."); *id.* at 443 ("Anything that would reduce culpability has not been *presented* here.") (emphasis added); Gleason App. 142 ("[I]n the determination of your sentence, you should consider and weigh everything admitted into evidence ... that bears on ... mitigating circumstances ...."); *id.* ("Everything you have heard ... you get to consider all the evidence ... everything you can consider."); *id.* at 149 (existence of mitigator "[a]gain, not [in] dispute.").

Conspicuously absent from Respondents' briefs is any discussion of *their* closing arguments. In fact, they, too, told each jury its discretion was unfettered. For example, Gleason's counsel pointed out mitigating circumstances could be "anything in your independent moral assessment" including mercy. Gleason App. 154-155. He also noted that mitigation was not subject to any unanimity requirement, *id.* at 155, and that under Kansas law, there is a "presumption of [a] life [sentence] in a capital case," a presumption that only required the vote of a single juror to give it effect. *Id.* at 161-162. He emphasized "that you may further consider as a mitigating circumstance any other aspect of the defendant's character, background, record, or other aspects of the offense ... ," *id.* at 155, and reinforced the open-ended nature of the jury's task to determine what may constitute mitigation. *Id.* ("... if you believe something is a mitigator, it goes on your scale ....").

Similarly, Jonathan's counsel repeatedly emphasized that mitigation was whatever the jury decided it was and that there were no constraints on their ability to consider mitigation. *See, e.g.*, JA 68 ("Mitigating evidence is what you decide it is."); *id.* at

426 ("Now I think you needed to know some things about Jonathan. Maybe won't make any difference to you, but it's my responsibility to *tell you some things* and to *present* you some evidence about him before you can sentence him ... We tried not to *present* [trivial information as mitigators]. ... And it is for you to *decide* how much impact a bad family life has.") (emphasis added); *id.* ("[Y]ou need to make of it and give it the weight you think it deserves."); *id.* at 429 ("Is this mitigating? Is this something you should *consider* before you impose the ultimate punishment on him? Absolutely.") (emphasis added); *id.* at 433 ("[A]ny one of you can decide to save this young man's life. Any one of you.").

Reginald's counsel made similar arguments. *See, e.g.*, JA 408 ("He told you, he says, I don't have any confirmation on that. I don't have any confirmation. It is all for you to consider to determine whether or not you want to give that any weight."); *id.* at 415 ("You have the right to use common sense in evaluating this evidence.").

On these records, there is no reasonable likelihood the juries applied the instructions in a way that prevented the consideration of constitutionally relevant mitigation evidence.

B. There was *no* indication of juror confusion and Respondents offer only "rank speculation" to the contrary.

The instructions given in these cases are not ambiguous, vague, or confusing. There is no indication in these records of juror confusion, and Respondents point to none, offering only "rank speculation," *Gleason*

App. 121 (Biles, J., dissenting), to support their arguments. There is no affirmative statement or language anywhere in the instructions that suggests, much less imposes, a beyond-a-reasonable-doubt burden of proof for mitigating circumstances. Lower courts confronting this question have consistently rejected the argument that instructions like these are unconstitutional. *People v. Welch*, 976 P.2d 754, 797 (Cal. 1999); *Dawson v. State*, 637 A.2d 57, 64-65 (Del. 1994); *Matheny v. State*, 688 N.E.2d 883, 902 (Ind. 1997). These decisions are entirely consistent with this Court's precedents; Respondents' unpersuasive attempts to distinguish them point to *no* contrary decisions.

In *Ayers v. Belmontes*, 549 U.S. 7 (2006), this Court considered similar instructions, applied *Boyde*, and found no constitutional error. Notably, the Court interpreted the *Belmontes* instructions in the manner "most consistent with the evidence presented to the jury, the parties' closing arguments, and the other instructions provided by the trial court," 549 U.S. at 16, commented favorably on the prosecution's critique of the *weight* of the mitigation evidence, *id.* at 16-21, and concluded that the back-and-forth discussion of mitigation evidence by the prosecutor and defense counsel helped ensure the jury gave full consideration to the evidence because "[i]t is improbable the jurors believed that the parties were engaging in an exercise in futility when respondent presented (and both counsel later discussed) his mitigating evidence in open court," *id.* at 16-17. Moreover, the "back to back" juxtaposition of instructions on aggravators and mitigators furthered jury understanding, not confusion. *Id.* at 21.

As in *Belmontes,* likewise here:

> [Each of these juries] heard mitigating evidence, the trial court directed the jury to consider all the evidence presented, and the parties addressed the mitigating evidence in their closing arguments. This Court's cases establish, as a general rule, that a jury in such circumstances is not reasonably likely to believe itself barred from considering the defense's evidence as a factor "extenuat[ing] the gravity of the crime."

549 U.S. at 24. There is no reasonable likelihood these juries believed themselves so barred.

C. The Kansas "mercy" instruction forecloses any speculative possibility jurors declined to give effect to all mitigation presented.

Finally, "[t]he 'mercy' jury instruction alone forecloses the possibility" of Respondents' misplaced concerns, *Marsh* 548 U.S. at 176 n.3, because "a Kansas jury is permitted to consider *any* evidence relating to *any* mitigating circumstance." *Id.* Under Kansas law, a capital sentencing jury is informed that mercy "can itself be a mitigating factor." Gleason App. 131; JC App. 569-570; RC App. 503-504. "Mercy" is not amenable to "proof" or subject to any evidentiary burden; instead, it is a moral judgment, an emotional concept, an act of grace subject to the judgment of each individual juror. Given that, no juror could reasonably think a defendant's life could be spared only if the defendant satisfied some evidentiary burden of proof.

These records contain numerous pleas for mercy. In all three cases, the instructions informed the jury it

could consider "[t]he appropriateness of exercising mercy" in deciding whether the State has proved "the death penalty should be imposed." Gleason App. 131; JA 385 (Jonathan), JA 382 (Reginald). Prosecutors in each case repeatedly told the jury it could exercise mercy.[7] In closing, Gleason's counsel told the jury "mercy is a mitigating circumstance," Gleason App. 155, and asked the jury to grant it, *id.* at 161 ("We're asking you to show mercy where mercy was not shown."); *id.* at 162 ("Any one of you who says no ... be it mercy ... guarantees Sidney life."). Reginald Carr's counsel made a similar plea. JA 422 ("You get to have the opportunity to extend mercy to another human being ... you have the opportunity to extend that mercy to him."); *id.* at 423 ("[Y]ou have the power to save."). So did Jonathan Carr's counsel. JA 433 ("[A]ny one of you can decide to save this young man's life. Any one of you. You can decide that there is some good there. There is something worth saving.").

Under Kansas law, the "mercy" instruction effectively guarantees no jury could reasonably believe it was precluded from considering *anything and everything* produced as mitigation. There is no

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[7] *See, e.g.*, Gleason App. 147 (mentioning "mercy" seven times including "Mercy. It's all up to you." and "[Do] [t]hose actions call for mercy? Your decision."); *id.* at 163-164 (mentioning "mercy" five times including "Do his actions call for your mercy? Because if you choose to do it, you can."); JA 400 (acknowledging defense pleas to "be given mercy"); *id.* at 401 (same); *id.* at 404 ("Ladies and gentlemen, ... the Court has told you you can consider sympathy and you can consider mercy for these two defendants"); *id.* at 437 (Defendants "beg you today for sympathy. They do. They are begging one of you, just one, because that's all they need."); *id.* at 444 (twice mentioning "mercy").

"reasonable likelihood" these juries understood the instructions to preclude them from granting mercy unless mitigating circumstances had been "proven" or "found."

* * *

Respondents' arguments boil down to nothing more than sheer speculation that jurors somehow were confused or misled into disregarding mitigation evidence produced at trial. No Respondent points to *any* aspect of these records that even suggests any *actual* juror confusion. Nothing in these records suggests *any* reasonable likelihood jurors in these cases misunderstood their task to the detriment of Sidney Gleason, Jonathan Carr, or Reginald Carr.

Rather, the jurors' "commonsense understanding of the instructions," *Boyde*, 494 U.S. at 380-81, in light of the entire trials readily explains the outcomes here. These jurors properly considered *everything* presented; they then individually considered each Respondent's weak mitigation case, and unanimously concluded that each Respondent's mitigation did not outweigh the uncontested and aggravated brutality of the premeditated crimes each Respondent committed. The juries' "reasoned moral response" that "death is an appropriate punishment" for each of these individual Respondents "in light of [their] personal history and characteristics and the circumstances of the offense," *Abdul-Kabir v. Quarterman*, 550 U.S. 233, 263-64 (2007), did not violate the Eight Amendment.

CONCLUSION

The State of Kansas respectfully requests that the Kansas Supreme Court's decisions in these three cases be reversed.

Respectfully submitted,

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September 2015

No. 14-449, 14-450, 14-452

IN THE

Supreme Court of the United States

STATE OF KANSAS,

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vs.

JONATHAN D. CARR,
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SIDNEY J. GLEASON,

Respondents.

On Writ of Certiorari to the Supreme Court of Kansas

BRIEF *AMICUS CURIAE* OF CRIMINAL JUSTICE LEGAL FOUNDATION, NATIONAL DISTRICT ATTORNEYS ASSOCIATION, AND CALIFORNIA DISTRICT ATTORNEYS ASSOCIATION IN SUPPORT OF PETITIONER

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QUESTIONS PRESENTED

1. Whether the Eighth Amendment requires that a capital-sentencing jury be *affirmatively instructed* that mitigating circumstances "need not be proven beyond a reasonable doubt," as the Kansas Supreme Court held here, or instead whether the Eighth Amendment is satisfied by instructions that, in context, make clear that each juror must individually assess and weigh any mitigating circumstances?

2. Whether the trial court's decision not to sever the sentencing phase of the co-defendant brothers' trial here—a decision that comports with the traditional approach preferring joinder in circumstances like this—violated an Eighth Amendment right to an "individualized sentencing" determination and was not harmless in any event?

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BRIEF *AMICUS CURIAE* OF CRIMINAL JUSTICE LEGAL FOUNDATION, NATIONAL DISTRICT ATTORNEYS ASSOCIATION, AND CALIFORNIA DISTRICT ATTORNEYS ASSOCIATION IN SUPPORT OF PETITIONER

INTEREST OF *AMICI CURIAE*

The Criminal Justice Legal Foundation (CJLF)[1] is a non-profit California corporation organized to participate in litigation relating to the criminal justice system as it affects the public interest. CJLF seeks to bring the constitutional protection of the accused into balance with the rights of the victim and of society to

1. All parties have filed blanket consents to *amicus* briefs.

No counsel for a party authored this brief in whole or in part, and no counsel or party made a monetary contribution intended to fund the preparation or submission of this brief. No person other than *amici curiae* made a monetary contribution to its preparation or submission.

rapid, efficient, and reliable determination of guilt and swift execution of punishment.

The National District Attorneys Association (NDAA) is the largest and primary professional association of prosecuting attorneys in the United States. The association has approximately 7,000 members, including most of the nation's local prosecutors; assistant prosecutors; investigators; victim witness advocates; and paralegals. The mission of the association is, "To be the voice of America's prosecutors and to support their efforts to protect the rights and safety of the people." NDAA provides professional guidance and support to its members, serves as a resource and education center, produces publications, and follows public policy issues involving criminal justice and law enforcement.

The California District Attorneys Association (CDAA), the statewide organization of California prosecutors, is a professional organization incorporated as a nonprofit public benefit corporation in 1974. CDAA has over 2500 members, including elected and appointed district attorneys, the Attorney General of California, city attorneys principally engaged in the prosecution of criminal cases, and attorneys employed by these officials. The association presents prosecutors' views as *amicus curiae* in appellate cases when it concludes that the issues raised in such cases will significantly affect the administration of criminal justice.

In these cases, the Kansas Supreme Court has invented a new constitutional requirement never before imposed in any other state in over 40 years of litigation over capital sentencing procedure in the post-*Furman* era. Invention of such novel constitutional mandates disapproving standard practices often wipes out large numbers of just, deserved sentences imposed for

horrible crimes in accordance with the law in effect at the time of trial. Such arbitrary mass reversals are contrary to the interests of *amici*.

SUMMARY OF FACTS AND CASE

The crimes committed by brothers Jonathan and Reginald Carr are exceptionally horrible and depraved even by the standards of capital cases. These are the kinds of crimes that cause people to say that if we are going to have the death penalty at all, these are definitely the kinds of crimes that deserve it. Anything less would be a grotesque miscarriage of justice.

The Carr brothers' crime spree in December 2000 in Wichita, Kansas, began with the robbery, kidnapping, and aggravated battery of Andrew Schreiber. See *State* v. *Carr* (*Reginald*), 300 Kan. 1, 15-20, 331 P. 3d 544, 573-576 (2014). Next they attempted to rob Linda Walenta, a cellist with the Wichita Symphony, in her car in her own driveway. When she attempted to escape, the robber shot her three times. She was rendered paraplegic but was able to describe the crime. She died of complications three weeks after the crime. See *id.*, at 20-22, 331 P. 3d, at 576-577.

Finally, they forced their way into the home of Aaron S., Brad H., and Jason B. Holly G. and Heather M. were also in the home at the time. Not content with a home invasion robbery, they engaged in a sadistic and depraved course of forcing the victims to perform sex acts on each other for the Carr brothers' amusement, and then both committed their own sexual assaults. See *id.*, at 22-27, 331 P. 3d, at 577-580. Then they took all five victims out to a soccer field and shot them. See *id.*, at 28-29, 331 P. 3d, at 580-581. Holly G. was wounded but survived, the bullet miraculously deflected by a plastic hair clip. See *id.*, at 30, 331 P. 3d, at 581.

The other four died. "All of the gunshot wounds to the four Birchwood murder victims were consistent with their bodies being in a kneeling position with their heads down when the bullets entered their skulls." *Id.*, at 38, 331 P. 3d, at 586. DNA evidence establishes the identity of the perpetrators. See *id.*, at 39, 331 P. 3d, at 586.

In both cases, the Kansas Supreme Court affirmed the convictions in part and reversed in part and vacated the death sentences. See *id.*, at 17, 254-258, 331 P. 3d, at 574, 706-708; *State* v. *Carr* (*Jonathan*), 300 Kan. 340, 355, 367-371, 329 P. 3d 1195, 1205, 1212-1214 (2014). The affirmed convictions included one count of capital murder of Heather M., Aaron S., Brad H., and Jason B.

The reversal of the sentences was based in part on the holding of *State* v. *Gleason*, 299 Kan. 1127, 329 P. 3d 1102 (2014), that the judge must specifically instruct the jury that mitigating circumstances need not be proven beyond a reasonable doubt. Justice Biles, dissenting on this point, noted that "the majority's conclusion defies the United States Supreme Court's established Eighth Amendment jurisprudence and lacks any persuasive analysis articulating why the circumstances in this case justify a departure from that precedent." *Reginald Carr*, 300 Kan., at 327, 331 P. 3d, at 746. This Court granted certiorari in both cases on that issue and a severance issue on March 30, 2015, and consolidated the cases. On the same date, this Court granted certiorari in the *Gleason* case.

> "On February 12, 2004, Gleason, Damien Thompson, Ricky Galindo, Brittany Fulton, and Mikiala "Miki" Martinez robbed Paul Elliott at knifepoint at his home in Great Bend. Sometime thereafter, Gleason and Thompson learned police had interviewed Fulton and Martinez about the robbery. Nine days after the robbery, Gleason and

> Thompson drove from Lyons to Great Bend where Gleason shot and killed Martinez' boyfriend, Darren Wornkey, wounding Martinez in the process. Thompson and Gleason then kidnapped Martinez and took her to a rural location where Thompson strangled, shot, and killed her. Gleason and Thompson left Martinez' body near the road and returned to Lyons. Later that evening, Gleason and Thompson returned to the scene of Martinez' murder, placed Martinez' body near a tree further from the road, and covered her body with small branches." *State* v. *Gleason*, 299 Kan. 1127, 1134, 329 P. 3d 1102, 1113-1114 (2014).

Gleason committed these crimes less than a month after his release on parole for a prior attempted homicide. See *id.*, at 1146, 329 P. 3d, at 1120.

The Kansas Supreme Court affirmed the convictions except for vacating a second murder count for one victim as "multiplicitous." See *id.*, at 1184-1185, 329 P 3d, at 1141. However, the court held that because juries are instructed that aggravating circumstances must be proved beyond a reasonable doubt, the Eighth Amendment to the Constitution of the United States requires that the jury be expressly informed that mitigating circumstances need not be proved to that standard. See *id.*, at 1195-1197, 329 P 3d, at 1146-1148.

SUMMARY OF ARGUMENT

Use of the Eighth Amendment to regulate details of capital sentencing procedure is an unwise practice that this Court largely discontinued many years ago. The primary purpose of procedural reform after *Furman* v. *Georgia* was to reduce arbitrariness, but "tinkering" does just the opposite. When novel constitutional

requirements are fabricated to strike down standard practices, broad swaths of judgments are overturned with little or no regard to whether those sentences were deserved. This is the height of arbitrariness.

Boyde v. *California* appropriately adopted a "reasonable likelihood" test for arguably ambiguous jury instructions to replace the looser test used in *Mills* v. *Maryland*. In deciding what is a reasonably likely interpretation, jurors should be given credit for a basic sense of justice. Given one interpretation that allows them to reach the result they believe to be just and another that forces them to sign their names to a verdict they believe to be wrong and unjust, they should be presumed to adopt the interpretation that leads to a just result. *Mills* was wrongly decided on its facts and should be expressly overruled.

ARGUMENT

I. "Tinkering" of the kind seen in this case is a cause of arbitrariness in the death penalty, not a cure for it.

The decisions of the Kansas Supreme Court in these cases read like a throwback to a bygone era, a time when this Court's "annually improvised Eighth Amendment, 'death is different' jurisprudence," *Morgan* v. *Illinois*, 504 U. S. 719, 751 (1992) (Scalia, J., dissenting), made compliance with shifting dictates nearly impossible. Creative invalidation of established practices, finding constitutional violations where none had been seen for years, is not a cure for the arbitrariness that is supposedly the basis for the entire structure of procedural restrictions on capital punishment. See *Furman* v. *Georgia*, 408 U. S. 238,

309-310 (1972) (Stewart, J., concurring). It is a cause of arbitrariness.

Justice is served when the defendant gets what he deserves. In sentencing in a capital case, that means that those who deserve the death penalty should receive that sentence, and it should be carried out, and those who do not should receive a lesser sentence. The essence of *Furman* is to make that determination of just deserts a more rational and evenhanded process than it was under the unlimited, unguided discretion system that was upheld the year before in *McGautha* v. *California*, 402 U.S. 183, 207 (1971). Yet far too often the rules crafted in the 1980s and the early 1990s have operated to do just the opposite.

A new constitutional precedent on sentencing procedure striking down an established practice causes a huge disruption. All of the cases coming within a certain time window[2] are vacated unless they qualify for harmless error, and the stringent harmless error test in constitutional cases means that few will.[3]

Maryland, for example, was particularly hard hit with new Eighth Amendment rules of dubious legitimacy. The state's pioneering victim impact evidence law was struck down in the wrongly decided case of *Booth* v. *Maryland*, 482 U. S. 496 (1987), overruled in *Payne* v. *Tennessee*, 501 U. S. 808, 828-830

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2. The window may be defined by the date the practice was established, the date of the decision striking it down, and the retroactivity of that decision.

3. *Chapman* v. *California*, 386 U. S. 18, 24 (1967), should be reconsidered at some point in light of the fact that rules deemed constitutional are often not essential to a fair trial. This is particularly true with Eighth Amendment "death is different" sentencing procedure rules.

(1991). The state's standard method of instructing juries was struck down by a narrow majority in *Mills* v. *Maryland*, 486 U. S. 367 (1988), based on a far-fetched theory of possible misinterpretation by jurors. *Mills* used a standard we now know was incorrect. See *Boyde* v. *California*, 494 U. S. 370, 380 (1990). *Mills* was, *amici* submit, wrongly decided on its facts, see *infra* at 14, n. 5, but whether right or wrong *Mills* wiped out sentences in a wide swath of cases with little or no regard for how richly deserved those sentences were.

The arbitrariness of this disruption can be clearly seen in the case of Anthony Grandison. He was a criminal so dangerous that he arranged the murder of witnesses to an earlier crime while in federal custody awaiting trial. Yet his thoroughly deserved sentence was overturned due to *Mills*. See *Grandison* v. *State*, 341 Md. 175, 194, 670 A. 2d 398, 407 (1996). Resentencing and further review took so long that he was still on death row when the Maryland Legislature threw up its hands and gave up on ever having an effective death penalty in the state.[4] A killer who should have been executed decades ago escaped full justice arbitrarily because of the *Mills* case's dubious new rule. It was the reversal, not the imposition, of Grandison's sentence that was "the height of arbitrariness." Cf. *Mills*, 486 U. S., at 374.

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4. The fact that repeal was based on frustration rather than rejection of the death penalty as such is conclusively demonstrated by the fact that the repeal was not retroactive. Grandison's sentence and those of the other remaining death row inmates were commuted by the governor. See Wagner, Gov. O'Malley Commutes Sentences of Death Row Inmates, Washington Post, Dec. 31, 2014, http://www.washingtonpost.com/local/md-politics/gov-omalley-commutes-sentences-of-marylands-remaining-death-row-inmates/2014/12/31/044b553a-90ff-11e4-a412-4b735edc7175_story.html (all Internet materials as visited June 8, 2015).

Similar arbitrary injustice occurred in Florida, after *Hitchcock* v. *Dugger*, 481 U. S. 393 (1987), applied *Lockett* v. *Ohio*, 438 U. S. 586 (1978) (plurality opinion) to overturn sentences rendered in accordance with a law reviewed and upheld in *Proffitt* v. *Florida*, 428 U. S. 242, 248-249, 258 (1976) (lead opinion). *Proffitt* was quite clear that it was reviewing a law with a specified list of mitigating circumstances. See *id.*, at 250 (modifying quote of statute to insert "statutory" before "mitigating"). Even though the lead opinion noted in a footnote that nonstatutory mitigating factors might also be considered, see *id.*, at 250, n. 8, there is no hint that instructing the jury on such consideration was constitutionally required.

Among the undeserving beneficiaries of *Lockett*/*Hitchcock* was Thomas Knight. In *Knight* v. *Florida*, 528 U. S. 990, 994 (1999), Justice Breyer, dissenting from denial of certiorari, said the long delay in his execution was not his fault because, among other reasons, his initial sentencing was "constitutionally defective." But it was not. His penalty trial was conducted correctly under the law in effect at the time and upheld in *Proffitt* while Knight's case was on direct appeal. See *Knight* v. *State*, 338 So. 2d 201 (Fla. 1976) (decided after *Proffitt*). His well-deserved first sentence was overturned, see *Knight* v. *Dugger*, 863 F. 2d 705, 710 (CA11 1988), because the Eighth Amendment had magically sprouted a new branch without a shred of basis in its text or history, see *Callins* v. *Collins*, 510 U. S. 1141, 1142 (1994) (Scalia, J., concurring in denial of cert.), contradicting the Court's opinions of only a few years earlier requiring restraint on sentencer discretion in order to reduce arbitrariness. See *Lockett* v. *Ohio*, 438 U. S. 586, 623 (1978) (White, J., dissenting in part); *Walton* v. *Arizona*, 497 U. S. 639, 661-667 (1990) (Scalia, J., concurring) (overruled on other grounds in *Ring* v. *Arizona*, 536 U. S. 584, 609 (2002)).

This exceptionally violent multiple murderer, who murdered another person while review of his first case was pending, see *Knight* v. *State*, 721 So. 2d 287, 290 (Fla 1998), was allowed to live out much of his natural life span and was not executed until 2014, when he should have been executed in the early 1980s. See Associated Press, Fla. Man Executed, Killed Couple, Prison Guard, Jan. 8, 2014, http://www.cbsnews.com/news/fla-man-executed-killed-couple-prison-guard/.

In 1994, dissenting from denial of certiorari in *Callins* v. *Collins*, 510 U. S., at 1145, Justice Blackmun notoriously declared, "I no longer shall tinker with the machinery of death." Those of us who had long fought against the tinkering responded, "Good! By all means stop tinkering!" See also *id.*, at 1142 (Scalia, J., concurring). And the Court did largely stop making up new "death is different" rules of sentencing procedure from that point.

From the mid-1990s onward, this Court made only one sweeping change in capital sentencing procedure. That was *Ring* v. *Arizona*, 536 U. S. 584, 609 (2002), which was an application of a rule developed in a noncapital case under the Sixth Amendment rather than an Eighth Amendment "death is different" rule. The new Eighth Amendment rules have been largely substantive, giving certain small categories of capital defendants "trump cards" that exempt them from capital punishment regardless of how severe the aggravating circumstances of the individual case. See *Roper* v. *Simmons*, 543 U. S. 551, 578 (2005) (under 18); *Kennedy* v. *Louisiana*, 554 U. S. 407, 412-413 (2008) (nonfatal rape of child); *Atkins* v. *Virginia*, 536 U. S. 304, 321 (2002) (intellectual disability, then called mental retardation). These substantive rules cause far less disruption and therefore less arbitrariness than the new procedural rules because, by definition, they only

apply to discrete subsets of defendants who are rarely sentenced to death anyway. In California, for example, there were over 600 prisoners on death row at the time *Atkins* was decided, U. S. Bureau of Justice Statistics, Capital Punishment 2002, Table 4 (2003), but the California Attorney General informs *amici* that there have been only eleven successful *Atkins* postconviction review petitions.

The rule of *Teague* v. *Lane*, 489 U. S. 288 (1989), and the "deference" standard of the Antiterrorism and Effective Death Penalty Act of 1996 eventually dampened the tinkering by the lower federal courts, although not without considerable resistance. See, *e.g.*, *Beard* v. *Banks*, 542 U. S. 406, 408-409 (2004) (dubious extension of *Mills* reversed on *Teague* grounds). That still leaves state courts as possible sources of arbitrariness-inducing tinkering, however. If those courts fabricate novel reasons for overturning capital judgments based on state law, the remedies must be found within the state. If the state court invokes the United States Constitution for its tinkering, however, review by this Court is the only remedy.

II. Where an instruction has more than one possible interpretation, the jury should be presumed to apply it in accordance with common sense and a just result.

In cases involving capital penalty phase jury instructions with more than one possible interpretation, there seem to be two sets of opinions. One set gives the jury credit for common sense and for working together to reach a just and sensible result. *Boyde* v. *California*, 494 U. S. 370, 380-381 (1990), typifies this approach.

> "Jurors do not sit in solitary isolation booths parsing instructions for subtle shades of meaning in

> the same way that lawyers might. Differences among them in interpretation of instructions may be thrashed out in the deliberative process, with commonsense understanding of the instructions in the light of all that has taken place at the trial likely to prevail over technical hairsplitting."

Other cases along similar lines include *Sochor* v. *Florida*, 504 U. S. 527, 538 (1992), *Brown* v. *Payton*, 544 U. S. 133, 147 (2005), and *Ayers* v. *Belmontes*, 549 U. S. 7, 21-22 (2006). The other set of opinions seems to be looking for any excuse to overturn a capital sentence. These include *Mills* v. *Maryland*, 486 U. S. 367 (1988), the opinions of the Kansas Supreme Court in the present cases, and the Ninth Circuit opinions reversed in *Payton* and *Belmontes*.

Mills accepted and *Sochor* rejected an argument based on *Stromberg* v. *California*, 283 U. S. 359, 367-368 (1931). See *Mills*, 486 U. S., at 376-377; *Sochor*, 504 U. S., at 538. The *Stromberg* theory is that if a jury is presented with two possibilities, one valid and the other invalid, the reviewing court must reverse if it cannot tell which of the two the jury chose. *Griffin* v. *United States*, 502 U. S. 46, 59 (1991), recognized an important distinction. In First Amendment cases such as *Stromberg*, one of the alternatives is "invalid" in a way that jurors cannot be expected to recognize, as being in violation of a constitutional right. In *Griffin*, on the other hand, one of the grounds was "invalid" in that it was unsupported by the evidence as to one of the defendants.

> "Jurors are not generally equipped to determine whether a particular theory of conviction submitted to them is contrary to law—whether, for example, the action in question is protected by the Constitution, is time barred, or fails to come within the statutory definition of the crime. When,

> therefore, jurors have been left the option of relying upon a legally inadequate theory, there is no reason to think that their own intelligence and expertise will save them from that error. Quite the opposite is true, however, when they have been left the option of relying upon a factually inadequate theory, since jurors *are* well equipped to analyze the evidence [citation]." *Ibid.*

The choice faced by the jurors in the present cases (if indeed they ever considered for a minute the far-fetched interpretation) is analogous, though not precisely the same. Once a capital case has passed the guilt and "eligibility" decisions, see *Tuilaepa* v. *California*, 512 U. S. 967, 971-972 (1994), the "bottom line" decision in the final "selection" step is a subjective decision as to the just result. While it may be phrased in facially objective terms such as whether aggravating circumstances outweigh mitigating, that weighing process turns on subjectively assigned weights so that it necessarily comes down to each juror's "gut level" assessment of the justice of the case.

If an instruction has two possible interpretations, will a jury engaged in the kind of deliberative process described by *Boyde*, *supra*, adopt the interpretation that forces jurors to sign their names to a bottom-line result they believe to be unjust, or will they adopt the one that allows them to render the verdict they believe to be right? In *Mills*, the Court accepted the petitioner's wild speculation that all 12 jurors might sign their names to a death verdict " 'even though eleven jurors think the death penalty wholly inappropriate.' " 486 U. S., at 374. The notion that jurors would do so when another interpretation is available to them borders on absurd. On a 12-member jury, even if a majority interpreted the instruction that way, surely some would not and would seek clarification from the judge.

The "reasonable likelihood" standard of *Boyde* should be bolstered with a presumption that jurors will lean toward an interpretation that allows them to reach the verdict they believe to be just whenever such an interpretation is available.[5] The idea that jurors would ignore mitigating circumstances that they believed to be proved by a preponderance of the evidence *and* that they believed warranted a sentence less than death and would then sign their names to a verdict they believed to be unjust would require a nearly ironclad, inescapable instruction to support it. In this case, there is nothing more than the absence of an instruction refuting a speculative inference that they might have thought the burdens of proof were symmetrical. See *State* v. *Gleason*, 299 Kan., at 1211, 329 P. 3d, at 1155 ("rank speculation"). Nothing in the Constitution of the United States requires reversing a verdict on such flimsy reasoning.

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5. *Mills* was wrongly decided on its facts. *Boyde* has already disapproved the standard used in *Mills*. Chief Justice Rehnquist's dissent in *Mills* explains that applying a reasonableness standard produces the opposite result. See 486 U. S., at 395. *Mills* should be expressly overruled before it causes any more damage. See *State* v. *Gleason*, 299 Kan., at 1195-1197, 329 P. 3d, at 1146-1148 (relying on *Mills*).

CONCLUSION

The decisions of the Kansas Supreme Court in all three cases should be reversed.

June, 2015

Respectfully submitted,

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